

A vibrant sunset scene with a bright yellow sun partially obscured by dark, silhouetted mountains. The sky is a gradient of orange and red, with a few stylized clouds. The overall mood is warm and bright.

**2014 Annual Financial Report
for the year ended August 31, 2014**

**Texas Department of
Licensing and Regulation**

**William H. Kuntz, Jr.
Executive Director**





TEXAS DEPARTMENT OF LICENSING AND REGULATION

P.O. Box 12157, Capitol Station • Austin, Texas 78711
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November 18, 2014

Honorable Rick Perry, Governor
Honorable Susan Combs, Texas Comptroller
Ursula Parks, Director, Legislative Budget Board
John Keel, CPA, State Auditor

Ladies and Gentlemen:

We are pleased to submit the annual financial report of the Texas Department of Licensing and Regulation for the year ended Aug. 31, 2014, in compliance with Texas Government Code Annotated, Section 2101.011, and in accordance with the requirements established by the Texas Comptroller of Public Accounts.

Due to the statewide requirements embedded in Governmental Accounting Standards Board (GASB) 34, the Comptroller of Public Accounts does not require the accompanying annual financial report to comply with all the requirements in this statement. The financial report will be considered for audit by the state auditor as part of the audit of the State of Texas *Comprehensive Annual Financial Report* (CAFR); therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

If you have any questions, please contact Jerry Daniels at (512) 463-3100.

Sincerely,

A handwritten signature in black ink that reads "William H. Kuntz, Jr.".

William H. Kuntz, Jr.
Executive Director

Mike Arismendez, Chair – Littlefield, Texas

Tom Butler – Deer Park, Texas

LuAnn Morgan – Midland, Texas

Fred Moses – Plano, Texas

Catherine Rodewald – Frisco, Texas

Ravi Shah – Carrollton, Texas

Deborah A. Yurco – Austin, Texas

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Exhibit I - Combined Balance Sheet/Statement of Net Assets - Governmental Funds
 August 31, 2014

	Governmental Fund Types	
	General Funds (Ex A-1)	Governmental Funds Total
ASSETS		
Current Assets:		
Cash		
Cash On Hand	\$ 200.00	\$ 200.00
Cash In State Treasury	251,409.35	251,409.35
Legislative Appropriations	3,925,624.70	3,925,624.70
Due from Other Funds	-	-
Consumable Inventories	73,681.32	73,681.32
Total Current Assets	4,250,915.37	4,250,915.37
Non-Current Assets:		
Capital Assets (Note 2):		
Depreciable:		
Furniture and Equipment	-	-
Less Accumulated Depreciation	-	-
Vehicles, Boats and Aircraft	-	-
Less Accumulated Depreciation	-	-
Buildings and Bldg Improvements	-	-
Less Accumulated Depreciation	-	-
Computer Software - Intangible	-	-
Less Accumulated Amortized	-	-
Total Non-Current Assets	-	-
Total Assets	\$ 4,250,915.37	\$ 4,250,915.37
LIABILITIES AND FUND BALANCES		
Liabilities		
Current Liabilities:		
Payables from:		
Accounts	\$ 671,802.78	\$ 671,802.78
Payroll	2,040,200.96	2,040,200.96
Due to Other Funds	150.00	150.00
Funds Held for Others	-	-
Employees' Compensable Leave (Note 4)	-	-
Total Current Liabilities	2,712,153.74	2,712,153.74
Non-Current Liabilities		
Employees' Compensable Leave (Note 4)	-	-
Total Non-Current Liabilities	-	-
Total Liabilities	2,712,153.74	2,712,153.74

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Capital Assets Adjustments	Long-Term Liabilities Adjustments	Statement of Net Assets
\$ -	\$ -	\$ 200.00
-	-	251,409.35
-	-	3,925,624.70
-	-	-
-	-	73,681.32
-	-	4,250,915.37
439,051.42	-	439,051.42
(341,567.65)	-	(341,567.65)
86,760.94	-	86,760.94
(67,387.88)	-	(67,387.88)
194,131.57	-	194,131.57
(184,424.99)	-	(184,424.99)
30,041.73	-	30,041.73
(30,041.73)	-	(30,041.73)
126,563.41	-	126,563.41
\$ 126,563.41	\$ -	\$ 4,377,478.78
\$ -	\$ -	\$ 671,802.78
-	-	2,040,200.96
-	-	150.00
-	-	-
-	1,222,827.74	1,222,827.74
-	1,222,827.74	3,934,981.48
-	862,573.02	862,573.02
-	862,573.02	862,573.02
-	2,085,400.76	4,797,554.50

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Exhibit I - Combined Balance Sheet/Statement of Net Assets - Governmental Funds
 August 31, 2014

	Governmental Fund Types	
	General Funds (Ex A-1)	Governmental Funds Total
Fund Financial Statement		
Fund Balances (Deficits):		
Nonspendable for:		
Inventories	73,681.32	73,681.32
Committed	223,650.99	223,650.99
Assigned	27,758.36	27,758.36
Unassigned	1,213,670.96	1,213,670.96
Total Fund Balances	1,538,761.63	1,538,761.63
 Total Liabilities and Fund Balances	\$ 4,250,915.37	\$ 4,250,915.37
 Government-Wide Statement of Net Assets		
Net Assets		
Invested in Capital Assets, net of Related Debt		
Unrestricted		
Total Net Assets		

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<u>Capital Assets Adjustments</u>	<u>Long-Term Liabilities Adjustments</u>	<u>Statement of Net Assets</u>
-	-	73,681.32
-	-	223,650.99
-	-	27,758.36
-	-	1,213,670.96
-	-	1,538,761.63
<u>\$ -</u>	<u>\$ 2,085,400.76</u>	<u>\$ 6,336,316.13</u>
\$ 126,563.41	\$ -	\$ 126,563.41
-	(2,085,400.76)	(2,085,400.76)
<u>\$ 126,563.41</u>	<u>\$ (2,085,400.76)</u>	<u>\$ (420,075.72)</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit II - Combined Statement of Revenues, Expenditures and
Changes in Fund Balances/Statement of Activities - Governmental Funds
For the Fiscal Year Ended August 31, 2014

	<u>General Funds</u>	<u>Governmental Funds Total</u>
REVENUES		
Legislative Appropriations		
Original Appropriations (GR)	\$ 22,706,877.00	\$ 22,706,877.00
Additional Appropriations (GR)	5,235,030.53	5,235,030.53
Federal Revenue (PR - Operating or Capital)	-	-
Taxes (GR)	-	-
License, Fees & Permits (PR)	666,495.78	666,495.78
Sales of Goods and Services (PR)	1,436,069.92	1,436,069.92
Other (GR)	53,569.12	53,569.12
Total Revenues	<u>\$ 30,098,042.35</u>	<u>\$ 30,098,042.35</u>
EXPENDITURES		
Salaries and Wages	\$ 18,373,196.15	\$ 18,373,196.15
Payroll Related Costs	5,236,197.17	5,236,197.17
Professional Fees and Services	632,522.37	632,522.37
Travel	896,461.15	896,461.15
Materials and Supplies	990,969.78	990,969.78
Communication and Utilities	328,276.30	328,276.30
Repairs and Maintenance	262,613.83	262,613.83
Rentals and Leases	775,513.32	775,513.32
Printing and Reproduction	247,282.39	247,282.39
Claims and Judgements	-	-
Intergovernmental Payments	-	-
Other Expenditures	1,578,527.01	1,578,527.01
Debt Service-Principal-Capital Leases	-	-
Capital Outlay	24,731.74	24,731.74
Depreciation Expense	-	-
Other Capital Financing Sources/Uses	-	-
Total Expenditures/Expenses	<u>\$ 29,346,291.21</u>	<u>\$ 29,346,291.21</u>
Excess (Deficiency) of Revenues over Expenditures	<u>\$ 751,751.14</u>	<u>\$ 751,751.14</u>
OTHER FINANCING SOURCES (USES)		
Net Change in Inventories	\$ -	\$ -
Transfers Out	-	-
Legislative Transfers In	(3,820.98)	(3,820.98)
Legislative Transfers Out	-	-
Total Other Financing Sources (Uses)	<u>\$ (3,820.98)</u>	<u>\$ (3,820.98)</u>
Net Change in Fund Balances/Net Assets	<u>\$ 747,930.16</u>	<u>\$ 747,930.16</u>

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Capital Assets Adjustments	Long-Term Liabilities Adjustments	Statement of Activities
\$ -	\$ -	\$ 22,706,877.00
		5,235,030.53
		-
		-
		666,495.78
		1,436,069.92
		53,569.12
<u>\$ -</u>	<u>\$ -</u>	<u>\$ 30,098,042.35</u>
	\$ 12,404.00	\$ 18,385,600.15
		5,236,197.17
		632,522.37
		896,461.15
\$ 12,999.25		1,003,969.03
		328,276.30
		262,613.83
		775,513.32
		247,282.39
		-
		-
		1,578,527.01
		-
(24,731.74)		-
\$ 61,576.40		-
\$ -		-
<u>\$ 49,843.91</u>	<u>\$ 12,404.00</u>	<u>\$ 29,346,962.72</u>
<u>\$ (49,843.91)</u>	<u>\$ (12,404.00)</u>	<u>\$ 751,079.63</u>
\$ 12,999.25	\$ -	\$ 12,999.25
-	-	-
-	-	(3,820.98)
-	-	-
<u>\$ 12,999.25</u>	<u>\$ -</u>	<u>\$ 9,178.27</u>
<u>\$ (36,844.66)</u>	<u>\$ (12,404.00)</u>	<u>\$ 760,257.90</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit II - Combined Statement of Revenues, Expenditures and
Changes in Fund Balances/Statement of Activities - Governmental Funds
For the Fiscal Year Ended August 31, 2014

	General Funds	Governmental Funds Total
Fund Financial Statement - Fund Balances		
Fund Balances - September 1, 2013	\$ 1,003,177.59	\$ 1,003,177.59
Restatements	-	-
Appropriations Lapsed	(212,346.12)	(212,346.12)
Fund Balances, August 31, 2014	\$ 1,538,761.63	\$ 1,538,761.63

Government-Wide Statement of Net Assets

Net Assets/Net Change in Net Assets

Net Assets, Beginning

Net Assets as of August 31, 2014

Agency Total

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Capital Assets Adjustments	Long-Term Liabilities Adjustments	Statement of Activities
		\$ 1,003,177.59
		-
		(212,346.12)
		\$ 1,538,761.63
\$ (36,844.66)	\$ (12,404.00)	\$ (49,248.66)
\$ 163,408.07	\$ (2,072,996.76)	\$ (1,909,588.69)
\$ 126,563.41	\$ (2,085,400.76)	\$ (1,958,837.35)
		\$ (420,075.72)

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit VI - Combined Statement of Net Assets - Fiduciary Funds
August 31, 2014

	Private-Purpose Trust Funds (Exhibit I-1)	Agency Funds (Exhibit J-1)	Totals
ASSETS			
Cash and Cash Equivalents			
Cash in State Treasury	\$ 1,169,714.80	\$ 6,185.20	\$ 1,175,900.00
Due from Other Funds	\$ 150.00	\$ -	\$ 150.00
Total Assets	<u>\$ 1,169,864.80</u>	<u>\$ 6,185.20</u>	<u>\$ 1,176,050.00</u>
LIABILITIES			
Current Liabilities			
Funds Held For Others	\$ 24,970.00	\$ 6,185.20	\$ 31,155.20
Total Liabilities	<u>\$ 24,970.00</u>	<u>\$ 6,185.20</u>	<u>\$ 31,155.20</u>
NET ASSETS			
Held in Trust For			
Individuals, Organizations, and Other Governments			
Expendable	\$ 343,232.30	\$ -	\$ 343,232.30
Non-Expendable	851,602.50	-	851,602.50
Total Net Assets	<u>\$ 1,194,834.80</u>	<u>\$ -</u>	<u>\$ 1,194,834.80</u>

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Exhibit VII - Combined Statement of Changes in Fiduciary Net Assets
 For the Fiscal Year Ended August 31, 2014

	Private-Purpose Trust Funds (Exhibit I-2)	Totals
Additions		
Investment Income		
From Investing Activities:		
Interest and Investment Income	\$ 1,152.62	\$ 1,152.62
Total Investing Income (Loss)	\$ 1,152.62	\$ 1,152.62
Net Income from Investing Activities	\$ 1,152.62	\$ 1,152.62
Total Net Investment Income (Loss)	\$ 1,152.62	\$ 1,152.62
Other Additions		
Other Revenue	\$ 314,441.84	\$ 314,441.84
Total Other Additions	\$ 314,441.84	\$ 314,441.84
Total Additions	\$ 315,594.46	\$ 315,594.46
Deductions		
Salaries and Wages	\$ 25,064.61	\$ 25,064.61
Payroll Related Costs	4,893.67	4,893.67
Settlement of Claims	6,282.75	6,282.75
Other Expense	\$ 2,421.17	\$ 2,421.17
Total Deductions	\$ 38,662.20	\$ 38,662.20
Net Increase (Decrease)	\$ 276,932.26	\$ 276,932.26
Net Assets - September 1, 2013	\$ 917,902.54	\$ 917,902.54
Net Assets - August 31, 2014	\$ 1,194,834.80	\$ 1,194,834.80

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452) NOTES TO THE FINANCIAL STATEMENTS

NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Entity

The Texas Department of Licensing and Regulation is an agency of the State of Texas and its financial records comply with state statutes and regulations. This includes compliance with the Texas Comptroller of Public Accounts' *Reporting Requirements for Annual Financial Reports of State Agencies and Universities*.

The Texas Department of Licensing and Regulation was created by the 71st Legislature in House Bill 863 and operates under the authority of Texas Occupations Code, Chapter 51. The mission of the Department is to maintain public trust by ensuring the public's safety and promoting a fair and competitive business environment for our regulated industries.

Due to the statewide requirements embedded in GASB Statement No. 34, *Basic Financial Statements -and Management's Discussion and Analysis -for State and Local Governments*, the Comptroller of Public Accounts does not require the accompanying annual financial report to comply with all the requirements in this statement. The financial report is considered for audit by the state auditor as part of the audit of the State of Texas *Comprehensive Annual Financial Report*; therefore, an opinion has not been expressed on the financial statements and related information contained in this report.

Blended Component Units

The Texas Department of Licensing and Regulation does not have any blended component units.

Discretely Presented Component Units

The Texas Department of Licensing and Regulation does not have any discretely presented component units.

Fund Structure

The accompanying financial statements are presented on the basis of funds, each of which is considered a separate accounting entity.

