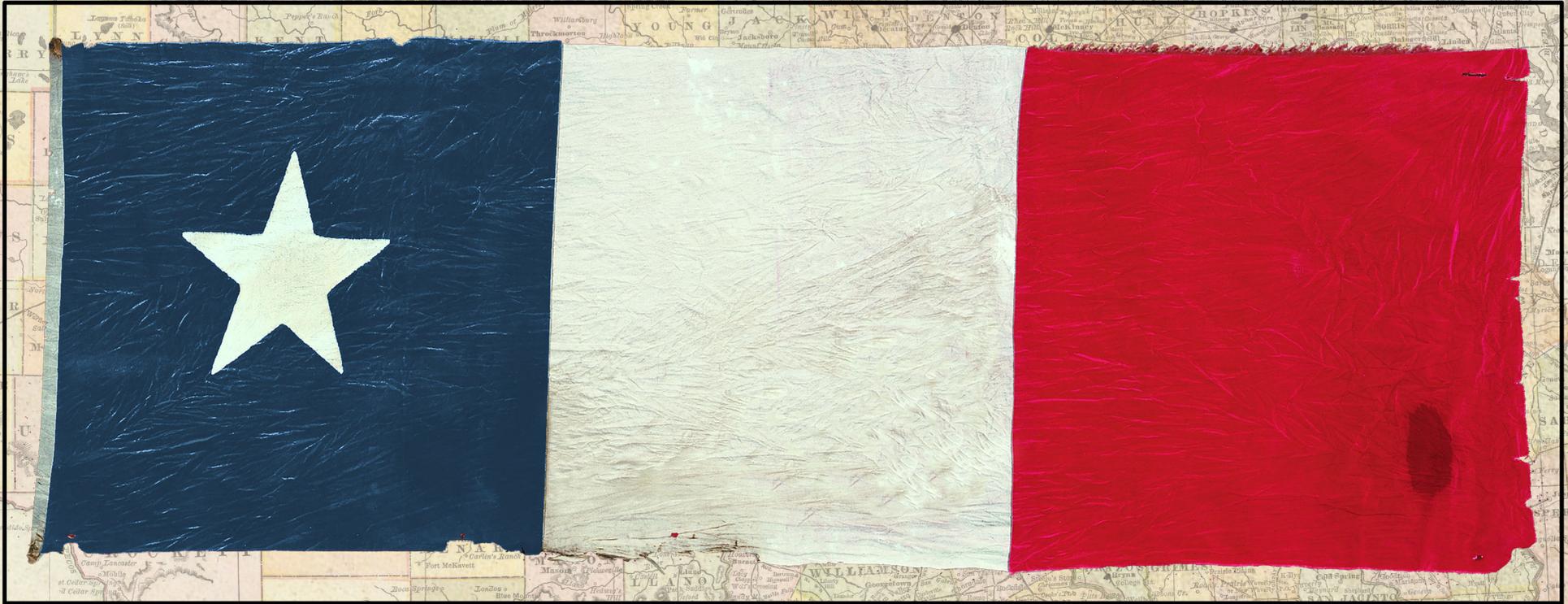


Legislative Appropriations Request

for Fiscal Years 2018 and 2019



submitted to the

**Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**

**for the Department of Licensing and Regulation
by the Commission of Licensing and Regulation**

August 5, 2016 (Revised August 19, 2016)



Legislative Appropriations Request

For Fiscal Years 2018 and 2019

**Submitted to the
Governor's Office of Budget, Planning and Policy
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by

The Texas Department of Licensing and Regulation

August 5, 2016 (Revised August 19, 2016)

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The Texas Department of Licensing and Regulation’s (TDLR) vision is to be the leader in public service by creating innovative, low-cost licensing and regulatory “next” practices and cultivating highly-engaged employees who provide exceptional customer service. The agency’s mission is to honor the trust of all Texans, safeguard the public, and provide a fair and efficient licensing and regulatory environment at the lowest possible cost.

To achieve smaller, smarter government, our philosophy guides us to:

- Honor the public by being fair, open, and consistent;
- Serve the citizens of Texas responsibly through transparency and efficiency;
- Seek input and feedback from our stakeholders and employees;
- Provide clear and simple communication;
- Reduce licensing costs and regulatory burdens;
- Value the dignity and worth of our customers and coworkers;
- Foster innovation and change by challenging the status quo;
- Pursue efficient and cost-effective public-private partnerships;
- Develop core value-driven leaders; and
- Recruit, retain, and reward high-performing employees.

TEXAS COMMISSION OF LICENSING AND REGULATION: COMMON-SENSE LEADERSHIP

The Texas Commission of Licensing and Regulation (Commission) is TDLR’s governing board and policy-making body, with seven public members appointed to staggered six-year terms by the Governor with the consent of the Texas Senate. By law, Commission members cannot have an interest in any of the businesses or trades regulated by TDLR.

The Commission has a keen focus on removing business impediments and is committed to transparency in all of its activities. Before any administrative rules are adopted, the Commissioners carefully consider the potential impact of new regulations on public health and safety, businesses, consumers, and licensees. Commissioners receive regular training from TDLR’s Office of the General Counsel to ensure compliance with the state’s open government laws.

The Commission is composed of the following members:

COMMISSIONER	HOMETOWN	TERM EXPIRES
Mike Arismendez, Chair	Shallowater	February 1, 2021
Thomas F. Butler	Deer Park	February 1, 2019
Helen Callier	Kingwood	February 1, 2021
Rick Figueroa	Brenham	February 1, 2021
Catherine Rodewald	Dallas	February 1, 2017
Ravi Shah	Carrollton	February 1, 2017
Deborah A. Yurco	Austin	February 1, 2019

84th TEXAS LEGISLATURE: PROGRAM CONSOLIDATION

We are humbled by the confidence the Texas Legislature continues to show in us by consolidating 14 occupational licensing programs into TDLR and expanding our responsibilities into the fields of driver safety and health-related occupations.

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Senate Bill 202, enacted by the 84th Texas Legislature, consolidated 13 Department of State Health Services (DSHS) programs into TDLR over two phases.

Phase 1 (FY 2016-17) includes transferring the following programs:

- Athletic Trainers;
- Dietitians;
- Dyslexia Therapists and Practitioners;
- Hearing Instrument Fitters and Dispensers;
- Midwives;
- Orthotists and Prosthetists; and
- Speech-Language Pathologists and Audiologists.

Phase 2 (FY 2018-19) includes transferring the following programs:

- Code Enforcement Officers;
- Laser Hair Removal;
- Massage Therapists;
- Mold Assessors and Remediators;
- Offender Education Providers; and
- Sanitarians.

House Bill 1786 transferred the driver training program from the Texas Education Agency (TEA) and the parent-taught driver education program from the Texas Department of Public Safety (DPS) to TDLR on September 1, 2015. These two separate programs were successfully consolidated as the Driver Education and Safety Program at TDLR.

TDLR's culture of innovation allows us to successfully manage these growing and increasingly diverse responsibilities while maintaining a motivated, stable, and lean workforce. We strive every day to bring cost-savings and common-sense regulation to our programs, and to continue offering improved services and lower fees to licensees.

The following are some of the real cost savings these licensees will experience as a result of the consolidation into TDLR:

Dietitians

License verification: Eliminated the \$25 fee.

License reinstatement: Eliminated the \$80 fee.

Hearing Instrument Fitters and Dispensers

Initial and renewal application: Reduced fee from \$405 to \$205.

Practical reexamination: Reduced fee from \$125 to \$100.

Continuing education provider: Reduced fee from \$500 to \$200.

License verification: Eliminated the \$10 fee.

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License reinstatement: Eliminated the \$55 fee.

Licensed Dyslexia Therapists and Practitioners
License reinstatement: Eliminated the \$50 fee.

Orthotists and Prosthetists

Facility name change: Reduced fee from \$400 to \$50.

On-site practitioner name change: Reduced fee from \$100 to \$50.

Criminal history evaluation: Reduced fee from \$50 to \$25.

License verification: Eliminated the \$25 fee.

License reinstatement: Eliminated the \$100 fee.

Speech-Language Pathologists and Audiologists

License verification: Eliminated the \$10 fee.

In addition to the cost savings listed above, all licensees in DSHS programs consolidated into TDLR will no longer pay the subscription and convenience fees for online transactions and Office of Patient Protection fees.

SMALLER, SMARTER GOVERNMENT

TDLR serves its regulated industries and the public at the lowest possible cost without compromising the quality of its services. We constantly evaluate our operations to eliminate inefficiencies. Operating costs and revenue for each program are annually reviewed. Over the past twelve years, licensees have benefited from these efforts with a cumulative cost savings of over \$32.5 million in license fees.

In 1999, TDLR began moving from a government agency silo structure to a business model along functional lines. This model aligns employees and resources functionally to improve quality of service. The result is:

- a significant decrease in taxpayers' costs;
- increased productivity; and
- reduction in the number of employees required.

With the growth in the agency's responsibilities over the past sixteen years, the Legislature has raised TDLR's employee cap from 144.5 in 1999 to its current cap of 448.2. In 2001, TDLR had one employee for every 732 licensees; by February 2016, this ratio increased to one employee for every 1,598 licensees.

REMOVING REDUNDANCIES AND IMPEDIMENTS

TDLR recommended to the 84th Texas Legislature statutory changes to several of our programs to remove redundancies and impediments, streamline regulations, make the licensing process easier, and strengthen consumer protection. TDLR's 11 successful strategic initiatives passed by the Legislature eliminated license types, deregulated programs, and exempted certain industries from regulation.

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Highlights of these strategic initiatives include:

- Expanding barbering and cosmetology services to allow operators to earn income from special events such as weddings, proms, and quinceañeras;
- Deregulating traditional hair braiding due to limited consumer concerns about health and safety;
- Exempting new car dealers and haulers from the towing law, a regulatory redundancy for industries outside the towing profession who are already regulated by US and Texas Departments of Transportation;
- Empowering building owners to designate that an elevator is removed from service without the burden of excessive red tape; and
- Removing barriers to entry in the job market by authorizing TDLR to consider alternative ways of licensing qualified applicants.

TDLR'S PROCESS FOR EVALUATING CRIMINAL BACKGROUNDS

Government Code Section 411.093 authorizes TDLR to obtain from DPS criminal history records that relate to an applicant or licensee.