Governmental Fund Types and Government-wide Adjustment Fund Types

General Revenue Funds The general revenue fund (fund 0001) is used to account for all financial resources of the state except those required to be accounted for in another fund.

Capital Assets Adjustment Fund Type The capital asset adjustment fund (fund 9998) is used to convert governmental fund types' capital assets from modified accrual to full accrual.

Long-Term Liabilities Adjustment Fund Type The long-term liabilities adjustment fund (fund 9997) is used to convert governmental fund types' debt from modified accrual to full accrual.

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Fiduciary Fund Types

Fiduciary funds account for assets held by the state in a trustee capacity or as an agent for individuals, private organizations, other governmental units, and/or other funds. When assets are held under the terms of a formal trust agreement, either a pension trust fund or a private purpose trust fund is used.

Agency Funds

Agency funds are used to account for assets the government holds on behalf of others in a purely custodial capacity. Agency funds involve only the receipt, temporary investment, and remittance of fiduciary resources to individuals, private organizations, or other governments.

The child support employee deductions offset account (fund 0807) temporarily holds money withheld from the salaries of state employees for child support deductions subsequently distributed through the statewide clearing house.

Departmental suspense (fund 0900) provides a temporary depository for money held in suspense pending fund disposition. Items held in the fund are cleared to the various special funds or the general revenue fund, or are returned to the payer.

Private-Purpose Trust Funds

Private-Purpose Trust Funds are used to account for all other trust arrangements whose principal and interest benefit individuals, private organizations, or other governments.

The service contract providers securities trust account (fund 0846) holds financial security deposits required to be paid by service contract providers.

The auctioneer education and recovery trust fund (fund 0898) holds funds collected as additional fees from licensed auctioneers for payment of claims against licensed auctioneers and for education of auctioneers and promotion of the profession.

Basis of Accounting

The basis of accounting determines when revenues and expenditures or expenses are recognized in the accounts reported in the financial statements. The accounting and financial reporting treatment applied to a fund is determined by its measurement focus.

Governmental fund types that build the fund financial statements are accounted for using the modified accrual method basis of accounting. Under the modified accrual, revenues are recognized in the period in which they become both measurable and available to finance operations of the fiscal year or liquidate liabilities existing at fiscal year-end.

The State of Texas considers receivables collected within sixty (60) days after year-end to be available and recognizes them as revenues of the current year for fund financial statements prepared on the modified accrual basis. Expenditures and other uses of financial resources are recognized when the related liability is incurred.

Governmental adjustment fund types that will build the government-wide financial statements are accounted for using the full accrual method of accounting. The following activities are recognized in these fund types: capital assets, accumulated depreciation, un-paid employee compensable leave, the un-matured

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debt service (principal and interest) on general long-term liabilities, long-term capital leases, long-term claims and judgments, and full accrual revenues and expenses.

Private-purpose trust funds are accounted for on the full accrual basis of accounting. Under the full accrual basis of accounting, revenues are recognized when earned and expenses are recognized at the time liabilities are incurred.

Budget and Budgetary Accounting

The budget is prepared biennially and represents appropriations authorized by the legislature and approved by the governor (the General Appropriations Act).

Unencumbered appropriations are generally subject to lapse 60 days after the end of the fiscal year for which they were appropriated.

Assets, Liabilities, and Fund Balances/Net Assets

Assets

Cash and Cash Equivalents

Short-term highly liquid investments with an original maturity of three months or less are considered cash equivalents.

Inventories

Inventories include both merchandise inventories on hand for sale and consumable inventories. Inventories are valued at cost, generally utilizing the first-in, first-out method. The consumption method of accounting is used to account for inventories that appear in the governmental fund types. The cost of these items is expensed when the items are consumed.

Capital Assets

Assets with an initial, individual cost of more than \$5,000 and an estimated useful life in excess of one year are capitalized. These assets are capitalized at cost or, if not purchased, at appraised fair value as of the date of acquisition. Purchases of assets by governmental funds are reported as expenditures. Depreciation is reported on all "exhaustible" assets. Assets are depreciated over the estimated useful life of the asset using the straight-line method.

Liabilities

Accounts Payable

Accounts payable represents the liability for the value of assets or services received at the balance sheet date for which payment is pending.

Employees' Compensable Leave Balances

Employees' compensable leave balances represent the liability that becomes "due" upon the occurrence of

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relevant events such as resignations, retirements, and uses of leave balances by covered employees. Liabilities are reported separately as either current or noncurrent in the statement of net assets. These obligations are normally paid from the same funding source from which each employee's salary or wage compensation was paid.

Fund Balance/Net Assets

The difference between fund assets and liabilities is "net assets" on the government-wide and fiduciary fund statements, and the "fund balance" is the difference between fund assets and liabilities on the governmental fund statements.

Fund Balance Components

Fund balances for governmental funds are classified as non-spendable, restricted, committed, assigned or unassigned in the fund financial statements.

- **Nonspendable fund balance** includes amounts not available to be spent because they are either (1) not in spendable form or (2) legally or contractually required to be maintained intact.
- **Committed fund balance** can be used only for specific purposes pursuant to constraints imposed by a formal action of the Texas Legislature, the state's highest level of decision making authority.
- **Assigned fund balance** includes amounts constrained by the state's intent to be used for specific purposes, but are neither restricted nor committed. Intent is expressed by (1) the Texas Legislature or (2) a body (for example, a budget or finance committee) or official to which the governing body has delegated the authority to assign amounts to be used for specific purposes.
- **Unassigned fund balance** is the residual classification for the general fund. This classification represents fund balance that was not assigned to other funds and was not restricted, committed or assigned to specific purposes within the general fund.

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Invested in Capital Assets, Net of Related Debt

Invested in capital assets, net of related debt consists of capital assets, net of accumulated depreciation and reduced by outstanding balances for bond, notes and other debt that are attributed to the acquisition, construction, or improvement of those assets.

Unrestricted Net Assets

Unrestricted net assets consist of net assets that do not meet the definition of the two preceding categories. Unrestricted net assets often have constraints on resources, which are imposed by management but can be removed or modified.

INTERFUND ACTIVITIES AND BALANCES

The agency has the following types of transactions between funds:

- 1) Transfers: Legally required transfers that are reported when incurred as "transfers in" by the recipient fund and as "transfers out" by the disbursing fund.
- 2) Reimbursements: Reimbursements are repayments from funds responsible for expenditures or expenses to funds that made the actual payment. Reimbursements of expenditures made by one fund for another are recorded as expenditures in the reimbursing fund and as a reduction of expenditures in the reimbursed fund. Reimbursements are not displayed in the financial statements.

The composition of the agency's interfund activities and balances are presented in Note 12.

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NOTE 2: CAPITAL ASSETS

A summary of changes in Capital Assets for the year ended August 31, 2014 is presented below:

	PRIMARY GOVERNMENT						Balance 08/31/14
	Balance 09/01/13	Adjustments	Reclassifications Ine-Int'agy Trans	Reclassifications Dec-Int'agy Trans	Additions	Deletions	
GOVERNMENTAL ACTIVITIES							
Depreciable Assets							
Buildings and Building Improvements	194,131.57	-	-	-	-	-	194,131.57
Furniture and Equipment	437,328.68	-	-	-	24,731.74	(23,009.00)	439,051.42
Vehicle, Boats and Aircraft	86,760.94	-	-	-	-	-	86,760.94
Total Depreciable Assets	718,221.19	0	0	0	24,731.74	(23,009.00)	719,943.93
Less Accumulated Depreciation for:							
Buildings and Building Improvements	(184,424.99)	-	-	-	-	-	(184,424.99)
Furniture and Equipment	(311,302.81)	-	-	-	(53,273.84)	23,009.00	(341,567.65)
Vehicles, Boats and Aircraft	(39,085.32)	-	-	-	(8,302.56)	-	(67,387.88)
Total Accumulated Depreciation	(554,813.12)	0	0	0	(61,576.40)	23,009.00	(593,380.52)
Depreciable Assets, Net	163,408.07	0	0	0	(36,844.66)	0	126,563.41
Amortizable Assets - Intangible							
Computer Software	30,041.73	-	-	-	-	-	30,041.73
Total Amortizable Assets - Intangible	30,041.73	0	0	0	0	0	30,041.73
Less Accumulated Amortization for:							
Computer Software	(30,041.73)	-	-	-	-	-	(30,041.73)
Total Accumulated Amortization	(30,041.73)	0	0	0	0	0	(30,041.73)
Amortizable Assets - Intangible, Net	0	0	0	0	0	0	0
Governmental Activities Capital Assets, Net	163,408.07	0	0	0	(36,844.66)	0	126,563.41

NOTE 3: DEPOSITS, INVESTMENTS AND REPURCHASE AGREEMENTS

Not applicable

NOTE 4: SHORT-TERM LIABILITIES

Not applicable

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NOTE 5: LONG TERM LIABILITIES

Changes In Long-Term Liabilities During the year ended August 31, 2014, the following changes occurred in liabilities.

Governmental Activities	Balance 9/1/2012	Additions	Deductions	Balance 8/31/2013	Amount Due Within 1 Year
Compensable Leave	2,072,996.76	1,831,833.81	(1,819,429.81)	2,085,400.76	1,222,827.74
Total Gov't Activities	2,072,996.76	1,831,833.81	(1,819,429.81)	2,085,400.76	1,222,827.74

Notes and Loans Payable: The agency did not have any notes or loans payable as of August 31, 2014.

Employees' Compensable Leave If a state employee has had continuous employment with the state for at least six months, the state employee is entitled to be paid for all unused vacation time accrued in the event of the employee's resignation, dismissal, or separation from state employment.

Expenditures for accumulated annual leave balances are recognized in the period paid or taken in governmental fund types. For these fund types, the liability for unpaid benefits is recorded in the statement of net assets. No liability is recorded for non-vesting employees accumulating rights to receive sick pay benefits. This obligation is usually paid from the same funding source(s) from which the employee's salary or wage compensation was paid.

NOTE 6: BONDED INDEBTEDNESS

Not applicable

NOTE 7: CAPITAL LEASES

Not applicable

NOTE 8: OPERATING LEASES

Not applicable

NOTE 9: RETIREMENT PLANS

Not applicable

NOTE 10: DEFERRED COMPENSATION

Not applicable

NOTE 11: POSTEMPLOYMENT HEALTH CARE AND LIFE INSURANCE BENEFITS

Not applicable

UNAUDITED

NOTE 12: INTERFUND ACTIVITIES AND TRANSACTIONS

The Texas Department of Licensing and Regulation experienced routine transfers with other state agencies that were consistent with the activities of the fund making the transfer. Repayment of interagency balances will occur within one year from the date of the financial statement.

Individual balances and activity at August 31, 2014 follows:

Due From/Due to	Due From Other Funds	Due To Other Funds	Source
General Revenue (01)			
Appd Fund 0001, D23 Fund 0001			
To Agency 452, D23 Fund 0898		150.00	Error in Deposit
Private Purpose Trust (20)			
AERF Fund 0898, D23 Fund 0898			
From Agency 452, D23 Fund 0001	150.00		Error in Deposit
Total Due From/To Other Funds	150.00	150.00	

Operating Transfers	Transfers In	Transfers Out	Purpose
General Revenue, Dedicated (01)			
Appd Fund 0099, D23 Fund 0990			
Agency 405, Fund 0099	(3,820.98)		SB 1005, 81st Leg, RS
Total Transfers	(3,820.98)	-	

NOTE 13: CONTINUANCE SUBJECT TO REVIEW

Under the Texas Sunset Act, the Texas Department of Licensing and Regulation will be abolished effective September 1, 2019, unless continued in existence by the 85th Legislature as provided by the Act. If abolished, the agency may continue until September 1, 2020, to close out its operations.

NOTE 14: ADJUSTMENTS TO FUND BALANCES AND NET ASSETS

Not applicable

NOTE 15: CONTINGENT LIABILITIES

Not applicable

NOTE 16: SUBSEQUENT EVENTS

Not applicable

NOTE 17: RISK MANAGEMENT

Not applicable

UNAUDITED

NOTE 18: MANAGEMENT DISCUSSION AND ANALYSIS

Not applicable

NOTE 19: THE FINANCIAL REPORTING ENTITY

Not applicable

NOTE 20: STEWARDSHIP, COMPLIANCE AND ACCOUNTABILITY

Not applicable

NOTE 21: N/A

Not applicable to the AFR reporting requirement process

NOTE 22: DONOR RESTRICTED ENDOWMENTS

Not applicable

NOTE 23: EXTRAORDINARY AND SPECIAL ITEMS

Not applicable

NOTE 24: DISAGGREGATION OF RECEIVABLE AND PAYABLE BALANCES

Not applicable

NOTE 25: TERMINATION BENEFITS

Not applicable

NOTE 26: SEGMENT INFORMATION

Not applicable

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Exhibit A-1 - Combining Balance Sheet - All General and Consolidated Funds
 August 31, 2014

	<u>General</u> <u>Fund 1</u> <u>(0001)</u> <u>U/F (0001)</u>	<u>Operators and</u> <u>Chauffeurs License</u> <u>Account</u> <u>Fund 1</u> <u>(0990)</u> <u>U/F (0099)</u>	<u>Beauty School</u> <u>Tuition Protection</u> <u>Account</u> <u>Fund 1</u> <u>(0108)</u> <u>U/F (0108)</u>	<u>Barber School</u> <u>Tuition Protection</u> <u>Account</u> <u>Fund 1</u> <u>(5081)</u> <u>U/F (5081)</u>
ASSETS				
Current Assets:				
Cash				
Cash On Hand	\$ 200.00	\$ -	\$ -	\$ -
Cash In State Treasury	-	-	198,485.97	25,165.02
Legislative Appropriations	3,925,624.70	-	-	-
Due from Other Funds	-	-	-	-
Consumable Inventories	73,681.32	-	-	-
Total Current Assets	<u>\$ 3,999,506.02</u>	<u>\$ -</u>	<u>\$ 198,485.97</u>	<u>\$ 25,165.02</u>
Total Assets	<u>\$ 3,999,506.02</u>	<u>\$ -</u>	<u>\$ 198,485.97</u>	<u>\$ 25,165.02</u>
LIABILITIES AND FUND BALANCES				
Liabilities				
Current Liabilities:				
Payables from:				
Accounts	671,802.78	-	-	-
Payroll	2,040,200.96	-	-	-
Due to Other Funds	150.00	-	-	-
Funds Held for Others	-	-	-	-
Total Current Liabilities	<u>\$ 2,712,153.74</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Total Liabilities	<u>\$ 2,712,153.74</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Fund Balances (Deficits):				
Nonspendable for:				
Inventories	\$ 73,681.32	\$ -	\$ -	\$ -
Committed	-	-	198,485.97	25,165.02
Assigned	-	-	-	-
Unassigned	1,213,670.96	-	-	-
Total Fund Balances	<u>\$ 1,287,352.28</u>	<u>\$ -</u>	<u>\$ 198,485.97</u>	<u>\$ 25,165.02</u>
Total Liabilities and Fund Balances	<u>\$ 3,999,506.02</u>	<u>\$ -</u>	<u>\$ 198,485.97</u>	<u>\$ 25,165.02</u>

UNAUDITED

Departmental Suspense Account Fund 1 (0999) U/F (0900)	Total (Exh. I)
\$ -	\$ 200.00
27,758.36	251,409.35
-	3,925,624.70
-	-
-	73,681.32
<u>\$ 27,758.36</u>	<u>\$ 4,250,915.37</u>
<u>\$ 27,758.36</u>	<u>\$ 4,250,915.37</u>
-	\$ 671,802.78
-	2,040,200.96
-	150.00
-	-
<u>\$ -</u>	<u>\$ 2,712,153.74</u>
<u>\$ -</u>	<u>\$ 2,712,153.74</u>
\$ -	\$ 73,681.32
-	223,650.99
27,758.36	27,758.36
-	1,213,670.96
<u>\$ 27,758.36</u>	<u>\$ 1,538,761.63</u>
<u>\$ 27,758.36</u>	<u>\$ 4,250,915.37</u>

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

Exhibit I-1 - Combining Statement of Fiduciary Net Assets - Private-Purpose Trust Funds

August 31, 2014

	Private- Purpose Trust Fund 1 (0846) U/F (0846)	Private- Purpose Trust Fund 2 (0898) U/F (0898)	Totals (Ex VI)
ASSETS			
Cash and Cash Equivalents			
Cash in State Treasury	\$ 826,602.50	\$ 343,112.30	\$ 1,169,714.80
Due from Other Funds	\$ -	\$ 150.00	\$ 150.00
Total Current Assets	\$ 826,602.50	\$ 343,262.30	\$ 1,169,864.80
Total Assets	\$ 826,602.50	\$ 343,262.30	\$ 1,169,864.80
LIABILITIES			
Current Liabilities			
Funds Held for Others	\$ 25,000.00	\$ (30.00)	\$ 24,970.00
Total Current Liabilities	\$ 25,000.00	\$ (30.00)	\$ 24,970.00
Total Liabilities	\$ 25,000.00	\$ (30.00)	\$ 24,970.00
NET ASSETS			
Held in Trust For:			
Individuals, Organizations, and Other Governments			
Expendable	\$ -	\$ 343,232.30	\$ 343,232.30
Non-Expendable	\$ 851,602.50	\$ -	\$ 851,602.50
Net Assets	\$ 851,602.50	\$ 343,232.30	\$ 1,194,834.80

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
Exhibit I-2 - Combining Statement of Changes in Fiduciary Net Assets - Private-Purpose Trust Funds
For the Fiscal Year Ended August 31, 2014

	Private- Purpose Trust Fund 1 (0846) U/F (0846)	Private- Purpose Trust Fund 2 (0898) U/F (0898)	Totals (Ex VII)
Additions			
Investment Income			
From Investing Activities:			
Interest and Investment Income	\$ -	\$ 1,152.62	\$ 1,152.62
Total Investing Income (Loss)	\$ -	\$ 1,152.62	\$ 1,152.62
Net Income from Investing Activities	\$ -	\$ 1,152.62	\$ 1,152.62
 Total Net Investment Income (Loss)	 \$ -	 \$ 1,152.62	 \$ 1,152.62
 Other Additions			
Other Revenue	\$ 250,000.00	\$ 64,441.84	\$ 314,441.84
Total Other Additions	\$ 250,000.00	\$ 64,441.84	\$ 314,441.84
 Total Additions	 \$ 250,000.00	 \$ 65,594.46	 \$ 315,594.46
Deductions			
Salaries and Wages	\$ -	\$ 25,064.61	\$ 25,064.61
Payroll Related Costs	-	4,893.67	4,893.67
Settlement of Claims	-	6,282.75	6,282.75
Other Expense	-	2,421.17	2,421.17
Total Deductions	\$ -	\$ 38,662.20	\$ 38,662.20
 Net Increase (Decrease)	 \$ 250,000.00	 \$ 26,932.26	 \$ 276,932.26
 Net Assets - September 1, 2013	 \$ 601,602.50	 \$ 316,300.04	 \$ 917,902.54
 Net Assets - August 31, 2014	 \$ 851,602.50	 \$ 343,232.30	 \$ 1,194,834.80

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

Exhibit J-1 - Combining Statement of Changes in Assets and Liabilities - Agency Funds

August 31, 2014

	Beginning Balance 9/1/2013	Additions	Deductions	Ending Balance 8/31/2014
Agency Fund #1 (0807) U/F (0807)				
ASSETS				
Cash in State Treasury	\$ 4,766.00	\$ 61,093.00	\$ 61,128.00	\$ 4,731.00
Total Assets	<u>\$ 4,766.00</u>	<u>\$ 61,093.00</u>	<u>\$ 61,128.00</u>	<u>\$ 4,731.00</u>
LIABILITIES				
Funds Held for Others	4,766.00	117,455.00	117,490.00	4,731.00
Total Liabilities	<u>\$ 4,766.00</u>	<u>\$ 117,455.00</u>	<u>\$ 117,490.00</u>	<u>\$ 4,731.00</u>
Agency Fund #2 (0980) U/F (0980)				
ASSETS				
Cash in State Treasury	\$ -	\$ 9,217.71	\$ 7,763.51	\$ 1,454.20
Total Assets	<u>\$ -</u>	<u>\$ 9,217.71</u>	<u>\$ 7,763.51</u>	<u>\$ 1,454.20</u>
LIABILITIES				
Funds Held for Others	-	9,217.71	7,763.51	1,454.20
Total Liabilities	<u>\$ -</u>	<u>\$ 9,217.71</u>	<u>\$ 7,763.51</u>	<u>\$ 1,454.20</u>
Totals - All Agency Funds				
ASSETS				
Cash in State Treasury	\$ 4,766.00	\$ 70,310.71	\$ 68,891.51	\$ 6,185.20
Total Assets	<u>\$ 4,766.00</u>	<u>\$ 70,310.71</u>	<u>\$ 68,891.51</u>	<u>\$ 6,185.20</u>
LIABILITIES				
Funds Held for Others	\$ 4,766.00	\$ 126,672.71	\$ 125,253.51	\$ 6,185.20
Total Liabilities	<u>\$ 4,766.00</u>	<u>\$ 126,672.71</u>	<u>\$ 125,253.51</u>	<u>\$ 6,185.20</u>

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 1

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
01	001	N	0010	CASH ON HAND	.00	.00
		N	0020	PETTY CASH ON HAND	200.00	200.00
GL	CLS		001	CA CASH ON HAND	200.00	200.00
01	004	N	0045	CASH IN STATE TREASURY	283,211,609.15-	256,390,562.91-
		N	0047	SHARED CASH	.00	.00
		N	0048	LEGISLATIVE CASH	283,211,609.15	256,390,562.91
GL	CLS		004	CA CASH IN STATE TREASURY	.00	.00
01	020	N	9000	LEGISLATIVE APPROPRIATIONS	3,925,624.70	3,017,109.53
GL	CLS		020	CA LEGISLATIVE APPROPRIATIONS	3,925,624.70	3,017,109.53
01	052	N	0231	ACCTS. RECEIVABLE - UNBILLED	.00	.00
GL	CLS		052	CA ACCOUNTS RECEIVABLES, NET	.00	.00
01	065	N	0279	CA INTERFUND RECEIVABLE-NO POST DOC	.00	.00
GL	CLS		065	CA INTERFUND RECEIVABLE	.00	.00
01	070	N	0283	DUE FROM OTHER FUNDS	.00	.00
		N	0283	DUE FROM OTHER FUNDS	45209000	.00
		N	0283	DUE FROM OTHER FUNDS	45200010	.73
		N	0283	DUE FROM OTHER FUNDS	45210000	.00
GL	CLS		070	CA DUE FROM OTHER FUNDS	.00	.73
01	072	N	0284	DUE FROM OTHER AGENCIES	36000010	.00
		N	0284	DUE FROM OTHER AGENCIES	55100010	.00
		N	0284	DUE FROM OTHER AGENCIES	58246800	.00
GL	CLS		072	CA DUE FROM OTHER AGENCIES	.00	.00
01	080	N	0285	CONSUM. INVENTORIES (MAT. AND SUPPLI	73,681.32	60,682.07
GL	CLS		080	CA CONSUMABLE INVENTORIES	73,681.32	60,682.07

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 2

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
01	081	N	0290	MDSE INVENTORIES (STORES FOR RESALE)	.00	.00
	GL	CLS	081	CA MERCHANDISE INVENTORIES	.00	.00
*	GLA	CAT	01	CURRENT ASSETS	3,999,506.02	3,077,992.33
11	190	N	0410	AMTS TO BE PROVI FY-OTHER OBLIGATION	.00	.00
	GL	CLS	190	RETIREMNT OF OTHR GENERAL LONG-TERM DEBT	.00	.00
*	GLA	CAT	11	OTHER DEBITS	.00	.00
**	TOTAL	ASSETS	AND	OTHER DEBITS	3,999,506.02	3,077,992.33
21	200	N	1009	VOUCHERS PAYABLE	49,657.10-	549.20-
		N	1010	ACCOUNTS PAYABLE	622,145.68-	896,772.54-
	GL	CLS	200	CL ACCOUNTS PAYABLE	671,802.78-	897,321.74-
21	203	N	1015	PAYROLL PAYABLE	2,040,200.96-	1,942,816.13-
		N	1016	PAYROLL PAYABLE-SEMIMONTHLY	.00	.00
	GL	CLS	203	CL PAYROLL PAYABLE	2,040,200.96-	1,942,816.13-
21	205	N	1049	CL INTERFUND PAYABLE	.00	.00
	GL	CLS	205	CL INTERFUND PAYABLE	.00	.00
21	210	N	1053	DUE TO OTHER FUNDS	45208980 150.00-	.00
		N	1053	DUE TO OTHER FUNDS	45209000 .00	.00
		N	1053	DUE TO OTHER FUNDS	45210000 .00	.00
		N	1053	DUE TO OTHER FUNDS	45214510 .00	.73-
	GL	CLS	210	CL DUE TO OTHER FUNDS	150.00-	.73-
21	211	N	1050	DUE TO OTHER AGENCIES	.00	.00
		N	1050	DUE TO OTHER AGENCIES	32001650 .00	.00
		N	1050	DUE TO OTHER AGENCIES	32500010 .00	.00
		N	1050	DUE TO OTHER AGENCIES	47900010 .00	.00
		N	1050	DUE TO OTHER AGENCIES	90200010 .00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 3

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
TITLE						
	GL	CLS	211	CL DUE TO OTHER AGENCIES	.00	.00
21	230	N	1025	CL EMPLOYEE'S COMPENSABLE LEAVE	.00	.00
	GL	CLS	230	CL EMPLOYEE'S COMPENSABLE LEAVE	.00	.00
21	300	N	1149	FUNDS HELD FOR OTHERS	.00	.00
	GL	CLS	300	CL FUNDS HELD FOR OTHERS	.00	.00
*	GLA	CAT	21	CURRENT LIABILITIES	2,712,153.74-	2,840,138.60-
**	TOTAL LIABILITIES AND OTHER CREDITS				2,712,153.74-	2,840,138.60-
51	360	N	2050	FD BAL-RESERVED FOR ENCUMBRANCES	.00	.00
	GL	CLS	360	FD BAL RESERVED FOR ENCUMBRANCES	.00	.00
51	362	N	2075	FD BAL-RESERVED FOR CONSUM. INVENT.	.00	.00
	N	2080	FD BAL-RESERVED FOR MERCHAN. INVENT.	.00	.00	
	GL	CLS	362	FD BAL RESERVED FOR INVENTORIES	.00	.00
51	364	N	2065	FD BAL-RESERVED FOR IMPREST ACCOUNTS	.00	.00
	GL	CLS	364	FD BAL RESERVED FOR IMPREST ACCT.	.00	.00
51	510	N	2301	FD BAL-NONSPND FOR INVENTORY	73,681.32-	60,682.07-
	GL	CLS	510	FD BAL-NONSPENDABLE	73,681.32-	60,682.07-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED	1,213,670.96-	177,171.66-
	GL	CLS	550	FD BAL-UNASSIGNED	1,213,670.96-	177,171.66-
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER	.00	.00
	N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY	.00	.00	
	GL	CLS	620	FUND BALANCE - UNRESERVED/UNDESIGNATED	.00	.00
51	630	N	2055	FB - UNENCUM APPROP - SUBJECT TO LAP	.00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 4

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0001 GENERAL REVENUE (0001)-GENERAL

				AGY	CURRENT	PRIOR
GL	GL	B/C	COMP	GL	YEAR	YEAR
CT	CLS	IND	GL	TITLE		
51	630	N	2060	FB-RES FOR UNENCUM APPR-FUTURE OPERA	.00	.00
		N	2250	FUND BAL-UNRES-RES'D SELF-INSURED PL	.00	.00
	GL	CLS	630	OBSOLETE FB ACCTS UNDER GASB 34	.00	.00
51	800	N	9001	ENCUMBRANCES	71,576.05	48,980.75
		N	9003	ENCUMBRANCES (REPORTING AGENCIES)	.00	.00
		N	9005	BUDGET RESERVATION FOR ENCUMBRANCES	71,576.05-	48,980.75-
	GL	CLS	800	BUDGETARY	.00	.00
51	950	N	9200	PAYROLL CLEARING	.00	.00
		N	9201	PAYROLL CLEARING OFFSET	.00	.00
		N	9202	PAYROLL SYSTEM CLEARING	.00	.00
	GL	CLS	950	SYSTEM ACCOUNTS	.00	.00
	* GLA	CAT	51	FUND BALANCE (DEFICITS)	1,287,352.28-	237,853.73-
	**	TOTAL FUND BALANCE/NET POSITION WITH CURRENT CHANGES			1,287,352.28-	237,853.73-
	**	TOTAL LIABILITIES, OTHER CR, DEF INFLOWS AND FD BAL/NET POSITION			3,999,506.02-	3,077,992.33-
	* GAAP	FUND	0001	GENERAL REVENUE (0001)-GENERAL	.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SSL) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT(GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 5