Each TDLR program has criminal conviction guidelines established with the input of advisory boards. These guidelines direct the agency's decision-making process and present:

- the general factors that are considered in all cases;
- the reasons why particular crimes relate to each type of license we issue;
- whether a criminal offense makes an applicant an unsuitable candidate for a license; and
- if an offense warrants revocation or suspension of a license previously granted.

Agency Practices and Procedures for Background Checks

1. TDLR's Licensing Division runs a criminal background check through DPS on each original license application and each renewal application filed.
 - If the application or the DPS check reveals a criminal offense that could be a basis for denying the license application, Licensing staff refers the matter to TDLR's Enforcement Division for further review. The background is then reviewed by a prosecutor who either clears the applicant for licensure or begins the denial process.
2. All applicants are afforded due process throughout every step and have the right to contest any decision.
 - Any proposed denial of a license application must clearly identify the criminal offenses that form the basis of the denial, cite the statutory authority for the denial, and advise the applicant that a hearing may be requested at the State Office of Administrative Hearings (SOAH) to challenge the proposed denial.
 - After a hearing is conducted at SOAH, the Administrative Law Judge issues a Proposal for Decision for consideration by the Commission of Licensing and Regulation.
 - After considering the Proposal for Decision, the Commission may grant or deny the license application.
 - Decisions by the Commission can then be challenged by the applicant in district court.

CENTRALIZED ACCOUNTING AND PAYROLL/PERSONNEL SYSTEM (CAPPS) FINANCIALS PROGRESS REPORT

September 2015: TDLR staff trained on basic CAPPS functionality.

October - November 2015: Reviewed CAPPS functionality, assessed potential impact to agency business processes, and reviewed agency scope documentation.

November - December 2015: Defined gap requirements for exceptions to CAPPS standard business processes; completed gap analysis, and recommended gap solutions.

December - January 2016: Initial configuration input completed and prototyping sessions held to modify the configurations and processes.

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February - June 2016: System and integration testing conducted.

March - August 2016: Train the Trainer Program completed.

June 2016: Initiated User Acceptance Testing for conversion data, security configurations, interfaces, and batch scheduling.

September 1, 2016: Go live with CAPPS.

The Comptroller's Office has delayed TDLR's HR/Payroll portion of CAPPS deployment until September 2019.

TDLR EXCEPTIONAL ITEMS REQUESTS

Exceptional Item One: Successfully Consolidate 13 DSHS Programs.

TDLR requests the necessary funding to implement Phase 2 of Senate Bill 202.

Senate Bill 202 was signed into law by Governor Greg Abbott on June 17, 2015, and became effective September 1, 2015. Funding to implement Phase 1 was not released until January 2016 and was \$1,117,919 less than the amount appropriated in Article IX, Section 18.56, Contingency for Senate Bill 202.

Successful program consolidation requires sufficient and timely funding in order to evaluate the programs, solicit and select information technology bids, streamline and adopt program rules, identify and select office locations, and hire and train new staff.

Funding for Exceptional Item One will allow TDLR to:

1. Successfully consolidate all 13 DSHS health-related programs at TDLR;
2. Recruit, hire and train the 25 FTEs necessary to carry out TDLR's responsibilities in these health-related programs, including a Human Trafficking Coordinator to educate and train TDLR field personnel, specifically those inspecting and investigating massage therapy establishments;
3. Procure information technology systems and software and maintenance licenses needed to convert and consolidate all Phase 2 DSHS programs;
4. Purchase e-inspection software to allow for the efficient inspection of licensed massage establishments and orthotists and prosthetists facilities;
5. Develop and adopt program rules and policies in collaboration with the advisory boards to ensure they align with TDLR's functional and regulatory business model;
6. Acquire the equipment, resources, and office space for additional staff; and
7. Train current TDLR staff on the statutes, rules, and policies relating to the 13 DSHS programs.

This request is aligned with Agency Goal #5, "Effectively consolidate and improve management and services for the 14 programs transferred to TDLR by the 84th Texas Legislature," in TDLR's 2017-2021 Strategic Plan.

Twenty-five FTEs and \$2,334,072 in fiscal year 2018 and \$1,502,995 in fiscal year 2019 are needed for the successful completion of the consolidation.

Exceptional Item Two: Enhance enforcement strength to protect consumer health and safety.

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TDLR requests full funding for Enforcement, Field Operations, and Education and Examination positions frozen in response to the requested 4% budget cuts.

TDLR's Enforcement Division investigates and resolves complaints from consumers, industry, and agency staff to protect the public from consumer harm and threats to health and safety. The Division also reviews the criminal histories of license applicants and pursues denial or revocation of licenses when necessary to protect the public.

The Field Operations Division performs pre-license and periodic inspections throughout Texas to educate licensees and ensure the health and safety of Texans. The Education and Examination Division conducts pre-license and periodic inspections of barber, cosmetology, and driver education schools. The Division will also begin inspecting midwifery schools in fiscal year 2017 and massage therapy schools in fiscal year 2018.

Funding for Exceptional Item Two will:

1. Ensure that TDLR continues to provide timely and thorough investigations of complaints;
2. Make sure that facilities and businesses comply with health and safety requirements for consumer protection;
3. Confirm that curricula and courses are being taught according to health and safety requirements;
4. Restore two Investigator positions in Enforcement, which will allow 976 additional investigations to be completed; and
5. Restore two Inspector positions in Field Operations and one Inspector position in Education and Examination, which will allow 4,482 additional inspections to be completed.

This request is aligned with Agency Goal #3, "Focus limited enforcement resources on complaints that involve consumer harm or a threat to public safety," in TDLR's 2017-2021 Strategic Plan.

\$268,373 is needed in fiscal year 2018 and in fiscal year 2019 to ensure health and safety protections are maintained for all Texans.

Exceptional Item Three: Ensure efficient processing of licenses.

TDLR requests full funding for Customer Service, Licensing, and Office of Strategic Communication positions frozen in response to the requested 4% budget cuts.

The consolidation of 13 health-related programs from DSHS to TDLR is projected to add more than 67,000 licensees to TDLR's current licensee population of approximately 650,000. This additional workload requires full staffing in these three key areas.

Funding for Exceptional Item Three will:

1. Ensure that TDLR continues to provide efficient and responsive customer service;
2. Help TDLR's Licensing Division continue timely and accurate processing of license applications;
3. Support TDLR's Web Team in providing dynamic, up-to-date information on the agency website;
4. Restore one Customer Service Representative position in the Customer Service Division, which will allow 41,604 additional customer contacts to be answered;
5. Restore one Licensing and Permit Specialist position in Licensing, which will allow 19,918 additional licenses to be processed; and
6. Restore one Web Administrator position in the Office of Strategic Communication.

This request is aligned with Agency Goal #1, "Improve efficiencies, lower fees, and eliminate bottlenecks throughout TDLR" in TDLR's 2017-2021 Strategic Plan.

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\$137,180 is needed in fiscal year 2018 and in fiscal year 2019 to allow Texans to get their licenses quicker and get to work, and to improve our communication outreach with the public.

Exceptional Item Four: Support public outreach and prevent loss of program and industry expertise.

TDLR requests full funding for three Compliance positions frozen in response to the requested 4% budget cuts.

TDLR's Compliance Division is comprised of industry experts responsible for developing and explaining industry standards, determining building and equipment compliance, answering technical questions, and educating the public and the industries for TDLR's current 25 programs. With the addition of 13 health-related DSHS programs, maintaining and enhancing the Compliance Division's expertise is crucial to the agency's success and to effective, common-sense regulation of these professions.

Funding for Exceptional Item Four will:

1. Ensure TDLR continues to offer outstanding customer support and program expertise;
2. Enable TDLR to recruit and retain program and industry specialists to prevent further loss of our Compliance bench strength;
3. Increase our ability to assist TDLR licensees in achieving compliance with program requirements; and
4. Restore two Program Specialist positions and one Management Analyst position in the Compliance Division.

This request is aligned with Agency Goal #2 in TDLR's 2017-2021 Strategic Plan "Educate and empower consumers by providing them with the tools to make informed choices about the industries TDLR regulates."

\$194,982 is needed in fiscal year 2018 and in fiscal year 2019 to provide public outreach and prevent the loss of program and industry expertise.

Exceptional Item Five: Strengthen Information Technology Security and Building Safety.

TDLR requests funding to maintain and improve information technology security across the agency and to improve building safety at its E.O. Thompson Building headquarters in Austin directly across from the Governor's Mansion.

In 2013 the Texas Department of Information Resources (DIR) established a statewide security assessment program to evaluate information security risks at state agencies. DIR selected Gartner, Inc., an IT research and advisory firm, to identify weaknesses and recommend improvements to information security and risk management at state agencies. In February 2014 Gartner identified the personnel and technology costs necessary for TDLR to achieve an overall IT maturity at level three of the five-level Gartner IT maturity scale and provided prioritized recommendations for improvements in 17 areas.

The 84th Texas Legislature appropriated TDLR funding to improve its IT security by identifying and adopting computer security processes and policies, applying technical controls to reduce vulnerabilities and overall risk, and increasing security awareness and compliance. While this funding has allowed TDLR to address some of the highest priority security issues identified by Gartner, other critical issues still need to be addressed.

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Additionally, TDLR coordinated with DPS for a security review of the E.O. Thompson Building and identified safety issues that present potential dangers to staff and visitors. DPS recommended the need for improved security camera systems and additional external lighting.

Funding for Exceptional Item Five will:

1. Allow TDLR to improve its overall IT maturity levels in 17 areas as recommended by Gartner;
2. Enable TDLR to safely and effectively protect and store health-related personal information;
3. Ensure that personal identifiable information entrusted to TDLR by the public and licensees remains secure;
4. Identify and neutralize any vulnerabilities associated with new software implementation; and
5. Improve external and internal security at the E.O. Thompson Building.

This request is aligned with Statewide Objective #1 in all agency goals, "Accountable to Tax and Fee Payers of Texas," in TDLR's 2017-2021 Strategic Plan.

\$232,650 is needed in fiscal year 2018 and \$177,650 in fiscal year 2019 to completely implement the Gartner and DPS recommendations to reduce threats to TDLR's information technology and physical safety.

TDLR'S Approach to Reducing Baseline Request

TDLR underwent a careful review of each licensing program to consider the specific impact that the required 4% reduction to our base appropriations would have on the following service areas:

1. Licensing;
2. Consumer protection;
3. Customer service;
4. Complaint resolution;
5. Program expertise; and
6. Administrative.

Based on TDLR's functional business model and our workforce analysis, we identified the following 14 positions to be frozen for Fiscal Years 2018 and 2019.

DIVISION	POSITION(S)
Compliance	Program Specialist IV, Program Specialist V, Management Analyst III
Customer Service	Customer Service Representative III
Education & Examination	Inspector V
Enforcement	Investigator IV(2)
Field Operations	Inspector IV(2)
Human Resources	Human Resources Specialist II
Information Technology	Programmer III, Network Specialist IV
Licensing	Licensing and Permit Specialist II
Office of Strategic Communication	Web Administrator II

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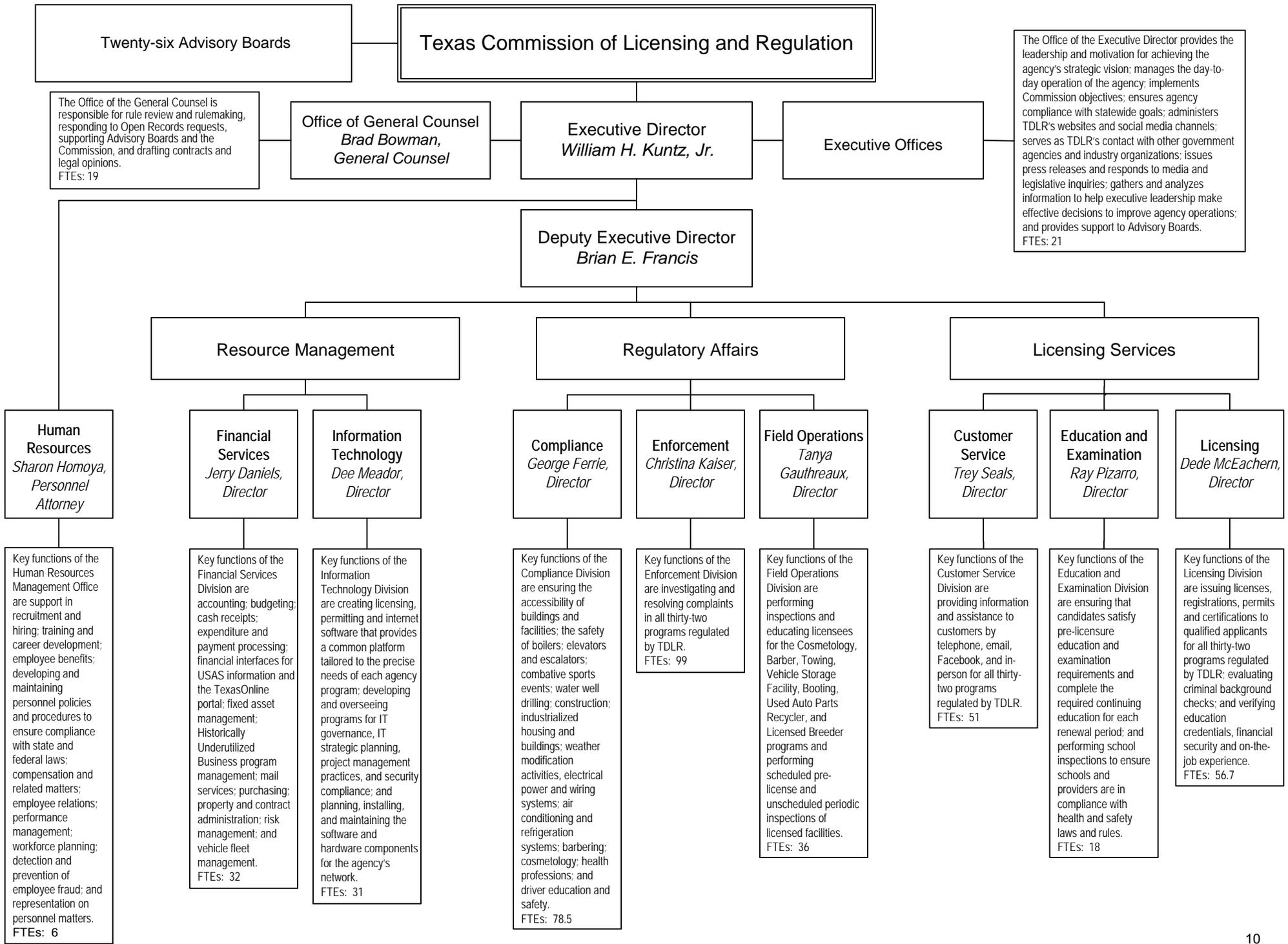
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The annual salary and wage reduction plus travel, rent, insurance, and other associated indirect operation costs for these 14 positions is \$791,496 per fiscal year, and \$1,582,992 for the biennium. Additionally, TDLR is reducing its Capital Budget request for Data Center Consolidation funding by \$373,195 for each year or \$746,390 for the biennium. As a result of these reductions, the appropriations we are requesting from General Revenue for the FY 18-19 biennium is \$2,329,382 less than our previous budget, or 4% less than that previous amount of \$58,234,560. TDLR's reduction in its baseline request fulfills the requirement by State of Texas leadership to maximize the efficient use of state resources, and expresses our priorities for programs and items that are of highest value to Texas taxpayers.

Texas Department of Licensing and Regulation





CERTIFICATE

Agency Name Texas Department of Licensing and Regulation

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Article IX, Section 7.01 (2012-13 GAA).

Chief Executive Officer or Presiding Judge

William H. Kuntz, Jr.
Signature

William H. Kuntz, Jr.

Printed Name

Executive Director

Title

August 5, 2016

Date

Board or Commission Chair

Mike Arismendez
Signature

Mike Arismendez

Printed Name

Commission Chairman

Title

August 5, 2016

Date

Chief Financial Officer

Jerald A. Daniels
Signature

Jerald A. Daniels

Printed Name

Director of Financial Services

Title

August 5, 2016

Date

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
1 License, Certify, and Register Qualified Individuals and Businesses					
1 Regulate All Applicable Individuals and Facilities According to Law					
1 LICENSE, REGISTER AND CERTIFY	2,382,131	3,941,263	3,465,664	3,520,823	3,682,755
2 LICENSE BUSINESSES AND FACILITIES	943,791	1,266,943	1,254,935	1,250,685	1,250,685
3 EXAMINATIONS/CONTINUING EDUCATION	527,297	1,348,605	1,310,618	1,288,678	1,288,678
4 CUSTOMER SERV.	1,702,945	2,202,652	2,642,028	2,579,167	2,579,167
5 TEXAS.GOV	494,848	500,000	500,000	500,000	500,000
TOTAL, GOAL 1	\$6,051,012	\$9,259,463	\$9,173,245	\$9,139,353	\$9,301,285
2 Protect the Public by Enforcing Laws Administered by the Agency					
1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations					
1 CONDUCT INSPECTIONS	6,936,784	7,262,057	7,508,952	7,272,471	7,272,471
2 BUILDING PLAN REVIEWS	1,166,278	1,388,179	1,410,977	1,375,427	1,375,427
3 RESOLVE COMPLAINTS	3,143,516	3,755,328	3,580,579	3,565,466	3,565,466

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
4 INVESTIGATION		3,157,397	3,109,466	3,110,369	3,009,609	3,009,609
TOTAL, GOAL	2	\$14,403,975	\$15,515,030	\$15,610,877	\$15,222,973	\$15,222,973
3 Indirect Administration						
1 Indirect Administration						
1 CENTRAL ADMINISTRATION		3,782,463	3,914,179	3,712,076	3,590,074	3,590,074
2 INFORMATION RESOURCES		2,359,891	4,899,354	4,067,213	3,896,049	3,734,117
3 OTHER SUPPORT SERVICES		348,561	428,111	450,772	450,022	450,022
TOTAL, GOAL	3	\$6,490,915	\$9,241,644	\$8,230,061	\$7,936,145	\$7,774,213
TOTAL, AGENCY STRATEGY REQUEST		\$26,945,902	\$34,016,137	\$33,014,183	\$32,298,471	\$32,298,471
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*					\$25,000	\$25,000
GRAND TOTAL, AGENCY REQUEST		\$26,945,902	\$34,016,137	\$33,014,183	\$32,323,471	\$32,323,471

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	24,277,911	29,566,259	28,618,301	27,927,589	27,927,589
SUBTOTAL	\$24,277,911	\$29,566,259	\$28,618,301	\$27,927,589	\$27,927,589
General Revenue Dedicated Funds:					
99 Oper & Chauffeurs Lic Ac	83,690	0	0	0	0
108 Priv Beauty Culture Sch	0	20,000	20,000	20,000	20,000
5081 Barber School Tuition Protection	0	5,000	5,000	5,000	5,000
SUBTOTAL	\$83,690	\$25,000	\$25,000	\$25,000	\$25,000
Other Funds:					
666 Appropriated Receipts	2,537,823	4,378,400	4,335,000	4,335,000	4,335,000
777 Interagency Contracts	21,478	21,478	10,882	10,882	10,882
898 Auction Educ & Rec Trust	25,000	25,000	25,000	25,000	25,000
SUBTOTAL	\$2,584,301	\$4,424,878	\$4,370,882	\$4,370,882	\$4,370,882
TOTAL, METHOD OF FINANCING	\$26,945,902	\$34,016,137	\$33,014,183	\$32,323,471	\$32,323,471

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2014-15 GAA)

\$23,292,206	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2016-17 GAA)

\$0	\$25,276,483	\$24,566,367	\$0	\$0
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Regular Appropriations from MOF Table (2018-19 GAA)

\$0	\$0	\$0	\$27,927,589	\$27,927,589
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RIDER APPROPRIATION

Art. VIII, Rider 14, Contingency SB 845, Postage Costs(2014-15 GAA)

\$(143,060)	\$0	\$0	\$0	\$0
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Art. VIII-32, Rider 11, Unexpended Balance Authority (2014-15 GAA)

\$1,057,316	\$0	\$0	\$0	\$0
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Art IX, Sec 18.03(b), CAPPS System Deployment (2016-17 GAA)

\$0	\$81,558	\$54,554	\$0	\$0
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2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/19/2016 5:27:24PM