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0099 OPERATOR&CHAUFFER LIC FD (0099) -GENERAL

 GL GL B/C COMP AGY CURRENT PRIOR
 CT CLS IND GL TITLE GL YEAR YEAR YEAR

01	004	N	0045	CASH IN STATE TREASURY				494,317.90-		488,849.38-
		N	0047	SHARED CASH				494,317.90		488,849.38
	GL CLS		004	CA CASH IN STATE TREASURY				.00		.00
01	065	N	0279	CA INTERFUND RECEIVABLE-NO POST DOC				.00		.00
	GL CLS		065	CA INTERFUND RECEIVABLE				.00		.00
01	072	N	0284	DUE FROM OTHER AGENCIES		40500990		.00		9,289.50
	GL CLS		072	CA DUE FROM OTHER AGENCIES				.00		9,289.50
	* GLA CAT		01	CURRENT ASSETS				.00		9,289.50
	** TOTAL ASSETS AND OTHER DEBITS							.00		9,289.50
21	200	N	1009	VOUCHERS PAYABLE				.00		.00
		N	1010	ACCOUNTS PAYABLE				.00		.00
	GL CLS		200	CL ACCOUNTS PAYABLE				.00		.00
21	203	N	1015	PAYROLL PAYABLE				.00		9,289.50-
	GL CLS		203	CL PAYROLL PAYABLE				.00		9,289.50-
	* GLA CAT		21	CURRENT LIABILITIES				.00		9,289.50-
	** TOTAL LIABILITIES AND OTHER CREDITS							.00		9,289.50-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED				.00		.00
	GL CLS		550	FD BAL-UNASSIGNED				.00		.00
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER				.00		.00
		N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY				.00		.00
	GL CLS		620	FUND BALANCE - UNRESERVED/UNDESIGNATED				.00		.00

TEXAS DEPT OF LICENSING & REGULATION (452)

STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 6

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0099 OPERATOR&CHAUFFER LIC FD (0099) -GENERAL

 GL GL B/C COMP AGY CURRENT PRIOR
 CT CLS IND GL TITLE GL YEAR YEAR

51	950	N	9202	PAYROLL SYSTEM CLEARING		.00	.00	
	GL	CLS	950	SYSTEM ACCOUNTS		.00	.00	
*	GLA	CAT	51	FUND BALANCE (DEFICITS)		.00	.00	
**	TOTAL FUND BALANCE/NET POSITION WITH CURRENT CHANGES						.00	.00
**	TOTAL LIABILITIES, OTHER CR, DEF INFLOWS AND FD BAL/NET POSITION						.00	9,289.50-
*	GAAP	FUND	0099	OPERATOR&CHAUFFER LIC FD (0099) -GENERAL		.00	.00	

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 7

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0108 PRI BEAUTY CULT SCH FD (0108)-GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
01	004	N	0045	CASH IN STATE TREASURY	198,485.97	198,752.10
	GL	CLS	004	CA CASH IN STATE TREASURY	198,485.97	198,752.10
*	GLA	CAT	01	CURRENT ASSETS	198,485.97	198,752.10
**	TOTAL ASSETS AND OTHER DEBITS				198,485.97	198,752.10
21	200	N	1009	VOUCHERS PAYABLE	.00	.00
	GL	CLS	200	CL ACCOUNTS PAYABLE	.00	.00
*	GLA	CAT	21	CURRENT LIABILITIES	.00	.00
**	TOTAL LIABILITIES AND OTHER CREDITS				.00	.00
51	530	N	2315	FD BAL-COMMITTED	198,485.97-	198,752.10-
	GL	CLS	530	FD BAL-COMMITTED	198,485.97-	198,752.10-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED	.00	.00
	GL	CLS	550	FD BAL-UNASSIGNED	.00	.00
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER	.00	.00
	GL	CLS	620	FUND BALANCE - UNRESERVED/UNDESIGNATED	.00	.00
*	GLA	CAT	51	FUND BALANCE (DEPICITS)	198,485.97-	198,752.10-
**	TOTAL FUND BALANCE/NET POSITION WITH CURRENT CHANGES				198,485.97-	198,752.10-
**	TOTAL LIABILITIES, OTHER CR, DEF INFLOWS AND FD BAL/NET POSITION				198,485.97-	198,752.10-
*	GAAP	FUND	0108	PRI BEAUTY CULT SCH FD (0108)-GENERAL	.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)

STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM

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GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 0900 DEPARTMENTAL SUSPENSE (0900) - GENERAL

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR
01	004	N	0047	SHARED CASH	27,758.36	541,321.46
	GL	CLS	004	CA CASH IN STATE TREASURY	27,758.36	541,321.46
01	065	N	0279	CA INTERFUND RECEIVABLE-NO POST DOC	.00	.00
	GL	CLS	065	CA INTERFUND RECEIVABLE	.00	.00
*	GLA	CAT	01	CURRENT ASSETS	27,758.36	541,321.46
**	TOTAL ASSETS AND OTHER DEBITS				27,758.36	541,321.46
21	205	N	1049	CL INTERFUND PAYABLE	.00	.00
	GL	CLS	205	CL INTERFUND PAYABLE	.00	.00
21	220	N	1046	UNEARNED REVENUES	.00	.00
	GL	CLS	220	CL UNEARNED REVENUES	.00	.00
*	GLA	CAT	21	CURRENT LIABILITIES	.00	.00
**	TOTAL LIABILITIES AND OTHER CREDITS				.00	.00
51	540	N	2320	FD BAL-ASSIGNED	27,758.36-	541,321.46-
	GL	CLS	540	FD BAL-ASSIGNED	27,758.36-	541,321.46-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED	.00	.00
	GL	CLS	550	FD BAL-UNASSIGNED	.00	.00
51	620	N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY	.00	.00
	GL	CLS	620	FUND BALANCE - UNRESERVED/UNDESIGNATED	.00	.00
*	GLA	CAT	51	FUND BALANCE (DEFICITS)	27,758.36-	541,321.46-

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 10

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 01 GENERAL
 GAAP FUND 5081 GR ACCT - BARBER SCHOOL TUITION PROTECTI

GL	GL	B/C	COMP	TITLE	AGY	CURRENT	PRIOR
CT	CLS	IND	GL		GL	YEAR	YEAR
01	004	N	0045	CASH IN STATE TREASURY		25,165.02	25,250.30
	GL	CLS	004	CA CASH IN STATE TREASURY		25,165.02	25,250.30
*	GLA	CAT	01	CURRENT ASSETS		25,165.02	25,250.30
**	TOTAL ASSETS AND OTHER DEBITS					25,165.02	25,250.30
21	200	N	1009	VOUCHERS PAYABLE		.00	.00
	GL	CLS	200	CL ACCOUNTS PAYABLE		.00	.00
*	GLA	CAT	21	CURRENT LIABILITIES		.00	.00
**	TOTAL LIABILITIES AND OTHER CREDITS					.00	.00
51	530	N	2315	FD BAL-COMMITTED		25,165.02-	25,250.30-
	GL	CLS	530	FD BAL-COMMITTED		25,165.02-	25,250.30-
51	550	N	****	2325-POST CLS FFS FB UNASSIGNED		.00	.00
	GL	CLS	550	FD BAL-UNASSIGNED		.00	.00
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER		.00	.00
	GL	CLS	620	FUND BALANCE - UNRESERVED/UNDESIGNATED		.00	.00
*	GLA	CAT	51	FUND BALANCE (DEFICITS)		25,165.02-	25,250.30-
**	TOTAL FUND BALANCE/NET POSITION WITH CURRENT CHANGES					25,165.02-	25,250.30-
**	TOTAL LIABILITIES, OTHER CR, DEF INFLOWS AND FD BAL/NET POSITION					25,165.02-	25,250.30-
*	GAAP FUND		5081	GR ACCT - BARBER SCHOOL TUITION PROTECTI		.00	.00
*	GAAP FUND TYPE		01	GENERAL		.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
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GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 11 CAPITAL ASSET BASIS CONVERSION ADJUSTMTS
 GAAP FUND 9998 GEN FIXED ASSETS ACCT GROUP

GL CT	GL CLS	B/C	COMP	TITLE	AGY GL	CURRENT YEAR	PRIOR YEAR
01	052	Y	0539	BC ACCTS. REC		.00	.00
	GL CLS		052	CA ACCOUNTS RECEIVABLES, NET		.00	.00
01	072	N	0284	DUE FROM OTHER AGENCIES	40500990	.00	.00
	GL CLS		072	CA DUE FROM OTHER AGENCIES		.00	.00
	* GLA CAT		01	CURRENT ASSETS		.00	.00
06	150	N	0355	VEHICLES, BOATS AND AIRCRAFT		.00	.00
	Y		0655	BC VEHICLES, BOATS AND AIRCRAFT		86,760.94	86,760.94
	Y		0656	BC ACCUM DEPR-VEHICLES, BOATS & AIRC		67,387.88-	59,085.32-
	GL CLS		150	VEHICLES, BOATS AND AIRCRAFT, NET		19,373.06	27,675.62
06	151	N	0345	FURNITURE/EQUIPMENT		.00	.00
	Y		0645	BC FURNITURE/EQUIPMENT		439,051.42	437,328.68
	Y		0650	BC ACCUM DEPR-FURN & EQUIP		341,567.65-	311,302.81-
	GL CLS		151	FURNITURE AND EQUIPMENT, NET		97,483.77	126,025.87
06	152	Y	0625	BC BUILDINGS & BLDG IMPROVEMENTS		194,131.57	194,131.57
	Y		0630	BC ACCUM DEPR-BLDGS & BLDG IMPROV		184,424.99-	184,424.99-
	GL CLS		152	BUILDINGS & BLDG IMPROVEMENTS, NET		9,706.58	9,706.58
06	158	N	0383	OTHER CAPITAL ASSETS-DEPRECIABLE		.00	.00
	Y		0683	BC OTHER CAPITAL ASSETS-DEPRECIABLE		.00	.00
	Y		0684	BC ACCUM DEPR-OTHER CAPITAL ASSETS		.00	.00
	GL CLS		158	OTHER CAPITAL ASSETS, NET		.00	.00
06	165	Y	0693	BC COMPUTER SOFTWARE - INTANGIBLE		30,041.73	30,041.73
	Y		0696	BC-ACCUM AMORT/COMPUTER SOFTWARE-INT		30,041.73-	30,041.73-
	GL CLS		165	COMPUTER SOFTWARE-INTANGIBLE, NET		.00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM

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GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 11 CAPITAL ASSET BASIS CONVERSION ADJUSTMTS
 GAAP FUND 9998 GEN FIXED ASSETS ACCT GROUP

GL	GL	B/C	COMP	AGY	CURRENT	PRIOR
CT	CLS	IND	GL	GL	YEAR	YEAR

*	GLA CAT	06	NON-CURRENT ASSETS		126,563.41	163,408.07
**	TOTAL ASSETS AND OTHER DEBITS				126,563.41	163,408.07
45	410	Y	****	3505-POST CLS BC CAP ASSETS/DEBT	126,563.41-	163,408.07-
	GL CLS	410	INVESTED IN CAP ASSETS,NET RELATED DEBT		126,563.41-	163,408.07-
45	430	Y	9992	BC SYSTEM CLEARING	.00	.00
	GL CLS	430	UNRESTRICTED NET POSITION		.00	.00
*	GLA CAT	45	NET POSITION		126,563.41-	163,408.07-
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER	.00	.00
		N	9999	FFS SYSTEM CLEARING - GL LEVEL ONLY	.00	.00
	GL CLS	620	FUND BALANCE - UNRESERVED/UNDESIGNATED		.00	.00
51	630	N	2030	INVESTMENT IN GENERAL FIXED ASSETS	.00	.00
	GL CLS	630	OBSOLETE FB ACCTS UNDER GASB 34		.00	.00
*	GLA CAT	51	FUND BALANCE (DEFICITS)		.00	.00
**	TOTAL FUND BALANCE/NET POSITION WITH CURRENT CHANGES				126,563.41-	163,408.07-
**	TOTAL LIABILITIES, OTHER CR, DEF INFLOWS AND FD BAL/NET POSITION				126,563.41-	163,408.07-
*	GAAP FUND	9998	GEN FIXED ASSETS ACCT GROUP		.00	.00
*	GAAP FUND TYPE	11	CAPITAL ASSET BASIS CONVERSION ADJUSTMTS		.00	.00

(AGY) 452 (ORG) (PRG) (NAC) (APP) (FND) (COB) (AOB) (GLA)
 (AGL) (GRT) (PRJ) (SS1) (SS2)

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 13

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 12 LONG-TERM LIAB BASIS CONVERSION ADJUSTMT
 GAAP FUND 9997 LONG-TERM LIABILITIES BASIS CONVERSION

GL CT	GL CLS	B/C IND	COMP GL	TITLE	AGY GL	CURRENT YEAR	PRIOR YEAR
11	190	N	0410	AMTS TO BE PROVI FY-OTHER OBLIGATION		.00	.00
	GL CLS		190	RETIREMNT OF OTHR GENERAL LONG-TERM DEBT		.00	.00
	* GLA CAT		11	OTHER DEBITS		.00	.00
	** TOTAL ASSETS AND OTHER DEBITS					.00	.00
21	230	N	1025	CL EMPLOYEE'S COMPENSABLE LEAVE		.00	.00
		Y	1525	BC CL EMPLOYEE'S COMPENSABLE LEAVE		1,222,827.74-	1,233,890.20-
	GL CLS		230	CL EMPLOYEE'S COMPENSABLE LEAVE		1,222,827.74-	1,233,890.20-
21	260	Y	1625	BC CL CAPITAL LEASE OBLIGATIONS		.00	.00
	GL CLS		260	CL CAPITAL LEASE OBLIGATIONS		.00	.00
	* GLA CAT		21	CURRENT LIABILITIES		1,222,827.74-	1,233,890.20-
26	301	N	1200	NC EMPLOYEE'S COMPENSABLE LEAVE		.00	.00
		Y	1700	BC NC EMPLOYEE'S COMPENSABLE LEAVE		862,573.02-	839,106.56-
	GL CLS		301	NC EMPLOYEE'S COMPENSABLE LEAVE		862,573.02-	839,106.56-
26	304	Y	1715	BC NC CAPITAL LEASES OBLIGATIONS		.00	.00
	GL CLS		304	NC CAPITAL LEASE OBLIGATIONS		.00	.00
	* GLA CAT		26	NON-CURRENT LIABILITIES		862,573.02-	839,106.56-
	** TOTAL LIABILITIES AND OTHER CREDITS					2,085,400.76-	2,072,996.76-
45	410	Y	3505	BC CAPITAL ASSETS, NET OF RELATED DE		.00	.00
	GL CLS		410	INVESTED IN CAP ASSETS, NET RELATED DEBT		.00	.00
45	430	Y	****	3950-POST CLS BC UNRE NET POSITION		2,085,400.76	2,072,996.76
		Y	9992	BC SYSTEM CLEARING		.00	.00