Agency code: 452	Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>GENERAL REVENUE</u>					
Art. IX, Sec. 9.05 Texas.gov Project (2014-15 GAA)	\$27,648	\$0	\$0	\$0	\$0
Comments: Exceeded budgeted amount					
Art. IX, Sec. 9.05 Texas.gov Project (2016-17 GAA)	\$0	\$32,800	\$32,800	\$0	\$0
Comments: Estimate of excess over budget					
Art. IX, Sec. 8.03 Reimbursement and Payments (2014-15 GAA)	\$276,412	\$0	\$0	\$0	\$0
Comments: TFC refund of expenditures.					
Art. VIII, Rider 13, Combative Sports Regulation (2014-15 GAA)	\$30,000	\$0	\$0	\$0	\$0
Art. VIII, Rider 12, Combative Sports Regulation (2016-17 GAA)	\$0	\$30,000	\$30,000	\$0	\$0
<i>TRANSFERS</i>					

2.B. Summary of Base Request by Method of Finance

8/19/2016 5:27:24PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 452	Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>GENERAL REVENUE</u>					
Art IX, Sec 17.06 Appropriation for a Salary Increase for General State Employees (2014-15 GAA)	\$348,737	\$0	\$0	\$0	\$0
Art IX, Sec 18.02 Appropriation for a Salary Increase for General State Employees (2016-17)	\$0	\$501,335	\$501,335	\$0	\$0
Art. IX, Sec. 18.41 HB 1786, TEA (2016-17 GAA)	\$0	\$1,222,083	\$1,116,770	\$0	\$0
Art. IX, Sec. 18.56 SB 202, DSHS (2016-17 GAA)	\$0	\$3,569,919	\$2,346,475	\$0	\$0
Art. IX, Sec. 18.56 SB 202, DSHS (2016-17 GAA)	\$0	\$(1,117,919)	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Art IX, Sec 18.42, Judicial Branch Certification (2014-15 GAA)	\$(20,524)	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2014-15 GAA)					

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/19/2016 5:27:24PM

Agency code: 452		Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>GENERAL REVENUE</u>						
		\$(287,421)	\$0	\$0	\$0	\$0
	Art. IX, Sec. 8.03 Reimbursement and Payments (2014-15 GAA)					
		\$(273,403)	\$0	\$0	\$0	\$0
	Comments: TFC refund of expenditures					
	Art. VIII, Rider 13, Combative Sports Regulation (2014-15 GAA)					
		\$(30,000)	\$0	\$0	\$0	\$0
	Art. VIII, Rider 12, Combative Sports Regulation (2016-17 GAA)					
		\$0	\$(30,000)	\$(30,000)	\$0	\$0
TOTAL,	General Revenue Fund					
		\$24,277,911	\$29,566,259	\$28,618,301	\$27,927,589	\$27,927,589
TOTAL, ALL	GENERAL REVENUE					
		\$24,277,911	\$29,566,259	\$28,618,301	\$27,927,589	\$27,927,589

GENERAL REVENUE FUND - DEDICATED

99 GR Dedicated - Operators and Chauffeurs License Account No. 099

REGULAR APPROPRIATIONS

Operator & Chauffeurs Lic. (2014-15 GAA)

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/19/2016 5:27:24PM

Agency code: 452		Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019	
<u>GENERAL REVENUE FUND - DEDICATED</u>						
	\$106,040	\$0	\$0	\$0	\$0	
<i>RIDER APPROPRIATION</i>						
Art. VIII-32, Rider 11 Unexpended Balance Authority (2014-15 GAA)						
	\$83,690	\$0	\$0	\$0	\$0	
<i>LAPSED APPROPRIATIONS</i>						
Operator & Chauffeurs Lic. (2014-15 GAA)						
	\$(106,040)	\$0	\$0	\$0	\$0	
TOTAL,	GR Dedicated - Operators and Chauffeurs License Account No. 099					
	\$83,690	\$0	\$0	\$0	\$0	
108	GR Dedicated - Private Beauty Culture School Tuition Protection Account No. 108					
<i>REGULAR APPROPRIATIONS</i>						
Private Beauty Culture School Tuition (2014-15 GAA)						
	\$20,000	\$0	\$0	\$0	\$0	
Private Beauty Culture School Tuition (2016-17 GAA)						
	\$0	\$20,000	\$20,000	\$0	\$0	
Private Beauty Culture School Tuition (2018-19 GAA)						

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/19/2016 5:27:24PM

Agency code: 452		Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>GENERAL REVENUE FUND - DEDICATED</u>						
		\$0	\$0	\$0	\$20,000	\$20,000
<i>LAPSED APPROPRIATIONS</i>						
	Private Beauty Culture School Tuition (2014-15 GAA)	\$(20,000)	\$0	\$0	\$0	\$0
TOTAL,	GR Dedicated - Private Beauty Culture School Tuition Protection Account No. 108	\$0	\$20,000	\$20,000	\$20,000	\$20,000
<u>5081</u>	GR Dedicated - Barber School Tuition Protection Account No. 5081					
<i>REGULAR APPROPRIATIONS</i>						
	Private Barber School Tuition (2014-15 GAA)	\$5,000	\$0	\$0	\$0	\$0
	Private Barber School Tuition (2016-17 GAA)	\$0	\$5,000	\$5,000	\$0	\$0
	Private Barber School Tuition (2018-19 GAA)	\$0	\$0	\$0	\$5,000	\$5,000
<i>LAPSED APPROPRIATIONS</i>						
	Private Barber School Tuition (2014-15 GAA)					

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/19/2016 5:27:24PM

Agency code: 452		Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>GENERAL REVENUE FUND - DEDICATED</u>						
		\$ (5,000)	\$ 0	\$ 0	\$ 0	\$ 0
TOTAL,	GR Dedicated - Barber School Tuition Protection Account No. 5081	\$ 0	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000
TOTAL, ALL	GENERAL REVENUE FUND - DEDICATED	\$ 83,690	\$ 25,000	\$ 25,000	\$ 25,000	\$ 25,000
TOTAL,	GR & GR-DEDICATED FUNDS	\$ 24,361,601	\$ 29,591,259	\$ 28,643,301	\$ 27,952,589	\$ 27,952,589
<u>OTHER FUNDS</u>						
<u>666</u> Appropriated Receipts						
<i>REGULAR APPROPRIATIONS</i>						
	Regular Appropriations from MOF Table (2014-15 GAA)	\$ 930,000	\$ 0	\$ 0	\$ 0	\$ 0
	Regular Appropriations from MOF Table (2016-17 GAA)	\$ 0	\$ 930,000	\$ 930,000	\$ 0	\$ 0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$ 0	\$ 0	\$ 0	\$ 4,335,000	\$ 4,335,000
<i>RIDER APPROPRIATION</i>						

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/19/2016 5:27:24PM

Agency code: 452		Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>OTHER FUNDS</u>						
	Art. IX, Sec. 8.03 Reimbursements and Payments (2014-15 GAA)	\$14,958	\$0	\$0	\$0	\$0
	Comments: Exceeded collected budget					
	Art. IX, Sec. 12.02 Publication or Sale of Records (2014-15 GAA)	\$1,497,065	\$0	\$0	\$0	\$0
	Comments: Exceeded collected budget					
	Art. IX, Sec. 12.02 Publication or Sale of Records (2016-17 GAA)	\$0	\$3,405,000	\$3,405,000	\$0	\$0
	Comments: Estimate of excess over budgeted					
	Art. IX, Rider 3, Travel and Fee Reimbursement (2014-15 GAA)	\$95,800	\$0	\$0	\$0	\$0
	Art. IX, Rider 3, Travel and Fee Reimbursement (2016-17 GAA)	\$0	\$43,400	\$0	\$0	\$0
TOTAL,	Appropriated Receipts	\$2,537,823	\$4,378,400	\$4,335,000	\$4,335,000	\$4,335,000

2.B. Summary of Base Request by Method of Finance

8/19/2016 5:27:24PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 452		Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>OTHER FUNDS</u>						
<u>777</u> Interagency Contracts						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriation from MOF Table (2014-15 GAA)						
		\$10,882	\$0	\$0	\$0	\$0
Regular Appropriation from MOF Table (2016-17 GAA)						
		\$0	\$10,882	\$10,882	\$0	\$0
Regular Appropriation from MOF Table (2018-19 GAA)						
		\$0	\$0	\$0	\$10,882	\$10,882
<i>RIDER APPROPRIATION</i>						
Art. IX, Sec. 8.03 Reimbursement and Payments (2014-15 GAA)						
		\$10,596	\$0	\$0	\$0	\$0
Comments: Federal pass-through						
Art. IX, Sec. 8.02 Reimbursement and Payments (2016-17 GAA)						
		\$0	\$10,596	\$0	\$0	\$0
Comments: Federal pass-through						

2.B. Summary of Base Request by Method of Finance

8/19/2016 5:27:24PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 452		Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>OTHER FUNDS</u>						
TOTAL,	Interagency Contracts	\$21,478	\$21,478	\$10,882	\$10,882	\$10,882
898	Auctioneer Education and Recovery Trust Fund No. 898					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriation from MOF Table (2014-15 GAA)	\$25,000	\$0	\$0	\$0	\$0
	Regular Appropriation from MOF Table (2016-17 GAA)	\$0	\$25,000	\$25,000	\$0	\$0
	Regular Appropriation from MOF Table (2018-19 GAA)	\$0	\$0	\$0	\$25,000	\$25,000
TOTAL,	Auctioneer Education and Recovery Trust Fund No. 898	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
TOTAL, ALL	OTHER FUNDS	\$2,584,301	\$4,424,878	\$4,370,882	\$4,370,882	\$4,370,882
GRAND TOTAL		\$26,945,902	\$34,016,137	\$33,014,183	\$32,323,471	\$32,323,471

2.B. Summary of Base Request by Method of Finance

8/19/2016 5:27:24PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 452	Agency name: Department of Licensing and Regulation				
METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2014-15 GAA)	382.2	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2016-17 GAA)	0.0	396.2	396.2	0.0	0.0
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	0.0	0.0	448.2	448.2
RIDER APPROPRIATION					
Art. IX, Sec. 18.03 CAPPS System Deployment(2016-17 GAA)	0.0	1.0	1.0	0.0	0.0
TRANSFERS					
Art. IX, Sec. 18.41, HB 1786 (2016-17 GAA)	0.0	19.5	19.5	0.0	0.0
Art. IX, Sec. 18.56, SB 202 (2016-17 GAA)	0.0	31.5	31.5	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Unauthorized Number Over (Below) Cap (2014-15 GAA)	(11.5)	0.0	0.0	0.0	0.0
Unauthorized Number Over (Below) Cap (2016-17 GAA)	0.0	(18.1)	0.0	0.0	0.0
Art. IX, Sec. 18.56, SB 202 (2016-17 GAA)	0.0	(21.5)	0.0	0.0	0.0

2.B. Summary of Base Request by Method of Finance

8/19/2016 5:27:24PM

85th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 452	Agency name: Department of Licensing and Regulation					
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
4% Reduction		0.0	0.0	0.0	(14.0)	(14.0)
TOTAL, ADJUSTED FTES		370.7	408.6	448.2	434.2	434.2

**NUMBER OF 100% FEDERALLY
FUNDED FTES**

2.C. Summary of Base Request by Object of Expense

8/19/2016 5:27:24PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

OBJECT OF EXPENSE	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1001 SALARIES AND WAGES	\$19,252,937	\$23,134,924	\$25,038,402	\$24,369,144	\$24,369,144
1002 OTHER PERSONNEL COSTS	\$1,603,365	\$1,655,450	\$561,220	\$561,220	\$561,220
2001 PROFESSIONAL FEES AND SERVICES	\$911,475	\$920,028	\$1,012,439	\$1,300,850	\$1,138,918
2002 FUELS AND LUBRICANTS	\$8,551	\$9,070	\$8,000	\$8,000	\$8,000
2003 CONSUMABLE SUPPLIES	\$80,736	\$117,391	\$137,834	\$109,750	\$109,750
2004 UTILITIES	\$164,896	\$163,409	\$153,275	\$140,550	\$140,550
2005 TRAVEL	\$934,155	\$1,078,377	\$1,080,435	\$1,078,435	\$1,078,435
2006 RENT - BUILDING	\$721,787	\$992,565	\$924,100	\$882,036	\$882,036
2007 RENT - MACHINE AND OTHER	\$96,017	\$78,056	\$77,840	\$78,305	\$78,305
2009 OTHER OPERATING EXPENSE	\$3,144,383	\$4,344,517	\$3,639,638	\$3,770,181	\$3,932,113
5000 CAPITAL EXPENDITURES	\$27,600	\$1,522,350	\$381,000	\$0	\$0
OOE Total (Excluding Riders)	\$26,945,902	\$34,016,137	\$33,014,183	\$32,298,471	\$32,298,471
OOE Total (Riders)				\$25,000	\$25,000
Grand Total	\$26,945,902	\$34,016,137	\$33,014,183	\$32,323,471	\$32,323,471

2.D. Summary of Base Request Objective Outcomes
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

8/19/2016 5:27:25PM

452 Department of Licensing and Regulation

Goal/ Objective / Outcome	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1 License, Certify, and Register Qualified Individuals and Businesses					
1 Regulate All Applicable Individuals and Facilities According to Law					
KEY 1 Percent of Licenses With No Recent Disciplinary Actions					
	97.40%	97.40%	97.30%	97.30%	97.30%
KEY 2 Percent of Licenses Who Renew Online					
	94.10%	94.10%	95.00%	95.00%	95.00%
KEY 3 Percent of New Individual Licenses Issued Online					
	79.50%	81.00%	87.00%	87.00%	87.00%
4 Percent of Contacts Responded to by Staff at TDLR					
	78.00%	93.00%	92.00%	90.00%	90.00%
2 Protect the Public by Enforcing Laws Administered by the Agency					
1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations					
1 Percent of Complaints Resulting in Disciplinary Action					
	26.42%	28.00%	30.00%	28.40%	27.90%
KEY 2 Percent of Complaints Closed within Six Months					
	59.57%	71.00%	60.00%	59.40%	58.20%
3 Recidivism Rate of Those Receiving Disciplinary Action					
	9.73%	10.00%	8.00%	9.20%	9.00%
KEY 4 Inspection Coverage Rate					
	84.61%	84.73%	86.44%	85.51%	86.29%

2.E. Summary of Exceptional Items Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
 TIME : 5:27:25PM

Agency code: 452

Agency name: Department of Licensing and Regulation

Priority	Item	2018			2019			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Consolidate 13 DSHS Programs	\$2,334,072	\$2,334,072	25.0	\$1,502,995	\$1,502,995	25.0	\$3,837,067	\$3,837,067
2	Restore Enforcement.	\$268,373	\$268,373	5.0	\$268,373	\$268,373	5.0	\$536,746	\$536,746
3	Restore Licensing.	\$137,180	\$137,180	3.0	\$137,180	\$137,180	3.0	\$274,360	\$274,360
4	Restore Compliance.	\$194,982	\$194,982	3.0	\$194,982	\$194,982	3.0	\$389,964	\$389,964
5	Strengthen IT Security.	\$232,650	\$232,650	0.0	\$177,650	\$177,650	0.0	\$410,300	\$410,300
Total, Exceptional Items Request		\$3,167,257	\$3,167,257	36.0	\$2,281,180	\$2,281,180	36.0	\$5,448,437	\$5,448,437

Method of Financing

General Revenue	\$3,167,257	\$3,167,257		\$2,281,180	\$2,281,180		\$5,448,437	\$5,448,437
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$3,167,257	\$3,167,257		\$2,281,180	\$2,281,180		\$5,448,437	\$5,448,437

Full Time Equivalent Positions

36.0

36.0

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/19/2016

TIME : 5:27:26PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
1 License, Certify, and Register Qualified Individuals and Businesses						
<i>1 Regulate All Applicable Individuals and Facilities According to Law</i>						
1 LICENSE, REGISTER AND CERTIFY	\$3,520,823	\$3,682,755	\$426,798	\$377,177	\$3,947,621	\$4,059,932
2 LICENSE BUSINESSES AND FACILITIES	1,250,685	1,250,685	0	0	1,250,685	1,250,685
3 EXAMINATIONS/CONTINUING EDUCATION	1,288,678	1,288,678	185,594	170,400	1,474,272	1,459,078
4 CUSTOMER SERV.	2,579,167	2,579,167	192,643	171,631	2,771,810	2,750,798
5 TEXAS.GOV	500,000	500,000	0	0	500,000	500,000
TOTAL, GOAL 1	\$9,139,353	\$9,301,285	\$805,035	\$719,208	\$9,944,388	\$10,020,493
2 Protect the Public by Enforcing Laws Administered by the Agency						
<i>1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupa</i>						
1 CONDUCT INSPECTIONS	7,272,471	7,272,471	799,814	750,985	8,072,285	8,023,456
2 BUILDING PLAN REVIEWS	1,375,427	1,375,427	0	0	1,375,427	1,375,427
3 RESOLVE COMPLAINTS	3,565,466	3,565,466	410,091	368,067	3,975,557	3,933,533
4 INVESTIGATION	3,009,609	3,009,609	106,341	106,341	3,115,950	3,115,950
TOTAL, GOAL 2	\$15,222,973	\$15,222,973	\$1,316,246	\$1,225,393	\$16,539,219	\$16,448,366

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/19/2016

TIME : 5:27:26PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
3 Indirect Administration						
1 <i>Indirect Administration</i>						
1 CENTRAL ADMINISTRATION	\$3,590,074	\$3,590,074	\$141,126	\$133,529	\$3,731,200	\$3,723,603
2 INFORMATION RESOURCES	3,896,049	3,734,117	904,850	203,050	4,800,899	3,937,167
3 OTHER SUPPORT SERVICES	450,022	450,022	0	0	450,022	450,022
TOTAL, GOAL 3	\$7,936,145	\$7,774,213	\$1,045,976	\$336,579	\$8,982,121	\$8,110,792
TOTAL, AGENCY STRATEGY REQUEST	\$32,298,471	\$32,298,471	\$3,167,257	\$2,281,180	\$35,465,728	\$34,579,651
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST	\$25,000	\$25,000	\$0	\$0	\$25,000	\$25,000
GRAND TOTAL, AGENCY REQUEST	\$32,323,471	\$32,323,471	\$3,167,257	\$2,281,180	\$35,490,728	\$34,604,651

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/19/2016

TIME : 5:27:26PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
General Revenue Funds:						
1 General Revenue Fund	\$27,927,589	\$27,927,589	\$3,167,257	\$2,281,180	\$31,094,846	\$30,208,769
	\$27,927,589	\$27,927,589	\$3,167,257	\$2,281,180	\$31,094,846	\$30,208,769
General Revenue Dedicated Funds:						
99 Oper & Chauffeurs Lic Ac	0	0	0	0	0	0
108 Priv Beauty Culture Sch	20,000	20,000	0	0	20,000	20,000
5081 Barber School Tuition Protection	5,000	5,000	0	0	5,000	5,000
	\$25,000	\$25,000	\$0	\$0	\$25,000	\$25,000
Other Funds:						
666 Appropriated Receipts	4,335,000	4,335,000	0	0	4,335,000	4,335,000
777 Interagency Contracts	10,882	10,882	0	0	10,882	10,882
898 Auction Educ & Rec Trust	25,000	25,000	0	0	25,000	25,000
	\$4,370,882	\$4,370,882	\$0	\$0	\$4,370,882	\$4,370,882
TOTAL, METHOD OF FINANCING	\$32,323,471	\$32,323,471	\$3,167,257	\$2,281,180	\$35,490,728	\$34,604,651
FULL TIME EQUIVALENT POSITIONS	434.2	434.2	36.0	36.0	470.2	470.2

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/19/2016
 Time: 5:27:27PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Goal/ Objective / Outcome

		BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
1	License, Certify, and Register Qualified Individuals and Businesses						
1	Regulate All Applicable Individuals and Facilities According to Law						
KEY	1 Percent of Licenses With No Recent Disciplinary Actions						
		97.30%	97.30%			97.30%	97.30%
KEY	2 Percent of Licenses Who Renew Online						
		95.00%	95.00%			95.00%	95.00%
KEY	3 Percent of New Individual Licenses Issued Online						
		87.00%	87.00%			87.00%	87.00%
	4 Percent of Contacts Responded to by Staff at TDLR						
		90.00%	90.00%			90.00%	90.00%
2	Protect the Public by Enforcing Laws Administered by the Agency						
1	Enforce Laws to Achieve Compliance in Regulated Industries/Occupations						
	1 Percent of Complaints Resulting in Disciplinary Action						
		28.40%	27.90%	29.80%	31.20%	29.80%	31.20%
KEY	2 Percent of Complaints Closed within Six Months						
		59.40%	58.20%	73.60%	76.80%	73.60%	76.80%
	3 Recidivism Rate of Those Receiving Disciplinary Action						
		9.20%	9.00%	9.90%	10.55%	9.90%	10.55%