TEXAS DEPT OF LICENSING & REGULATION (452)
 STATEMENT OF NET POSITION - BALANCE SHEET FORMAT (GWFS)

PERCENT OF YEAR ELAPSED: 100% REPORT PERIOD= ADJUSTMENT FY= 14 PROD SYSTEM
 *****PAGE 14

GAAP FUND GROUP 01 GOVERNMENTAL
 GAAP FUND TYPE 12 LONG-TERM LIAB BASIS CONVERSION ADJUSTMT
 GAAP FUND 9997 LONG-TERM LIABILITIES BASIS CONVERSION

GL	GL	B/C	COMP		AGY	CURRENT	PRIOR
CT	CLS	IND	GL	TITLE	GL	YEAR	YEAR
	GL	CLS		430 UNRESTRICTED NET POSITION		2,085,400.76	2,072,996.76
*	GLA	CAT		45 NET POSITION		2,085,400.76	2,072,996.76
51	550	N	****	2325-POST CLS PFS FB UNASSIGNED		.00	.00
	GL	CLS		550 FD BAL-UNASSIGNED		.00	.00
51	620	N	2240	FB-UNRESERVED-UNDESIGNATED-OTHER		.00	.00
		N	9999	PFS SYSTEM CLEARING - GL LEVEL ONLY		.00	.00
	GL	CLS		620 FUND BALANCE - UNRESERVED/UNDESIGNATED		.00	.00
*	GLA	CAT		51 FUND BALANCE (DEFICITS)		.00	.00
**	TOTAL FUND BALANCE/NET POSITION WITH CURRENT CHANGES					2,085,400.76	2,072,996.76
**	TOTAL LIABILITIES, OTHER CR, DEF INFLOWS AND FD BAL/NET POSITION					.00	.00
*	GAAP	FUND		9997 LONG-TERM LIABILITIES BASIS CONVERSION		.00	.00
*	GAAP	FUND	TYPE	12 LONG-TERM LIAB BASIS CONVERSION ADJUSTMT		.00	.00
*	GAAP	FUND	GROUP	01 GOVERNMENTAL		.00	.00
*	AGENCY			452		.00	.00

FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	60	3005	CHG/SVC-LICENSES, FEES & PERMITS	3035	N	-112,673.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3164	N	-11,400.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3366	N	-8,710.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3562	N	-1,552.00
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3719	N	-182,654.70
				3005	CHG/SVC-LICENSES, FEES & PERMITS	3879	N	-141,450.36
				3025	CHG/SVC-PROFESSIONAL FEES	3175	N	-721,618.82
				3090	CHG/SVC-OTHER CHGS FOR GDS & SVCS	3752	N	-1,425,187.92
				3090	CHG/SVC-OTHER CHGS FOR GDS & SVCS	3765	N	-10,882.00
					Prog Rev - Charges For Services			-2,616,128.80
			66	3400	SALARIES AND WAGES	7001	N	170,009.45
				3400	SALARIES AND WAGES	7002	N	17,337,892.61
				3400	SALARIES AND WAGES	7003	N	101,639.56
				3400	SALARIES AND WAGES	7017	N	12,120.00
				3400	SALARIES AND WAGES	7021	N	27,845.30
				3400	SALARIES AND WAGES	7022	N	470,681.12
				3400	SALARIES AND WAGES	7023	N	149,507.13
				3400	SALARIES AND WAGES	7047	N	45,000.00
				3400	SALARIES AND WAGES	7050	N	56,321.96

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3405	PAYROLL RELATED COSTS	7032	N	1,297,675.31
				3405	PAYROLL RELATED COSTS	7033	N	12,494.77
				3405	PAYROLL RELATED COSTS	7040	N	84,738.02
				3405	PAYROLL RELATED COSTS	7041	N	2,329,782.76
				3405	PAYROLL RELATED COSTS	7042	N	167,445.59
				3405	PAYROLL RELATED COSTS	7043	N	1,337,091.49
				3405	PAYROLL RELATED COSTS	7984	N	6,969.23
				3415	PROFESSIONAL FEES AND SERVICES	7243	N	4,364.00
				3415	PROFESSIONAL FEES AND SERVICES	7245	N	59,993.00
				3415	PROFESSIONAL FEES AND SERVICES	7253	N	31,737.95
				3415	PROFESSIONAL FEES AND SERVICES	7275	N	27,070.00
				3415	PROFESSIONAL FEES AND SERVICES	7285	N	505,857.42
				3420	TRAVEL	7101	N	139,222.28
				3420	TRAVEL	7102	N	340,635.16
				3420	TRAVEL	7105	N	54,203.15
				3420	TRAVEL	7106	N	338,077.26
				3420	TRAVEL	7110	N	3,995.23
				3420	TRAVEL	7111	N	6,367.06
				3420	TRAVEL	7112	N	260.84
				3420	TRAVEL	7114	N	1,354.99

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3420	TRAVEL	7115	N	1,698.95
				3420	TRAVEL	7116	N	9,549.04
				3420	TRAVEL	7135	N	1,097.19
				3425	MATERIALS AND SUPPLIES	7291	N	282,159.70
				3425	MATERIALS AND SUPPLIES	7300	N	86,151.42
				3425	MATERIALS AND SUPPLIES	7303	N	29.00
				3425	MATERIALS AND SUPPLIES	7304	N	12,205.09
				3425	MATERIALS AND SUPPLIES	7310	N	104.69
				3425	MATERIALS AND SUPPLIES	7330	N	84.04
				3425	MATERIALS AND SUPPLIES	7334	N	114,255.39
				3425	MATERIALS AND SUPPLIES	7335	N	7,962.47
				3425	MATERIALS AND SUPPLIES	7377	N	192,024.04
				3425	MATERIALS AND SUPPLIES	7378	N	96,357.20
				3425	MATERIALS AND SUPPLIES	7380	N	149,352.52
				3425	MATERIALS AND SUPPLIES	7382	N	12,662.05
				3425	MATERIALS AND SUPPLIES	7510	N	-95.76
				3425	MATERIALS AND SUPPLIES	7517	N	37,717.93
				3430	COMMUNICATION AND UTILITIES	7276	N	20,989.38
				3430	COMMUNICATION AND UTILITIES	7501	N	67.00
				3430	COMMUNICATION AND UTILITIES	7503	N	28.66

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3430	COMMUNICATION AND UTILITIES	7504	N	1,230.56
				3430	COMMUNICATION AND UTILITIES	7516	N	82,580.62
				3430	COMMUNICATION AND UTILITIES	7518	N	17,585.95
				3430	COMMUNICATION AND UTILITIES	7526	N	2,231.00
				3430	COMMUNICATION AND UTILITIES	7961	N	168,341.20
				3430	COMMUNICATION AND UTILITIES	7962	N	35,221.93
				3435	REPAIRS AND MAINTENANCE	7262	N	144,951.19
				3435	REPAIRS AND MAINTENANCE	7266	N	19,122.88
				3435	REPAIRS AND MAINTENANCE	7267	N	35,364.06
				3435	REPAIRS AND MAINTENANCE	7367	N	58,373.88
				3435	REPAIRS AND MAINTENANCE	7368	N	4,801.82
				3440	RENTALS AND LEASES	7406	N	77,461.12
				3440	RENTALS AND LEASES	7415	N	299.99
				3440	RENTALS AND LEASES	7462	N	421,999.76
				3440	RENTALS AND LEASES	7470	N	275,752.45
				3445	PRINTING AND REPRODUCTION	7218	N	190,478.89
				3445	PRINTING AND REPRODUCTION	7273	N	56,803.50
				3510	INTEREST EXPENSE - OTHER	7806	N	494.14
				3590	OTHER EXPENSES	7201	N	6,029.00
				3590	OTHER EXPENSES	7202	N	750.00

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	66	3590	OTHER EXPENSES	7203	N	88,291.63
				3590	OTHER EXPENSES	7210	N	8,220.84
				3590	OTHER EXPENSES	7211	N	12,381.73
				3590	OTHER EXPENSES	7219	N	616,071.86
				3590	OTHER EXPENSES	7223	N	3,506.94
				3590	OTHER EXPENSES	7274	N	32,054.00
				3590	OTHER EXPENSES	7277	N	360.00
				3590	OTHER EXPENSES	7281	N	3,160.00
				3590	OTHER EXPENSES	7286	N	33,940.90
				3590	OTHER EXPENSES	7295	N	86,945.56
				3590	OTHER EXPENSES	7299	N	643,645.83
				3590	OTHER EXPENSES	7947	N	42,048.17
					Expenses			29,315,254.04
			68	3700	GR-ORIGINAL APPROPRIATIONS	9400	N	-24,140,826.00
				3700	GR-ORIGINAL APPROPRIATIONS	9401	N	1,438,082.00
				3700	GR-ORIGINAL APPROPRIATIONS	9415	N	-4,133.00
				3705	GR-ADDITIONAL APPROPRIATIONS	9403	N	-363,088.43
				3705	GR-ADDITIONAL APPROPRIATIONS	9404	N	363,088.43
				3705	GR-ADDITIONAL APPROPRIATIONS	9420	N	-1,337,091.49
				3705	GR-ADDITIONAL APPROPRIATIONS	9425	N	-2,329,782.76

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
01	0001	0001	68	3705	GR-ADDITIONAL APPROPRIATIONS	9435	N	-1,297,675.31
				3705	GR-ADDITIONAL APPROPRIATIONS	9440	N	-56,321.96
				3705	GR-ADDITIONAL APPROPRIATIONS	9445	N	-214,159.01
				3710	GR-UNEXPENDED BALANCE FORWARD	9406	N	1,500.00
				3710	GR-UNEXPENDED BALANCE FORWARD	9407	N	-1,500.00
				3730	GR-LAPSES	9580	N	212,346.12
				3810	GR-OTHER GENERAL REVENUES	3802	N	-43,000.00
				3870	GR-CAPITAL OUTLAY	7379	N	24,731.74
					General Revenues			-27,747,829.67
			79	BBal	Beginning Balance			-237,851.90
					Beginning Balance			-237,851.90
					Fund 0001 Beginning Balance			-237,851.90
					Beginning Balance as Restated			-237,851.90
					Net Activity			-1,048,704.43
					Fund 0001 Ending Balance			-1,286,556.33
		1451	62	3190	OP G&C-OTHER OPERATING GRANT REVENUE	3740	N	-10,494.12
					Prog Rev - Oper Grnt & Contr			-10,494.12
			66	3400	SALARIES AND WAGES	7002	N	6,000.00
				3415	PROFESSIONAL FEES AND SERVICES	7243	N	3,500.00
				3590	OTHER EXPENSES	7203	N	200.00

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
					Expenses			9,700.00
01	0001	1451	79	BBal	Beginning Balance			-1.83
					Beginning Balance			-1.83
					Fund 1451 Beginning Balance			-1.83
					Beginning Balance as Restated			-1.83
					Net Activity			-794.12
					Fund 1451 Ending Balance			-795.95
		9000	68	3810	GR-OTHER GENERAL REVENUES	3788	N	-260.00
				3810	GR-OTHER GENERAL REVENUES	3789	N	260.00
					General Revenues			0.00
					Fund 9000 Beginning Balance			0.00
					Beginning Balance as Restated			0.00
					Net Activity			0.00
					Fund 9000 Ending Balance			0.00
	0099	0990	66	3405	PAYROLL RELATED COSTS	7032	N	-626.56
				3405	PAYROLL RELATED COSTS	7041	N	-2,670.69
				3405	PAYROLL RELATED COSTS	7043	N	-523.73
					Expenses			-3,820.98
			78	3970	TRANSFERS IN	3973	N	3,820.98
					Transfers			3,820.98

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
					Fund 0990 Beginning Balance			0.00
					Beginning Balance as Restated			0.00
					Net Activity			0.00
					Fund 0990 Ending Balance			0.00
01	0108	0108	66	3590	OTHER EXPENSES	7953	N	341.13
					Expenses			341.13
			68	3810	GR-OTHER GENERAL REVENUES	3802	N	-75.00
					General Revenues			-75.00
			79	BBal	Beginning Balance			-198,752.10
					Beginning Balance			-198,752.10
					Fund 0108 Beginning Balance			-198,752.10
					Beginning Balance as Restated			-198,752.10
					Net Activity			266.13
					Fund 0108 Ending Balance			-198,485.97
	0900	0999	60	3005	CHG/SVC-LICENSES, FEES & PERMITS	3727	N	158,746.58
				3025	CHG/SVC-PROFESSIONAL FEES	3175	N	354,816.52
					Prog Rev - Charges For Services			513,563.10
			79	BBal	Beginning Balance			-541,321.46
					Beginning Balance			-541,321.46
					Fund 0999 Beginning Balance			-541,321.46

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount
					Beginning Balance as Restated			-541,321.46
					Net Activity			513,563.10
					Fund 0999 Ending Balance			-27,758.36
01	5081	5081	66	3590	OTHER EXPENSES	7953	N	85.28
					Expenses			85.28
			79	BBal	Beginning Balance			-25,250.30
					Beginning Balance			-25,250.30
					Fund 5081 Beginning Balance			-25,250.30
					Beginning Balance as Restated			-25,250.30
					Net Activity			85.28
					Fund 5081 Ending Balance			-25,165.02
01								
11	9998	0099	66	3495	DEPRECIATION EXPENSE	7939	Y	61,576.40
					Expenses			61,576.40
			68	3870	GR-CAPITAL OUTLAY	7379	Y	-24,731.74
					General Revenues			-24,731.74
			79	BBal	Beginning Balance			-163,408.07
					Beginning Balance			-163,408.07
					Fund 0099 Beginning Balance			-163,408.07
					Beginning Balance as Restated			-163,408.07

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FMQuery: USAS Govt Wide Financial Statements (SOA)

Statement of Activities By GAAP Fund, Fund, and Object (GOVT)