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/19/2016
 Time: 5:27:27PM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Goal/ Objective / Outcome

	BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
KEY						
4 Inspection Coverage Rate	85.51%	86.29%	86.10%	86.87%	86.10%	86.87%

Budget Overview - Biennial Amounts
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation
Appropriation Years: 2018-19

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS	
	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2018-19	
Goal: 1. License, Certify, and Register Qualified Individuals and Businesses												
1.1.1. License, Register And Certify	4,955,961	4,802,044					2,450,966	2,401,534	7,406,927	7,203,578	803,975	
1.1.2. License Businesses And Facilities	2,079,878	2,059,370					442,000	442,000	2,521,878	2,501,370		
1.1.3. Examinations/Continuing Education	2,409,223	2,277,356		50,000			250,000	250,000	2,659,223	2,577,356	355,994	
1.1.4. Customer Serv.	3,128,280	3,491,934	50,000				1,666,400	1,666,400	4,844,680	5,158,334	364,274	
1.1.5. Texas.Gov	1,000,000	1,000,000							1,000,000	1,000,000		
Total, Goal	13,573,342	13,630,704	50,000	50,000			4,809,366	4,759,934	18,432,708	18,440,638	1,524,243	
Goal: 2. Protect the Public by Enforcing Laws Administered by the Agency												
2.1.1. Conduct Inspections	14,469,852	14,254,380					301,157	290,562	14,771,009	14,544,942	1,550,799	
2.1.2. Building Plan Reviews	2,763,580	2,715,278					35,576	35,576	2,799,156	2,750,854		
2.1.3. Resolve Complaints	7,283,259	7,128,284					52,648	2,648	7,335,907	7,130,932	778,158	
Rdr: 4-1 Auctioneer Education And Recovery								50,000		50,000		
2.1.4. Investigation	6,182,613	5,981,996					37,222	37,222	6,219,835	6,019,218	212,682	
Total, Goal	30,699,304	30,079,938					426,603	416,008	31,125,907	30,495,946	2,541,639	
Goal: 3. Indirect Administration												
3.1.1. Central Administration	5,209,624	4,768,368					2,416,631	2,411,780	7,626,255	7,180,148	274,655	
3.1.2. Information Resources	7,903,407	6,556,124					1,063,160	1,074,042	8,966,567	7,630,166	1,107,900	
3.1.3. Other Support Services	798,883	820,044					80,000	80,000	878,883	900,044		
Total, Goal	13,911,914	12,144,536					3,559,791	3,565,822	17,471,705	15,710,358	1,382,555	
Total, Agency	58,184,560	55,855,178	50,000	50,000			8,795,760	8,741,764	67,030,320	64,646,942	5,448,437	
Total FTEs									448.2	434.2	36.0	

Agency Code: 452		Agency: Texas Department of Licensing and Regulation				Prepared By: Jerald A. Daniels					
Date:						16-17	Requested	Requested	Biennial Total	Biennial Difference	
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name	Base	2018	2019	18-19	\$	%
A	Licensing	A.1.1.	License, Register and Certify		License, Register and Certify	\$7,406,927	\$3,520,823	\$3,682,755	\$7,203,578	(\$203,349)	-2.7%
		A.1.2.	License Businesses and Facilities		License Businesses and Facilities	\$2,521,878	\$1,250,685	\$1,250,685	\$2,501,370	(\$20,508)	-0.8%
		A.1.3.	Examinations		Examinations	\$2,659,223	\$1,288,678	\$1,288,678	\$2,577,356	(\$81,867)	-3.1%
		A.1.4.	Customer Service		Customer Service	\$4,910,280	\$2,611,967	\$2,611,967	\$5,223,934	\$313,654	6.4%
		A.1.5.	Texas.Gov		Texas.Gov	\$1,000,000	\$500,000	\$500,000	\$1,000,000	\$0	0.0%
								\$0	\$0		
B	Enforcement	B.1.1.	Conduct Inspections		Conduct Inspections	\$14,771,009	\$7,272,471	\$7,272,471	\$14,544,942	(\$226,067)	-1.5%
		B.1.2.	Building Plan Reviews		Building Plan Reviews	\$2,799,156	\$1,375,427	\$1,375,427	\$2,750,854	(\$48,302)	-1.7%
		B.1.3.	Resolve Complaints		Resolve Complaints	\$7,335,907	\$3,565,466	\$3,565,466	\$7,130,932	(\$204,975)	-2.8%
		B.1.4.	Investigations		Investigations	\$6,219,835	\$3,009,609	\$3,009,609	\$6,019,218	(\$200,617)	-3.2%
								\$0	\$0		
C	Indirect Administration	C.1.1.	Central Administration		Central Administration	\$7,626,255	\$3,590,074	\$3,590,074	\$7,180,148	(\$446,107)	-5.8%
		C.1.2.	Information Resources		Information Resources	\$8,966,567	\$3,896,049	\$3,734,117	\$7,630,166	(\$1,336,401)	-14.9%
		C.1.3.	Other Support Services		Other Support Services	\$878,883	\$450,022	\$450,022	\$900,044	\$21,161	2.4%

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Output Measures:						
KEY 1	Number of New Licenses Issued to Individuals	107,989.00	114,021.00	121,571.00	119,394.00	120,870.00
KEY 2	Number of Licenses Renewed for Individuals	233,935.00	238,412.00	245,810.00	236,658.00	239,757.00
Efficiency Measures:						
1	Percentage of New Individual Licenses Issued within 10 Days	95.30 %	95.00 %	91.00 %	88.00 %	86.00 %
2	% Indiv License Renewals Issued within 7 Days	99.40 %	99.50 %	96.00 %	93.00 %	91.00 %
Explanatory/Input Measures:						
KEY 1	Total Number of Licenses Held by Individuals	455,076.00	473,117.00	512,239.00	508,135.00	511,196.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,680,539	\$2,123,317	\$2,275,724	\$2,238,884	\$2,238,884
1002	OTHER PERSONNEL COSTS	\$170,679	\$49,102	\$71,600	\$71,600	\$71,600
2001	PROFESSIONAL FEES AND SERVICES	\$6,835	\$10,256	\$15,053	\$15,053	\$15,053
2003	CONSUMABLE SUPPLIES	\$6,664	\$9,544	\$11,743	\$10,425	\$10,425
2004	UTILITIES	\$1,211	\$4,606	\$3,650	\$3,200	\$3,200
2005	TRAVEL	\$2,143	\$8,155	\$6,397	\$6,397	\$6,397
2006	RENT - BUILDING	\$34,655	\$44,942	\$40,172	\$41,048	\$41,048
2007	RENT - MACHINE AND OTHER	\$7,539	\$5,080	\$5,960	\$5,728	\$5,728

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
2009	OTHER OPERATING EXPENSE	\$471,866	\$1,686,261	\$1,035,365	\$1,128,488	\$1,290,420
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$2,382,131	\$3,941,263	\$3,465,664	\$3,520,823	\$3,682,755
Method of Financing:						
1	General Revenue Fund	\$2,111,825	\$2,691,064	\$2,264,897	\$2,320,056	\$2,481,988
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,111,825	\$2,691,064	\$2,264,897	\$2,320,056	\$2,481,988
Method of Financing:						
99	Oper & Chauffeurs Lic Ac	\$32,390	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$32,390	\$0	\$0	\$0	\$0
Method of Financing:						
666	Appropriated Receipts	\$237,916	\$1,250,199	\$1,200,767	\$1,200,767	\$1,200,767
SUBTOTAL, MOF (OTHER FUNDS)		\$237,916	\$1,250,199	\$1,200,767	\$1,200,767	\$1,200,767

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,520,823	\$3,682,755
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,382,131	\$3,941,263	\$3,465,664	\$3,520,823	\$3,682,755
FULL TIME EQUIVALENT POSITIONS:		42.4	38.8	47.7	46.7	46.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

Twenty-seven of the 33 statutes TDLR administers contain at least one licensing, registration, or certification provision for individuals. The core responsibility of the Licensing Division is to issue licenses, registrations, certifications, and permits to qualified applicants by evaluating applications to ensure that all relevant requirements for licensure are satisfied. Meticulous knowledge of licensing requirements outlined in the 27 statutes and their corresponding administrative rules is required to issue 108 license types to a licensee population of more than 473,000 individuals. Some license types require additional assessment and mandate further evaluations such as criminal history checks, education/credential verification, financial requirements, and on-the-job experience requirements. The overall statutory authority for TDLR to issue licenses is the Occupations Code, Chapter 51.103(a)(3). This strategy emphasizes our commitment to serve the citizens of Texas and the regulated industries through timely and accurate issuance of licenses, registrations, certifications, and permits to qualified individuals. Through this strategy, we effectively regulate all individual licensees in accordance with the laws administered by the agency; issues licenses, registrations, registrations, certifications, and permits to qualified individuals; and develop and distribute information about regulated industries. This strategy is directly linked to TDLR's other goals and strategies.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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Our licensees' utilization rate of online technology is the single largest factor that enables the Licensing Division to efficiently and effectively issue licenses. Through the first three quarters of FY 16, of those license types who were eligible to file online, more than 87% of all new individual license applications, and more than 95% of all individual license renewals were filed online. In addition to outsourcing keystrokes, another benefit of online technology is a reduction in the number of incomplete applications, incorrect data entry, and incorrect fee payments, reducing the amount of time needed to process each application and issue each license.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$7,406,927	\$7,203,578	\$(203,349)	\$(203,349)	Reduction of 1 FTE and related operating expense to meet 4% GR/GRD reduction. Although GR funding was reduced by -3.11%, Other Funds was reduced by only -2.02% for a total reduction of -2.75%.
			<u>\$(203,349)</u>	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 2 License Businesses and Facilities

Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Explanatory/Input Measures:						
KEY 1	Total Number of Licenses Held by Businesses	197,312.00	200,018.00	207,541.00	208,489.00	212,817.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$735,039	\$903,380	\$907,032	\$907,032	\$907,032
1002	OTHER PERSONNEL COSTS	\$69,090	\$82,339	\$27,080	\$27,080	\$27,080
2001	PROFESSIONAL FEES AND SERVICES	\$2,882	\$3,842	\$7,050	\$7,050	\$7,050
2003	CONSUMABLE SUPPLIES	\$5,713	\$6,285	\$5,500	\$5,000	\$5,000
2004	UTILITIES	\$0	\$266	\$1,000	\$1,000	\$1,000
2005	TRAVEL	\$0	\$1,230	\$1,000	\$1,000	\$1,000
2006	RENT - BUILDING	\$4,680	\$17,472	\$24,298	\$21,048	\$21,048
2007	RENT - MACHINE AND OTHER	\$2,892	\$2,200	\$5,728	\$5,728	\$5,728
2009	OTHER OPERATING EXPENSE	\$123,495	\$249,929	\$276,247	\$275,747	\$275,747
TOTAL, OBJECT OF EXPENSE		\$943,791	\$1,266,943	\$1,254,935	\$1,250,685	\$1,250,685
Method of Financing:						
1	General Revenue Fund	\$925,791	\$1,045,943	\$1,033,935	\$1,029,685	\$1,029,685
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$925,791	\$1,045,943	\$1,033,935	\$1,029,685	\$1,029,685

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 2 License Businesses and Facilities

Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Method of Financing:						
666	Appropriated Receipts	\$18,000	\$221,000	\$221,000	\$221,000	\$221,000
SUBTOTAL, MOF (OTHER FUNDS)		\$18,000	\$221,000	\$221,000	\$221,000	\$221,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,250,685	\$1,250,685
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$943,791	\$1,266,943	\$1,254,935	\$1,250,685	\$1,250,685
FULL TIME EQUIVALENT POSITIONS:		16.1	19.7	20.0	20.0	20.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Twenty-one of the 33 statutes administered by TDLR contain at least one licensing, registration, or certification provision for businesses. The core responsibility of the Licensing Division is to issue licenses, registrations, certifications, and permits to qualified applicants by evaluating applications to ensure that all relevant requirements for licensure are satisfied. Meticulous knowledge of licensing requirements outlined in the 21 statutes and their corresponding administrative rules is required to issue 60 facility license types to a licensee population of more than 200,000 facilities. Some license types require additional assessment and mandate further evaluations such as financial and insurance requirements and credential verification. The overall statutory authority for TDLR to issue licenses is the Occupations Code, Chapter 51.03(a)(3). This strategy emphasizes our commitment to serve the citizens of Texas and the regulated industries through timely and accurate issuance of licenses, registrations, certifications, and permits to qualified facilities. Through this strategy, we effectively regulate all businesses licensed in accordance with the laws administered by the agency; issues licenses, registrations, certifications, and permits to qualified businesses; and develop and distribute information about regulated industries. This strategy is directly linked to TDLR's other goals and strategies.

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 2 License Businesses and Facilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Our licensees' utilization rate of online technology enables the Licensing Division to efficiently and effectively issue licenses. Through the first three quarters of FY 16, of those license types who were eligible to file online, 80.1% of all new facility license applications, and 36.4% of all facility license renewals were submitted online. In addition to outsourcing keystrokes, another benefit of online technology is a reduction in the number of incomplete applications, incorrect data entry, and incorrect fee payments, reducing the amount of time needed to process each application and issue each license.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,521,878	\$2,501,370	\$(20,508)	\$(20,508)	Although GR funding was reduced by -0.99%, Other Funds was not reduced for a total reduction of -0.81%.
			\$(20,508)	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 3 Administer Exams to Applicants

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Output Measures:						
1	Number of Courses Approved	750.00	740.00	880.00	850.00	850.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$401,885	\$939,549	\$1,008,248	\$964,508	\$964,508
1002	OTHER PERSONNEL COSTS	\$28,210	\$65,336	\$26,660	\$26,660	\$26,660
2001	PROFESSIONAL FEES AND SERVICES	\$1,801	\$3,114	\$6,345	\$6,345	\$6,345
2003	CONSUMABLE SUPPLIES	\$1,770	\$4,925	\$4,950	\$4,250	\$4,250
2004	UTILITIES	\$1,551	\$1,468	\$3,500	\$3,500	\$3,500
2005	TRAVEL	\$8,536	\$91,000	\$91,000	\$89,000	\$89,000
2006	RENT - BUILDING	\$10,125	\$19,868	\$18,636	\$19,905	\$19,905
2007	RENT - MACHINE AND OTHER	\$5,588	\$1,781	\$3,769	\$3,100	\$3,100
2009	OTHER OPERATING EXPENSE	\$67,831	\$221,564	\$147,510	\$171,410	\$171,410
TOTAL, OBJECT OF EXPENSE		\$527,297	\$1,348,605	\$1,310,618	\$1,288,678	\$1,288,678
Method of Financing:						
1	General Revenue Fund	\$527,297	\$1,223,605	\$1,185,618	\$1,138,678	\$1,138,678
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$527,297	\$1,223,605	\$1,185,618	\$1,138,678	\$1,138,678

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 3 Administer Exams to Applicants

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Method of Financing:						
108	Priv Beauty Culture Sch	\$0	\$0	\$0	\$20,000	\$20,000
5081	Barber School Tuition Protection	\$0	\$0	\$0	\$5,000	\$5,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$0	\$0	\$0	\$25,000	\$25,000
Method of Financing:						
666	Appropriated Receipts	\$0	\$125,000	\$125,000	\$125,000	\$125,000
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$125,000	\$125,000	\$125,000	\$125,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,288,678	\$1,288,678
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$527,297	\$1,348,605	\$1,310,618	\$1,288,678	\$1,288,678
FULL TIME EQUIVALENT POSITIONS:		8.8	15.7	18.0	17.0	17.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 3 Administer Exams to Applicants Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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TDLR administers examinations to ensure applicants have the necessary competence to obtain a license. Without these exams, Texas citizens could not be assured that licensees they use are qualified. Each year approximately 50,000 exams are provided by a third party vendor. Computer-based exams are offered daily at 22 locations, and the Barber and Cosmetology practical exams are offered at eight locations. Applicants that have met licensing requirements to obtain a license can receive a temporary license at the testing site to begin work immediately.

Pre-license education requirements are established to ensure licensees have the knowledge to become licensed in the following industries: Auctioneers(AUC), Barbers(BAR), Cosmetology(COS), Polygraph Examiners(POL), Property Tax Consultants(PTC), and Property Tax Professionals(PTP), Driver Education and Safety(DES), Midwives(MID), Massage Therapists(MT). TDLR staff approves all pre-license education providers and curricula.

Continuing Education (CE) requirements are established to ensure licensees keep current with changes in the following industries: Air Conditioning and Refrigeration Contractors, AUC, Boating Operators, COS, Electricians, Elevators, POL, PTC, PTP, Registered Accessibility Specialists, Tow Truck Operators, Water Well Drillers and Pump Installers, Athletic Trainers, Dietitians, Fitters and Dispensers, Midwives, O&P, and Pathologists & Audiologists. TDLR staff approves all continuing education providers and curricula, with the exception of PTPs, whose content is approved by the Comptroller.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Examinations are constantly evolving due to the changing nature of their corresponding occupations. These industries are governed by state laws, rules and national codes that are updated on an ongoing basis. As changes occur, examination content is reviewed to ensure that examinations stay current. With the input of the advisory boards and industry subject matter experts, TDLR keeps the licensing examinations relevant.

Translations of examinations into languages other than English create increased workloads for TDLR staff. TDLR currently provides Air Conditioning Contractor, Barber, Cosmetology, Electricians, Water Well Driller and Water Well Pump Installer Examinations in Spanish. TDLR provides Cosmetology examinations in Vietnamese. The demand for examinations in languages other than English continues to increase.

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 3 Administer Exams to Applicants

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,659,223	\$2,577,356	\$(81,867)	\$(81,867)	Reduction of 1 FTE and related operating expense to meet 4% GR/GRD reduction. Rider 4 funding of \$50,000 per biennium GRD was moved from Customer Service to Examinations/Education.
			\$(81,867)	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 4 Provide Customer Service

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Explanatory/Input Measures:						
1	Number of Contacts Received	409,608.00	425,000.00	468,000.00	505,000.00	505,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,431,837	\$1,804,444	\$2,080,937	\$2,046,101	\$2,046,101
1002	OTHER PERSONNEL COSTS	\$127,990	\$163,167	\$34,500	\$34,500	\$34,500
2001	PROFESSIONAL FEES AND SERVICES	\$7,564	\$8,383	\$17,979	\$17,979	\$17,979
2003	CONSUMABLE SUPPLIES	\$2,928	\$5,875	\$14,025	\$12,500	\$12,500
2004	UTILITIES	\$1,892	\$2,000	\$3,000	\$3,000	\$3,000
2005	TRAVEL	\$1,596	\$700	\$700	\$700	\$700
2006	RENT - BUILDING	\$61,935	\$72,356	\$64,026	\$67,621	\$67,621
2007	RENT - MACHINE AND OTHER	\$7,593	\$5,338	\$5,095	\$6,132	\$6,132
2009	OTHER OPERATING EXPENSE	\$59,610	\$140,389	\$421,766	\$390,634	\$390,634
TOTAL, OBJECT OF EXPENSE		\$1,702,945	\$2,202,652	\$2,642,028	\$2,579,167	\$2,579,167
Method of Financing:						
1	General Revenue Fund	\$1,702,945	\$1,344,452	\$1,783,828	\$1,745,967	\$1,745,967
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,702,945	\$1,344,452	\$1,783,828	\$1,745,967	\$1,745,967

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 4 Provide Customer Service

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Method of Financing:						
108	Priv Beauty Culture Sch	\$0	\$20,000	\$20,000	\$0	\$0
5081	Barber School Tuition Protection	\$0	\$5,000	\$5,000	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$0	\$25,000	\$25,000	\$0	\$0
Method of Financing:						
666	Appropriated Receipts	\$0	\$833,200	\$833,200	\$833,200	\$833,200
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$833,200	\$833,200	\$833,200	\$833,200
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,579,167	\$2,579,167
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,702,945	\$2,202,652	\$2,642,028	\$2,579,167	\$2,579,167
FULL TIME EQUIVALENT POSITIONS:		37.2	44.3	51.0	50.0	50.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Customer Service Division serves as TDLR's main point of contact for licensees and customers. Customer Service Representatives respond to phone calls, emails, social media, walk-in visits, faxes and general questions from the public. The goal of the Customer Service Division is to provide timely and accurate information for licensees and members of the public in a courteous and respectful manner. Each Customer Service Representative is assigned a specific group of TDLR's 33 statutes and is responsible for providing comprehensive and useful information with integrity and accountability.