Agency 452 - Texas Department of Licensing and Regulation

FY 2014, Adjusted (Month 13) Balances, BC = Both N & Y

Trial Balance Format (Dr-Pos, Cr=Neg)

GAAP Fund Type	GAAP Fund	Fund	GWFS GAAP Cat	GWFS GAAP Srce Obj	GWFS GSO Title	Compt Obj	Basis Conv	Amount	
								Net Activity	36,844.66
								Fund 0099 Ending Balance	-126,563.41
11									
12	9997	0098	66	3400	SALARIES AND WAGES	7002	Y		12,404.00
								Expenses	12,404.00
			79	BBal	Beginning Balance				2,072,996.76
								Beginning Balance	2,072,996.76
								Fund 0098 Beginning Balance	2,072,996.76
								Beginning Balance as Restated	2,072,996.76
								Net Activity	12,404.00
								Fund 0098 Ending Balance	2,085,400.76
12									

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UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION
 Summary of Revenues Generated by Agency Program or Activity
 Month Ended August 31, 2014 and Year Ended August 31, 2013

Agency Program or Activity	Reappropriated Revenues	Unappropriated Revenues	Y-T-D 2014	Percent of 2013 Revenue	Informational 2013
Air Conditioning and Refrigeration					
Contractors	\$ 81,304 ⁽¹⁾	\$ 1,857,424	\$ 1,938,727	99.21%	\$ 1,954,184
Architectural Barriers	\$ 122,786	4,340,641	4,463,427	101.35%	4,403,897
Auctioneers	4,598 ⁽¹⁾	130,861	135,459	98.63%	137,336
Auctioneer Education & Recovery Fund (Fund 0898)	65,624	-	65,624	354.12%	18,532
Auto Parts Recyclers	5,084 ⁽¹⁾	177,955	183,039	72.20%	253,520
Barbering	95,490	1,056,230	1,151,720	83.81%	1,374,134
Barber Tuition Account Fee	-	-	-	-	-
Boiler Inspections	11,400	2,483,820	2,495,220	103.19%	2,418,067
Combative Sports	-	1,021,954	1,021,954	130.41%	783,642
Cosmetology	1,382,816	9,011,140	10,393,956	81.76%	12,712,217
Cosmetology Tuition Account Fee	75	-	75	N/A	-
Electricians	242,317 ⁽¹⁾	4,797,973	5,040,290	95.30%	5,288,835
Elevator/ Escalator Safety	15,201	1,856,714	1,871,915	110.33%	1,696,654
For-Profit Legal Services	17,628 ⁽¹⁾	709,199	726,827	111.97%	649,137
Industrialized Housing and Buildings	-	545,788	545,788	106.34%	513,272
License Breeders	10,502	68,200	78,702	130.28%	60,408
Licensed Court Interpreters	1,555 ⁽¹⁾	44,696	46,251	98.56%	46,925
Loss Damage Waivers	-	-	-	0.00%	7,883
Polygraph Examiners	2	93,727	93,729	104.26%	89,900
Property Tax Consultants	59 ⁽¹⁾	431,273	431,332	96.56%	446,718
Property Tax Professionals	6,188 ⁽¹⁾	187,955	194,143	80.45%	241,325
Service Contract Providers	-	437,752	437,752	65.07%	672,725
Staff Leasing Services	68	178,732	178,800	80.84%	221,175
Talent Agents	-	(206)	(206)	N/A	-
Temporary Common Worker Providers	-	8,400	8,400	49.56%	16,950
Tow Truck / Operators	121,034 ⁽¹⁾	4,442,954	4,563,989	100.02%	4,562,958
Vehicle Booting	232 ⁽¹⁾	10,257	10,488	120.38%	8,713
Vehicle Protection Product Warrantors	-	35,000	35,000	100.21%	34,925
Vehicle Storage Facilities	18,533 ⁽¹⁾	896,081	914,614	90.17%	1,014,280
Water Well Drillers and Pump Installers	8,854 ⁽¹⁾	506,301	515,155	100.51%	512,516
Weather Modification	-	4,350	4,350	37.99%	11,450
Continuing Education Providers	-	29,450	29,450	N/A	-
Information Resources Division	10,882	-	10,882	100.00%	10,882
Copies	143,405	-	143,405	135.59%	105,761
Return Checks	-	4,050	4,050	88.77%	4,562
Other Miscellaneous Governmental Revenue		75,308	75,308	163.55%	46,046
State Sales Tax		88,343	88,343	200.51%	44,060
City Tax		14,194	14,194	200.60%	7,076
MTA Tax		14,194	14,194	200.60%	7,076
TOTAL	\$ 2,365,637	\$ 35,560,712	\$ 37,926,349	93.93%	\$ 40,377,740

⁽¹⁾ Includes Texas.Gov Pass-through fees.

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)

Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity

Month Ended August 31, 2014 with Comparative Totals for August 31, 2013

	FY 2014 at August 31, 2014 (12 Months)			FY 2013 at August 31, 2013 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Air Conditioning and Refrigeration Contractors						
License Fee		\$ 1,627,068.18	\$ 1,627,068.18	-	\$ 1,624,565.50	\$ 1,624,565.50
A/C CE Course Fees		13,850.00	13,850.00	-	24,125.00	24,125.00
ACR Convenience Fee	2,121.85		2,121.85	2,186.12	-	2,186.12
Penalty		216,530.48	216,530.48	-	220,801.46	220,801.46
Certificate of Registration		(25.00)	(25.00)	-	3,700.00	3,700.00
TexasOnline Subscription Fee	79,181.82		79,181.82	78,806.00	-	78,806.00
Total, Air Conditioning and Refrigeration Contractors	\$ 81,303.67	\$ 1,857,423.66	\$ 1,938,727.33	\$ 80,992.12	\$ 1,873,191.96	\$ 1,954,184.08
Architectural Barriers						
Inspection Filing Fee-TDLR		\$ 175.00	\$ 175.00	\$ -	\$ -	\$ -
Inspection		375.00	375.00	-	132,880.00	132,880.00
Inspection Filing Fee-ICP		\$ 2,190.00	2,190.00	-	175.00	175.00
Plan Review		50,680.00	50,680.00	-	182,246.75	182,246.75
Project Filing Fee-TDLR		3,779,690.57	3,779,690.57	-	3,461,004.61	3,461,004.61
Variance		48,825.00	48,825.00	-	67,025.00	67,025.00
Penalty		322,780.68	322,780.68	-	303,437.17	303,437.17
Variance Appeal		7,600.00	7,600.00	-	6,800.00	6,800.00
RAS Registration		126,475.00	126,475.00	-	130,175.00	130,175.00
AB/RAS CE Course Fees		1,850.00	1,850.00	-	2,900.00	2,900.00
Special Clearance		-	-	-	-	-
Convenience Fee- AB	91,975.80		91,975.80	83,503.22	-	83,503.22
Sale of Publications	2,610.00		2,610.00	7,650.40	-	7,650.40
Third Party Reimbursement-TAA Tuition	28,200.00		28,200.00	26,100.00	-	26,100.00
Total, Architectural Barriers	\$ 122,785.80	\$ 4,340,641.25	\$ 4,463,427.05	\$ 117,253.62	\$ 4,286,643.53	\$ 4,403,897.15
Auctioneers						
Auctioneer Exam Fee		\$ -	\$ -	\$ -	\$ 50.00	\$ 50.00
Auctioneer License Fee		\$ 116,671.00	\$ 116,671.00	\$ -	\$ 112,001.00	\$ 112,001.00
Associate Auctioneer License Fee		(46.00)	(46.00)	-	2,951.00	2,951.00
Auctioneer Late Fee		-	-	-	-	-
Auctioneer Penalty		10,836.46	10,836.46	-	10,639.52	10,639.52
Auctioneer CE Course Fees		3,400.00	3,400.00	-	7,125.00	7,125.00
Auctioneer Convenience Fee	197.61		197.61	74.09	-	74.09
Auctioneer Education and Recovery Fund (AERF)	64,050.00		64,050.00	11,650.00	-	11,650.00
Auctioneer Education and Recovery Fund Interest	1,152.62		1,152.62	1,462.05	-	1,462.05
TexasOnline Subscription Fee	4,400.00		4,400.00	4,495.00	-	4,495.00
Third Party Reimbursement-AERF	421.84		421.84	5,419.83	-	5,419.83
Total, Auctioneers	\$ 70,222.07	\$ 130,861.46	\$ 201,083.53	\$ 23,100.97	\$ 132,766.52	\$ 155,867.49
Auto Parts Recyclers						
Auto Parts Recycler Fee		\$ 147,106.50	\$ 147,106.50	\$ -	\$ 180,214.00	\$ 180,214.00
Auto Parts Convenience Fee	\$ 264.37		\$ 264.37	\$ 692.57		\$ 692.57
Auto Parts Recycler Penalty		\$ 30,848.57	\$ 30,848.57		\$ 67,907.43	\$ 67,907.43
TexasOnline Subscription Fee	4,820.00		4,820.00	4,706.00	-	4,706.00
Total, Auto Parts Recyclers	\$ 5,084.37	\$ 177,955.07	\$ 183,039.44	\$ 5,398.57	\$ 248,121.43	\$ 253,520.00
Barbering						
Barber License Fees		898,619.92	\$ 898,619.92	\$ -	\$ 1,110,477.14	\$ 1,110,477.14
Convenience Fee	2,393.00		2,393.00	2,156.19	-	2,156.19
Fines & Penalties		157,609.65	157,609.65	-	228,643.04	228,643.04
Barber Publication	93,097.34		93,097.34	32,857.17	-	32,857.17
Third Party Reimbursement-Barber Tuition Acct Fee		-	-	-	-	-
Total, Barbering	\$ 95,490.34	\$ 1,056,229.57	\$ 1,151,719.91	\$ 35,013.36	\$ 1,339,120.18	\$ 1,374,133.54

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Month Ended August 31, 2014 with Comparative Totals for August 31, 2013

	FY 2014 at August 31, 2014 (12 Months)			FY 2013 at August 31, 2013 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Boiler Inspections						
Boiler Inspection Fees		\$ 2,142,380.06	\$ 2,142,380.06	\$ -	\$ 2,083,861.76	\$ 2,083,861.76
Penalty		25,750.00	25,750.00	-	9,250.00	9,250.00
Special Inspection Fees	11,400.00	312,600.00	324,000.00	139,375.00	182,400.00	321,775.00
Commission Exam Fee		-	-	-	10.00	10.00
Commission Fee		3,090.00	3,090.00	-	3,170.00	3,170.00
Total, Boiler Inspections	\$ 11,400.00	\$ 2,483,820.06	\$ 2,495,220.06	\$ 139,375.00	\$ 2,278,691.76	\$ 2,418,066.76
Combative Sports						
Boxing Gross Receipts Tax		\$ 851,308.13	\$ 851,308.13	\$ -	\$ 601,091.33	\$ 601,091.33
Combative Sports Per Event Fee		11,620.00	11,620.00	-	12,540.00	12,540.00
Boxing Promoters License		50,700.00	50,700.00	-	45,150.00	45,150.00
Boxing License Fee		17,000.00	17,000.00	-	16,820.00	16,820.00
Manager License Fee		3,400.00	3,400.00	-	4,600.00	4,600.00
Matchmaker License Fee		1,400.00	1,400.00	-	1,100.00	1,100.00
Combative Sports Federal ID Card		16,700.00	16,700.00	-	19,460.00	19,460.00
Judge and Referee License Fee		13,075.00	13,075.00	-	12,450.00	12,450.00
Seconds License Fee		42,800.00	42,800.00	-	44,390.00	44,390.00
Timekeeper License Fee		(559.93)	(559.93)	-	1,200.00	1,200.00
Ringside Physician Registration Fee		(1,102.64)	(1,102.64)	-	2,000.00	2,000.00
Combative Sports Event Coordinator		1,000.00	1,000.00	-	800.00	800.00
Boxing Penalty		14,613.75	14,613.75	-	22,040.90	22,040.90
Total, Combative Sports	\$ -	\$ 1,021,954.31	\$ 1,021,954.31	\$ -	\$ 783,642.23	\$ 783,642.23
Cosmetology						
Cosmetology License Fees		\$ 7,607,892.28	\$ 7,607,892.28	\$ (67.00)	\$ 10,244,021.96	\$ 10,243,954.96
Cosmetology School Inspection		2,000.00	2,000.00	-	2,400.00	2,400.00
Cosmetology CE Course/Record Fees		354,445.00	354,445.00	-	500,853.75	500,853.75
Cosmetology Transcripts	39,250.00		39,250.00	38,019.00	-	38,019.00
Cosmetology Publication	1,329,480.58		1,329,480.58	666,539.37	-	666,539.37
Cosmetology Fine & Penalties		1,046,802.84	1,046,802.84	-	1,237,099.16	1,237,099.16
Convenience Fee	14,085.16		14,085.16	18,125.90	-	18,125.90
Third Party Reimbursement-Cosmetology Tuition Acct	75.00		75.00	5,225.00	-	5,225.00
Total, Cosmetology	\$ 1,382,890.74	\$ 9,011,140.12	\$ 10,394,030.86	\$ 727,842.27	\$ 11,984,374.87	\$ 12,712,217.14
Electricians						
Electrician License Fees		\$ 4,560,476.50	\$ 4,560,476.50	\$ -	\$ 4,736,408.07	\$ 4,736,408.07
Electrician CE Provider Course Fees		37,400.00	37,400.00	-	44,175.00	44,175.00
Electrician Convenience Fees	2,585.63		2,585.63	2,649.06	-	2,649.06
Electrician Penalty Fees		200,096.60	200,096.60	-	270,420.44	270,420.44
TexasOnline Subscription Fee	239,731.00		239,731.00	235,181.93	-	235,181.93
Total, Electricians	\$ 242,316.63	\$ 4,797,973.10	\$ 5,040,289.73	\$ 237,830.99	\$ 5,051,003.51	\$ 5,288,834.50
Elevator/Escalator Safety						
Elevator Inspector Registration		\$ 7,275.00	\$ 7,275.00	\$ -	\$ 1,300.00	\$ 1,300.00
Elevator Contractor Registration		1,840.00	1,840.00	-	2,645.00	2,645.00
Elevator Duplicate Fee		1,125.00	1,125.00	-	1,025.00	1,025.00
Elevator Filing Fee		870,410.00	870,410.00	369,685.00	464,139.41	833,824.41
Elevator Penalty		269,609.11	269,609.11	-	175,325.00	175,325.00
Elevator License and Renewal		17,972.50	17,972.50	-	22,777.50	22,777.50
Elevator New Technology Variance Technology		12,500.00	12,500.00	-	2,500.00	2,500.00
Elevator Responsible Party CE Course Fees		4,350.00	4,350.00	-	2,600.00	2,600.00
Elevator Waiver/Delay		10,000.00	10,000.00	-	9,750.00	9,750.00
Late Fee		173,532.00	173,532.00	-	283,007.00	283,007.00
Plan Review Application		488,100.00	488,100.00	-	331,850.00	331,850.00
Third Party Reimbursement-Elevator Kit	14,800.00		14,800.00	20,050.00	-	20,050.00
Third Party Reimbursement-Responsible Party Tuition			-	10,000.00	-	10,000.00
Elevator - Convenience Fee	400.89		400.89	-	-	-
Total, Elevator/ Escalator Safety	\$ 15,200.89	\$ 1,856,713.61	\$ 1,871,914.50	\$ 399,735.00	\$ 1,296,918.91	\$ 1,696,653.91

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Month Ended August 31, 2014 with Comparative Totals for August 31, 2013

	FY 2014 at August 31, 2014 (12 Months)			FY 2013 at August 31, 2013(12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
For Profit Legal Services						
LSC License and Renewal		\$ 183,202.88	\$ 183,202.88	\$ -	\$ 193,001.00	\$ 193,001.00
LSC Differential		525,996.54	525,996.54	-	387,542.49	387,542.49
LSC Penalty		-	-	-	750.00	750.00
LSC Trust Deposit		-	-	-	50,000.00	50,000.00
LSC Convenience Fee	7.77		7.77			
TexasOnline Subscription Fee	17,620.00		17,620.00	17,844.00	-	17,844.00
Total, For Profit Legal Services	\$ 17,627.77	\$ 709,199.42	\$ 726,827.19	\$ 17,844.00	\$ 631,293.49	\$ 649,137.49
Industrialized Housing and Buildings						
Manufacturer's Registration Fee		96,375.00	\$ 96,375.00	\$ -	\$ 101,250.00	\$ 101,250.00
Third Party Inspection Agy		900.00	900.00	-	900.00	900.00
Builder Registration Fee		107,575.00	107,575.00	-	114,950.00	114,950.00
Design Review Agency's Registration Fee		2,400.00	2,400.00	-	1,800.00	1,800.00
Third Party Inspector		5,573.26	5,573.26	-	4,800.00	4,800.00
Special Inspection		-	-	-	280.00	280.00
Third Party Inspector Monitor		-	-	-	720.00	720.00
Decals/Insignia		291,140.91	291,140.91	-	214,197.61	214,197.61
Certification Inspection		21,273.97	21,273.97	-	42,665.71	42,665.71
Penalty		10,500.00	10,500.00	-	22,475.00	22,475.00
Installation Permits		10,050.00	10,050.00	-	9,233.80	9,233.80
Total, Industrialized Housing and Buildings	\$ -	\$ 545,788.14	\$ 545,788.14	\$ -	\$ 513,272.12	\$ 513,272.12
Licensed Breeder						
Licensed Breeder Licence Fee		\$ 68,200.00	68,200.00	-	59,800.00	59,800.00
Breeder Training & Enforcement Donations				106.83	-	106.83
Breeder Convenience Fee	\$ 8.31		8.31	1.17	-	1.17
Breeder Penalty	10,494.12		10,494.12	500.00	-	500.00
Total, License Breeder	\$ 10,502.43	\$ 68,200.00	\$ 78,702.43	\$ 608.00	\$ 59,800.00	\$ 60,408.00
Licensed Court Interpreters						
Application		\$ 39,446.00	\$ 39,446.00	\$ -	\$ 37,392.00	\$ 37,392.00
LCI CE Course Fees		5,000.00	5,000.00	-	8,075.00	8,075.00
Penalty		250.00	250.00	-	-	-
LCI Convenience Fee	3.24		3.24	-	-	-
TexasOnline Subscription Fee	1,552.00		1,552.00	1,458.00	-	1,458.00
Total, Licensed Court Interpreters	\$ 1,555.24	\$ 44,696.00	\$ 46,251.24	\$ 1,458.00	\$ 45,467.00	\$ 46,925.00
Loss Damage Waivers						
Loss Damage Waiver Review		\$ -	\$ -	\$ -	\$ 7,882.58	\$ 7,882.58
Total, Loss Damage Waivers	\$ -	\$ -	\$ -	\$ -	\$ 7,882.58	\$ 7,882.58
Professional Employer Organizations						
Application Fee			\$ -	\$ -	\$ -	\$ -
License Fee		\$ 174,300.00	174,300.00	-	218,675.00	218,675.00
Penalty		4,432.25	4,432.25	-	2,500.00	2,500.00
Convenience Fee	67.75		67.75	-	-	-
Total, Personal Employee Organization	\$ 67.75	\$ 178,732.25	\$ 178,800.00	\$ -	\$ 221,175.00	\$ 221,175.00
Polygraph Examiners						
Polygraph Exam		\$ 93,626.96	\$ 93,626.96	\$ -	\$ 89,900.00	\$ 89,900.00
Polygraph Convenience Fee	2.36		2.36			
Polygraph CE Course Fees		\$ 100.00	\$ 100.00			
Total, Polygraph Examiners	\$ 2.36	\$ 93,726.96	\$ 93,729.32	\$ -	\$ 89,900.00	\$ 89,900.00

UNAUDITED

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Month Ended August 31, 2014 with Comparative Totals for August 31, 2013

	FY 2014 at August 31, 2014 (12 Months)			FY 2013 at August 31, 2013 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Property Tax Consultants						
Convenience Fees	\$ 50.18		\$ 50.18	\$ 79.50	\$ -	\$ 79.50
Private CE Course Fees		\$ 4,150.00	\$ 4,150.00	\$ -	\$ 6,725.00	\$ 6,725.00
License		121,491.00	121,491.00		126,062.50	126,062.50
Professional Fee		304,405.00	304,405.00	-	309,400.00	309,400.00
Penalty		1,227.25	1,227.25	-	4,420.50	4,420.50
TexasOnline Subscription Fee	9.00		9.00	30.00	-	30.00
Total, Property Tax Consultants	\$ 59.18	\$ 431,273.25	\$ 431,332.43	\$ 109.50	\$ 446,608.00	\$ 446,717.50
Property Tax Professionals						
Convenience Fee	\$ 16.20	\$ -	\$ 16.20	\$ -	\$ -	\$ -
Property Tax Professional License Fee		\$ 187,955.00	\$ 187,955.00	\$ -	\$ 232,593.00	\$ 232,593.00
Penalty				\$ -	\$ 1,750.00	\$ 1,750.00
TexasOnline Subscription Fee	6,172.00		6,172.00	6,988.00	(6.00)	6,982.00
Total, Property Tax Professionals	\$ 6,188.20	\$ 187,955.00	\$ 194,143.20	\$ 6,988.00	\$ 234,337.00	\$ 241,325.00
Service Contract Providers						
Registration		\$ 199,024.99	\$ 199,024.99	\$ -	\$ 193,775.00	\$ 193,775.00
Penalty		10,250.00	10,250.00	-	272,072.14	272,072.14
SCP Convenience Fee			-	752.86	-	752.86
SCP Trust Account		225,000.00	225,000.00	-	198,638.00	198,638.00
IDR SCP Application & Renewal		-	-	-	2,000.00	2,000.00
IDR Quarterly Contract Fee		3,477.00	3,477.00	-	5,487.00	5,487.00
Total, Service Contract Providers	\$ -	\$ 437,751.99	\$ 437,751.99	\$ 752.86	\$ 671,972.14	\$ 672,725.00
Talent Agents						
Talent Agent Licence		\$ (400.00)	\$ (400.00)			
Talent Agent Penalty		\$ 194.04	\$ 194.04			
Total of Talent Agents	\$ -	\$ (205.96)	\$ (205.96)	\$ -	\$ -	\$ -
Temporary Common Worker Providers						
License Fee		\$ 8,400.00	\$ 8,400.00	\$ -	\$ 16,950.00	\$ 16,950.00
Penalty			-		-	-
Total, Temporary Common Worker Providers	\$ -	\$ 8,400.00	\$ 8,400.00	\$ -	\$ 16,950.00	\$ 16,950.00
Tow Truck / Operators						
Tow Truck / Operators		\$ 3,744,121.62	\$ 3,744,121.62	\$ -	\$ 3,731,595.34	\$ 3,731,595.34
Tow Truck / Operators Penalty		248,587.61	248,587.61	-	306,794.15	306,794.15
Tow Truck Credit Card Convenience Fee	26,067.45		26,067.45	25,780.87	-	25,780.87
Tow Truck CE Course/Record Fees		35,670.00	35,670.00	-	51,500.00	51,500.00
TexasOnline Subscription Fee	84,208.00		84,208.00	84,938.00	-	84,938.00
Tow -VSF Dual Employee Fees		410,360.00	410,360.00		340,956.00	340,956.00
Tow -VSF Dual Employee Fee Subscription Fee	10,640.00		10,640.00	9,344.00		9,344.00
Tow -VSF Dual Employee Penalty		4,215.18	4,215.18	-	11,836.96	11,836.96
Tow -VSF Dual Employee Credit Card Convenience Fee	118.84		118.84	213.04	-	213.04
Total, Tow Truck / Operators	\$ 121,034.29	\$ 4,442,954.41	\$ 4,563,988.70	\$ 120,275.91	\$ 4,442,682.45	\$ 4,562,958.36
Vehicle Booting						
Vehicle Booting Fees		\$ 8,231.50	\$ 8,231.50	\$ -	\$ 7,566.50	\$ 7,566.50
Vehicle Booting Penalty		1,575.00	1,575.00	-	375.00	375.00
Vehicle Booting Credit Card Convenience Fee	0.81		0.81	-	-	-
Vehicle Booting CE Course Fees		450.00	450.00	-	600.00	600.00
TexasOnline Subscription Fee	231.00		231.00	171.00	-	171.00
Total, Vehicle Booting	\$ 231.81	\$ 10,256.50	\$ 10,488.31	\$ 171.00	\$ 8,541.50	\$ 8,712.50
Vehicle Protection Product Warrantors						
Registration Fee		\$ 35,000.00	\$ 35,000.00	\$ -	\$ 34,925.00	\$ 34,925.00
Fines & Penalties			-	-	-	-
Total, Vehicle Protection Product Warrantors	\$ -	\$ 35,000.00	\$ 35,000.00	\$ -	\$ 34,925.00	\$ 34,925.00

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TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 Schedule 7 - Detail Statement of Revenue Generated by Agency Program or Activity
 Month Ended August 31, 2014 with Comparative Totals for August 31, 2013

	FY 2014 at August 31, 2014 (12 Months)			FY 2013 at August 31, 2013 (12 Months)		
	Reappropriated Revenue	Unappropriated Revenue	Total	Reappropriated Revenue	Unappropriated Revenue	Total
Vehicle Storage Facilities						
Registration Fee		\$ 761,149.50	\$ 761,149.50	\$ -	\$ 798,914.50	\$ 798,914.50
Convenience Fee	939.34		939.34	1,386.68	-	1,386.68
Penalty		134,931.53	134,931.53	-	195,513.31	195,513.31
TexasOnline Subscription Fee	17,594.00		17,594.00	18,466.00	-	18,466.00
Total, Vehicle Storage Facilities	\$ 18,533.34	\$ 896,081.03	\$ 914,614.37	\$ 19,852.68	\$ 994,427.81	\$ 1,014,280.49
Water Well Drillers and Pump Installers						
Application/Exam Fee		\$ 2,330.00	\$ 2,330.00	\$ -	\$ 4,710.00	\$ 4,710.00
Convenience Fee	\$ 143.80		\$ 143.80	\$ 60.08	\$ -	\$ 60.08
License Fee		43,985.00	\$ 43,985.00	-	44,220.00	44,220.00
WWE CE Course Fees		9,350.00	9,350.00	-	9,650.00	9,650.00
Renewal Fee		426,037.50	426,037.50	-	415,374.80	415,374.80
Late Fee		-	-	-	-	-
Penalty		16,298.57	16,298.57	-	18,658.77	18,658.77
Variance		8,300.00	8,300.00	-	10,800.00	10,800.00
TexasOnline Subscription Fee	8,710.00		8,710.00	9,042.50	-	9,042.50
Total, Water Well Drillers and Pump Installers	\$ 8,853.80	\$ 506,301.07	\$ 515,154.87	\$ 9,102.58	\$ 503,413.57	\$ 512,516.15
Weather Modification						
Weather Modification License		\$ 3,750.00	\$ 3,750.00	\$ -	\$ 11,250.00	\$ 11,250.00
Weather Modification Permit		600.00	600.00	-	200.00	200.00
Weather Modification Interagency Agreement		-	-	-	-	-
Weather Modification Penalty		-	-	-	-	-
Total, Weather Modification	\$ -	\$ 4,350.00	\$ 4,350.00	\$ -	\$ 11,450.00	\$ 11,450.00
Continuing Education Providers						
Continuing Education Provider Fees		\$ 29,150.00	\$ 29,150.00	\$ -	\$ -	\$ -
Continuing Education Provider Penalties		300.00	300.00	-	-	-
Convenience Fees - CE Provider		-	-	-	-	-
Total, Continuing Education	\$ -	\$ 29,450.00	\$ 29,450.00	\$ -	\$ -	\$ -
General Counsel						
Combative Sports Warranty Receipts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Totals, General Counsel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Information Services Division						
Interagency Services	\$ 10,882.00	\$ -	\$ 10,882.00	\$ 10,882.00	\$ -	\$ 10,882.00
Totals, Information Services Division	\$ 10,882.00	\$ -	\$ 10,882.00	\$ 10,882.00	\$ -	\$ 10,882.00
Copies	\$ 143,404.70	\$ -	\$ 143,404.70	\$ 105,760.97	\$ -	\$ 105,760.97
Return Checks	\$ -	\$ 4,050.00	\$ 4,050.00	\$ -	\$ 4,562.37	\$ 4,562.37
Other Miscellaneous Governmental Revenue		\$ 75,308.49	\$ 75,308.49	\$ -	\$ 46,046.29	\$ 46,046.29
State Sales Tax		88,342.74	88,342.74	-	44,059.59	44,059.59
City Tax		14,194.21	14,194.21	-	7,075.82	7,075.82
MTA Tax		14,194.21	14,194.21	-	7,075.82	7,075.82
Distribution of Revenues Generated:						
Deposited into General Revenue Fund (Fund 0001)	2,299,937.92	35,335,711.92	37,635,649.84	2,036,590.52	38,068,754.45	40,105,344.97
Deposited into GR Dedicated Account (0108)	75.00	-	75.00	5,225.00	-	5,225.00
Deposited into GR Dedicated Account (5081)	-	-	-	-	-	-
Deposited into AERF (Fund 0898)	65,624.46	-	65,624.46	18,531.88	-	18,531.88
Deposited into Trust Fund (0846) LSC & SCP	-	225,000.00	225,000.00	-	248,638.00	248,638.00
Total Revenue Generated	\$ 2,365,637.38	\$ 35,560,711.92	\$ 37,926,349.30	\$ 2,060,347.40	\$ 38,317,392.45	\$ 40,377,739.85

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**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

The Texas Department of Licensing and Regulation (TDLR) serves as the primary state agency responsible for the oversight of businesses, industries, general trades, and occupations. In Fiscal Year 2014 these regulatory areas included:

- Air Conditioning and Refrigeration
- Architectural Barriers
- Auctioneers
- Barbers
- Boilers
- Combative Sports
- Cosmetologists
- Electricians
- Elevators, Escalators, and Related Equipment
- Employers of Temporary Common Workers
- For-profit Legal Service Contracts
- Industrialized Housing and Buildings
- Licensed Breeders
- Licensed Court Interpreters*
- Polygraph Examiners
- Professional Employer Organizations (previously Staff Leasing Services)
- Property Tax Consultants
- Property Tax Professionals
- Service Contract Providers
- Used Automotive Parts Recyclers
- Vehicle Protection Products
- Vehicle Storage Facilities
- Vehicle Towing and Booting
- Water Well Drillers
- Water Well Pump Installers
- Weather Modification

*NOTE: During the 83rd Texas Legislature’s Regular Session in 2013, Senate Bill 966 (effective September 1, 2014) moved oversight of the Licensed Court Interpreters program to the new Judicial Branch Certification Commission, formerly the Office of Court Administration.

Texas Commission of Licensing and Regulation

TDLR’s governing body, the Texas Commission of Licensing and Regulation, has seven public members appointed by the Governor with the advice and consent of the Senate. Commissioners serve six-year terms and meet at least quarterly each year. The Commission’s primary duties include:

- guiding the development of the agency’s strategic plan and approving the agency’s budgets and requests for funding;
- providing policy direction for agency operations;
- reviewing existing rules and adopting new rules as needed;
- issuing final orders in contested cases; and
- hiring, supervising, evaluating and setting the salary for the executive director.

Commission members serving as of August 31, 2014

<u>Name</u>	<u>Home Town</u>	<u>Term Expires</u>
Mike Arismendez (Chairman)	Littlefield	February 1, 2015
LuAnn Morgan (Vice-Chair)	Midland	2015
Deborah Yurco	Austin	2019
Fred N. Moses	Plano	2015
Ravi Shah	Carrollton	2017
Thomas F. Butler	Deer Park	2019
Catherine Rodewald	Frisco	2017

**TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS**

Because commissioners are prohibited by law from having a financial interest in any of TDLR's regulated professions, they rely on the knowledge and experience of advisory board members from the industries TDLR regulates. Members of the Texas Industrialized Building Code Council are appointed by the Governor with approval of the Senate while the following advisory boards are appointed by the Commission chair with approval of the Commission:

- Advisory Board on Barbering
- Advisory Board on Cosmetology
- Air Conditioning and Refrigeration Contractors Advisory Board
- Architectural Barriers Advisory Committee
- Auctioneer Education Advisory Board
- Board of Boiler Rules
- Electrical Safety and Licensing Advisory Board
- Elevator Advisory Board
- Licensed Breeder Advisory Committee
- Licensed Court Interpreter Advisory Board (transferred to the Judicial Branch Certification Commission on September 1, 2014)
- Medical Advisory Committee
- Polygraph Examiners Advisory Committee
- Property Tax Consultants Advisory Council
- Property Tax Professional Advisory Committee
- Towing, Storage and Booting Advisory Board
- Used Automotive Parts Recyclers Advisory Board
- Water Well Drillers Advisory Council
- Weather Modification Advisory Committee

Agency Organization in Fiscal Year 2014

TDLR uses a functionally aligned business model that provides shared services across licensing programs. This dynamic structure allows TDLR to manage, support, and deliver a high level of service an increasingly diverse mix of programs while maintaining a lean work force. In FY 2014, the agency was responsible for 25 programs and 132 different license types with more than 624,000 licensees.

Functional responsibilities within TDLR are organized into two areas:

- **Resource Management** includes the Financial Services, Human Resources, IT Transformation, Network Services, Software Development Services, and Maintenance functions.
- **Regulatory Affairs** includes the Compliance, Customer Service, Education and Examination, Enforcement, Field Operations, and Licensing functions.

This structure allows the agency to effectively administer its current programs while affording it the ability to efficiently consolidate any new responsibilities and functions assigned to it by the Texas Legislature. TDLR is organized along functional lines into these twelve divisions:

Compliance – The Compliance division provides technical oversight, expertise and training across each of TDLR's programs and serves as the point of contact for TDLR regarding technical questions and concerns regarding compliance with state regulations and laws. The work of the Compliance division helps improve the quality and operations of businesses, industries and occupations throughout Texas.

Customer Service – The Customer Service division seeks to ensure the right information gets to the right people at the right time. The division provides information to customers by telephone, email, Facebook, Twitter and in person at the Ernest O. Thompson State Office Building from 7:00 a.m. to 6:00 p.m. Monday through Friday.

Education and Examination – The Education and Examination division ensures that candidates for licensure satisfy pre-licensure education and examination requirements and renewing licensees complete continuing education

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS

requirements. The division evaluates and approves schools and other pre-licensure education providers and courses and evaluates and approves continuing education providers, courses, and materials.

Enforcement – The Enforcement division is responsible for investigating and resolving complaints regarding all 25 statutory programs regulated by TDLR. Complaints are received from a variety of sources including consumers, industry representatives, the general public, and other TDLR divisions. Complaints are also generated directly by Enforcement personnel through stings and proactive field operations designed to uncover evidence of unlicensed activity and other licensee violations of laws and regulations.

Executive Director's Office – The Office of the Executive Director provides the leadership and motivation for achieving the agency's strategic vision; manages the day-to-day operation of the agency; implements Commission objectives; interacts with federal, state, and local government officials; participates with other state agencies on advisory boards and commissions; communicates with the public through press releases and social media tools; and ensures agency compliance with statewide goals. The success of the Executive Office is guided by a respect-based management philosophy and a commitment to open communication with TDLR employees and its customers.

Field Operations - The Field Operations division inspects and educates regulated businesses throughout the State of Texas. Its inspectors protect the public against health and safety risks by ensuring that licensees are operating in compliance with governing laws and rules and providing hands-on assistance and education to licensees.

Financial Services – The Financial Services division manages the agency's fiscal resources in compliance with state and federal laws. The division develops the biennial legislative appropriations request, monitors TDLR's annual budget, processes the agency's payroll, and prepares all agency fiscal reports. The division ensures compliance with statutory spending limits, directs purchasing of goods and services, and manages agency capital assets.

General Counsel – The Office of the General Counsel oversees all legal aspects of agency operations. It ensures that agency rules, contracts and other regulatory activities are fair and consistent with state and federal laws. This approach effectively protects the people of Texas, fosters an efficient regulatory environment, and minimizes the risk of litigation against the state and the agency. The Office is responsible for rule review and rulemaking, responding to open records requests, supporting advisory boards and the Commission with legal advice, and drafting contracts and legal opinions for the agency.

Human Resources – The Human Resources division supports TDLR's healthy, positive and equitable work environment and is instrumental in attracting, developing and retaining qualified and dedicated employees. The division provides quality support to all employees by providing support for recruitment and hiring; training and career development; employee benefits; developing and maintaining personnel policies and procedures to ensure compliance with state and federal personnel laws; employee relations; performance management; workforce planning; and assistance to employees regarding personnel matters.

Information Development Resources – The Information Development Resources division creates and maintains custom licensing, permitting and internet software that provides a common platform tailored to the precise needs of each agency program.

Licensing – The Licensing division issues licenses, registrations, permits and certifications to qualified applicants. The division's application evaluation process includes criminal background checks, education and credential verification, financial security and on-the-job experience.

Network Services – The Network Services division supports the agency's vision by planning for growth, installing computer and communication systems, and supporting and maintaining all information technology items, including land line and mobile phones. The division also manages the network infrastructure, providing troubleshooting, maintenance, and upgrades as needed for TDLR's locations across the state.

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
 ADDENDUM I
 ORGANIZATIONAL AND GENERAL COMMENTS

Key Personnel as of August 31, 2014

<u>Name</u>	<u>Position</u>
William H. Kuntz, Jr.	Executive Director
Brian E. Francis	Deputy Executive Director
Brad Bowman	General Counsel
Glen Bridge, Jr.	Director of Information Development Resources
Jerry Daniels	Director of Financial Services
George Ferrie	Director of Compliance
Tanya Gauthreaux	Director of Field Operations
Sharon Homoya	Personnel Attorney
Christina Kaiser	Director of Enforcement
Gene Mays	Director of Customer Service
Dede McEachern	Director of Licensing
Ray Pizarro	Director of Education and Examination
Simon Skedd	Director of Network Services

(NOTE: George “Dee” Meador was hired as Director of IT Transformation in October 2014.)

Agency Employees

In Fiscal Year 2014, TDLR was authorized 382.2 full-time equivalent (FTE) positions but only utilized an average of 370.2. Of those positions, 323.2 are located in Austin and 47 are assigned to other locations throughout the state.

TDLR Highlights and Accomplishments

Following our proven strategy of “Smaller, Smarter Government,” TDLR continued to streamline regulations and reduce costs during FY 2014. Over the past ten years through FY 2014, TDLR has lowered fees for hundreds of thousands of licensees, resulting in a cumulative savings of \$34.5 million for our fellow Texans.

TDLR continues to enhance and improve our communication efforts, both internally and externally:

- **ThinkTDLR:** We launched an online community where TDLR employees share ideas and speak directly to the entire agency. Staff-generated initiatives that began on *ThinkTDLR* have been initiated throughout the agency.
- **In the Loop and Under the Umbrella:** We introduced a new approach with internal emails and weekly newsletters to improve communication at all levels throughout the state, ensuring that everyone has the same information at the same time.
- **ImagineTDLR:** We created an online crowd-sourcing project, introduced during our strategic planning sessions, and invited the public to offer up ideas for improving the services we provide and interact with other participants to share and rate ideas. Information produced at *ImagineTDLR* contributed directly to our 2015-2019 Strategic Plan, *Smaller Smarter Government, Volume 2*.

In 2014, TDLR took a fresh look at our existing software and programming structure and created a new functional alignment to better serve our present and future needs. The new IT Transformation division is led by veteran public information technology director Dee Meador, who joined TDLR in October 2014. The new Software Development Services division will focus solely on custom software design with increased accessibility to better meet the needs of our customers and our staff. TDLR promoted software programmer James Corral to this new position.

TDLR worked with the 83rd Texas Legislature to seek implementation of several bills relating to expanding and improving occupational licensing opportunities for military service members, spouses, and veterans. The following bills that the Legislature enacted into law were fully implemented by TDLR during FY 2014:

- **Senate Bill 162** requires expedited licensing for the spouse of military service members who hold an equivalent license from another jurisdiction; and requires any licensing agency to credit military service, training, or education when determining any occupational license requirements for military service members and veterans.

TEXAS DEPARTMENT OF LICENSING AND REGULATION (452)
ADDENDUM I
ORGANIZATIONAL AND GENERAL COMMENTS

- **Senate Bill 242** permits TDLR to give an applicant credit for their military experience when determining any occupational license requirements for military service members and veterans.
- **House Bill 2029** permits TDLR to give an applicant credit for their military service, training, or education when determining electrician program occupational license requirements for military service members and veterans; and requires TDLR to expedite issuance of a temporary license or license by endorsement or reciprocity in the electrician program for an applicant with military experience who holds a current license issued by another jurisdiction that is substantially equivalent to the Texas license requirements.
- **House Bill 2254** permits all occupational licensing agencies to credit military service, training, or education for any apprenticeship requirement for military service members and veterans.
- **Senate Bill 1892** added TDLR as one of 22 state agencies on the Texas Coordinating Council for Veterans Services which is dedicated to eliminating barriers to and improving state services for veterans, including employment opportunities and housing, education and health services.

A team of TDLR employees oversaw implementation of these bills and created a guide, “TDLR Lessons Learned: A Primer for Developing Military Service Credit for Occupational Licensing.” The guide, along with other military occupational licensing information, is on our website and was shared with state lawmakers, state agencies, the Texas Coordinating Council for Veterans Services, and the U.S. Department of Defense.

During the 2014 strategic planning process, TDLR management and staff traveled from the Austin headquarters to Lubbock, McAllen, Dallas, and Houston to meet with licensees and the public and received over 1,000 comments in face-to-face sessions. This feedback was later reviewed to improve the agency’s customer service delivery and operations. During the planning process, 333 visitors also submitted ideas on *ImagineTDLR*.

In October 2013, TDLR began using **GovDelivery** to email notices to subscribers, improving the agency’s efforts to provide timely and important news and information. This new system allows users to easily control and customize the email updates they receive for 146 TDLR-related topics. In FY 2014, we sent 266 email updates to our 539,089 subscribers, totaling 15.7 million emails sent. GovDelivery sends our email updates more quickly than our previous system while reducing the workload of our staff and email servers.

TDLR is radically transforming its website to make it easier to use by licensees, consumers, our employees, and the public. We’ve added video FAQs to our website that are uploaded on YouTube to offer a new way to provide responses to our most common inquiries. TDLR’s website content has been reviewed as part of the plain language initiative to ensure that our rules, procedures, forms and FAQs can be easily understood. Our website also includes more information in both Spanish and Vietnamese, improving TDLR’s outreach to our licensees, consumers and the public.

At the end of FY 2014, TDLR saw rising numbers in the following areas:

- Total licensee population of 629,763
- 296,762 phone calls answered
- 288,147 pieces of mail opened, batched and processed
- 123,250 inspections completed
- 12,172 complaints opened
- 1,169 open records requests processed
- 539,089 email subscribers
- 1.9 million website visits
- 68.9 million page views
- 20,819 “likes” on Facebook
- 15,395 interactions (likes, comments, shares)
- 1,745 Twitter followers
- Agency donations to the State Employee Charitable Campaign totaling \$27,837..

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Texas Department of Licensing and Regulation