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 4 Provide Customer Service Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Customer Service Representatives are required to maintain a knowledge base of TDLR’s regulated programs and the continual changes to these programs through statute amendments and rule updates. Customer Service Representatives must also keep current on the various requirements which must be met to obtain new and renew existing licenses in all programs. The Customer Service Division continues to experience an increase in the number of contacts regarding TDLR’s 32 regulated programs. This increase in contacts from businesses and individuals required to be licensed, and from the general public, necessitates additional training and staffing for the Customer Service Division so its representatives can provide prompt and accurate information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$4,844,680	\$5,158,334	\$313,654	\$313,654	Reduction of 1 FTE and related expense to meet 4% reduction. FTE increase from 2016 to 2017 and 2018-19 to address the 7 new programs. Rider 4 funding of \$50,000 moved from Strategy 13008 to 13003.
			\$313,654	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 5 Texas.gov. Estimated and Nontransferable

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$494,848	\$500,000	\$500,000	\$500,000	\$500,000
TOTAL, OBJECT OF EXPENSE		\$494,848	\$500,000	\$500,000	\$500,000	\$500,000
Method of Financing:						
1	General Revenue Fund	\$494,848	\$500,000	\$500,000	\$500,000	\$500,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$494,848	\$500,000	\$500,000	\$500,000	\$500,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$500,000	\$500,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$494,848	\$500,000	\$500,000	\$500,000	\$500,000

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy reflects the pass-through of funds from TDLR to the vendor operating the TexasOnline portal.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Department of Information Resources' TexasOnline Authority promulgates rules governing the operations of the online licensing function for the State of Texas.

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 5 Texas.gov. Estimated and Nontransferable

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,000,000	\$1,000,000	\$0	\$0	Not reducing the funding for this strategy causes further reductions from other strategies.
			\$0	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Output Measures:						
KEY 1	Total Number of Inspections Completed	121,400.00	120,069.00	122,392.00	123,189.00	124,351.00
Explanatory/Input Measures:						
1	Total # of AB Inspections Completed by Third Party Inspectors	16,370.00	17,692.00	18,134.00	18,149.00	19,946.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$4,896,195	\$5,090,521	\$5,690,319	\$5,473,249	\$5,473,249
1002	OTHER PERSONNEL COSTS	\$382,182	\$385,146	\$108,540	\$108,540	\$108,540
2001	PROFESSIONAL FEES AND SERVICES	\$82,399	\$61,707	\$36,487	\$36,487	\$36,487
2002	FUELS AND LUBRICANTS	\$7,608	\$7,300	\$5,000	\$5,000	\$5,000
2003	CONSUMABLE SUPPLIES	\$29,648	\$32,221	\$43,313	\$25,375	\$25,375
2004	UTILITIES	\$85,381	\$94,187	\$89,200	\$81,500	\$81,500
2005	TRAVEL	\$780,883	\$787,633	\$789,138	\$789,138	\$789,138
2006	RENT - BUILDING	\$174,388	\$306,811	\$309,102	\$280,520	\$280,520
2007	RENT - MACHINE AND OTHER	\$23,863	\$20,521	\$21,060	\$22,451	\$22,451
2009	OTHER OPERATING EXPENSE	\$446,637	\$476,010	\$416,793	\$450,211	\$450,211
5000	CAPITAL EXPENDITURES	\$27,600	\$0	\$0	\$0	\$0

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
TOTAL, OBJECT OF EXPENSE		\$6,936,784	\$7,262,057	\$7,508,952	\$7,272,471	\$7,272,471
Method of Financing:						
1	General Revenue Fund	\$6,670,530	\$7,106,181	\$7,363,671	\$7,127,190	\$7,127,190
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,670,530	\$7,106,181	\$7,363,671	\$7,127,190	\$7,127,190
Method of Financing:						
99	Oper & Chauffeurs Lic Ac	\$51,300	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$51,300	\$0	\$0	\$0	\$0
Method of Financing:						
666	Appropriated Receipts	\$204,358	\$145,280	\$145,281	\$145,281	\$145,281
777	Interagency Contracts	\$10,596	\$10,596	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$214,954	\$155,876	\$145,281	\$145,281	\$145,281
Rider Appropriations:						
666	Appropriated Receipts					
3	1 Special Boiler Inspections				\$0	\$0

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
TOTAL, RIDER & UNEXPENDED BALANCES APPROP					\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$7,272,471	\$7,272,471
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$6,936,784	\$7,262,057	\$7,508,952	\$7,272,471	\$7,272,471
FULL TIME EQUIVALENT POSITIONS:		93.4	92.2	98.5	93.5	93.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

Thirteen TDLR statutes require on-site inspections, which are the frontline of protection for public health and safety. Elimination of Architectural Barriers inspections identify features which can block access for disabled persons. Boiler inspections verify safe operation, reducing the risk of explosions. Barber shop and cosmetology salon inspections ensure compliance with sanitation and licensing requirements; inspections of barber and cosmetology schools ensure they meet regulatory, safety, sanitation, equipment, classroom, and documentation requirements. Combative Sports event inspections ensure they are conducted safely and ethically. Inspections of elevators, escalators, and related equipment identify conditions that could lead to serious injuries. Inspections of industrialized buildings and related manufacturing plants ensure the buildings are constructed to building and safety codes. Licensed Breeder facility inspections protect consumers and animals at the facilities. Tow Truck and Vehicle Storage Facility inspections ensure driver and vehicle owner protection through towing safety, vehicle security and towing fee compliance. Used Automotive Parts Recycler inspections ensure used auto parts purchasing and sale requirements are met. Driver Education school inspections ensure current curriculum is taught, student cars are properly equipped and the location is conducive to a learning environment. Orthotic and Prosthetic facility inspections ensure they are clean, well-equipped facilities with licensed practitioners.

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

These programs can be impacted by economic growth, the construction industry, building safety & maintenance, & public health issues. An economic upturn would increase workloads in all programs. If the state's economy slows or grows, so too may the rate of construction activity & the rate at which new businesses are opened. A slowdown in construction may decrease the number of inspections performed in the BLR, ELE, EAB & IHB programs. An economic slowdown would not significantly impact our workload of periodic, ongoing inspections of existing & new businesses & equipment. In addition, agency enforcement efforts in all of these programs could offset the effects of any slowdown in the economy or in construction, & add to the workloads for these programs.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$14,771,009	\$14,544,942	\$(226,067)	\$(226,067)	Reduced 5 FTEs. Since 7 new programs not transferred until 2017, 2016 funding and FTEs were considerably less than 2017. If measured just using 2017 dollars, the percentage reduction would be greater.
			\$(226,067)	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 2 Perform Building Plan Reviews Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Output Measures:						
1	Number of Plan Reviews Completed	23,806.00	22,242.00	22,479.00	22,065.00	22,065.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$854,546	\$1,112,123	\$1,206,136	\$1,206,136	\$1,206,136
1002	OTHER PERSONNEL COSTS	\$79,301	\$93,975	\$33,400	\$33,400	\$33,400
2001	PROFESSIONAL FEES AND SERVICES	\$2,906	\$1,962	\$7,755	\$7,755	\$7,755
2003	CONSUMABLE SUPPLIES	\$6,342	\$3,937	\$6,050	\$5,500	\$5,500
2004	UTILITIES	\$6,097	\$5,832	\$5,500	\$5,500	\$5,500
2005	TRAVEL	\$4,130	\$14,765	\$15,000	\$15,000	\$15,000
2006	RENT - BUILDING	\$67,724	\$85,728	\$60,411	\$62,136	\$62,136
2007	RENT - MACHINE AND OTHER	\$3,633	\$3,604	\$1,725	\$1,500	\$1,500
2009	OTHER OPERATING EXPENSE	\$141,599	\$66,253	\$75,000	\$38,500	\$38,500
TOTAL, OBJECT OF EXPENSE		\$1,166,278	\$1,388,179	\$1,410,977	\$1,375,427	\$1,375,427
Method of Financing:						
1	General Revenue Fund	\$1,144,278	\$1,370,391	\$1,393,189	\$1,357,639	\$1,357,639
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,144,278	\$1,370,391	\$1,393,189	\$1,357,639	\$1,357,639

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 2 Perform Building Plan Reviews Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Method of Financing:						
666	Appropriated Receipts	\$22,000	\$17,788	\$17,788	\$17,788	\$17,788
SUBTOTAL, MOF (OTHER FUNDS)		\$22,000	\$17,788	\$17,788	\$17,788	\$17,788
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,375,427	\$1,375,427
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,166,278	\$1,388,179	\$1,410,977	\$1,375,427	\$1,375,427
FULL TIME EQUIVALENT POSITIONS:		15.2	22.0	22.0	22.0	22.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Three of the 25 statutes that TDLR administers require review of construction plans prior to start of construction: Elimination of Architectural Barriers (EAB); Industrialized Housing & Buildings (IHB); and, Elevators, Escalators, & Related Equipment (ELE). These plan reviews help the agency fulfill its mission to "honor the trust of all Texans" and "safeguard the public", by verifying compliance with applicable laws, rules, accessibility standards, building codes, and safety codes. EAB plan reviews ensure that buildings and facilities are designed and built in compliance with accessibility standards, to ensure that these are accessible to and usable by persons with disabilities. IHB plan reviews ensure that these structures are designed and built to comply with applicable building and life safety codes, to protect the investments and safety of citizens using and residing in these structures. ELE plan reviews for installation of elevators, escalators & related equipment ensure that the equipment is designed according to safety standards, and that it will be installed correctly and operate safely. In addition, reviewing plans prior to construction can identify problems in the design stage, not only making buildings safer and more accessible, but also saving design professionals, builders, manufacturers, and building owners costly reconstruction of incorrectly designed and constructed features.

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 2 Perform Building Plan Reviews Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Elimination of Architectural Barriers (EAB), Industrialized Housing & Building (IHB), and Elevator, Escalator & Related Equipment (ELE) programs are directly impacted by the economy and corresponding trends in construction activity. A slowdown in construction activity may decrease the number of plan reviews performed in these programs, while an increase in construction activity would increase these workloads. In addition, unpredictable events such as disastrous Gulf Coast hurricanes increase plan review workloads for the EAB, IHB and ELE programs, due to rebuilding efforts. TDLR's ongoing education for design professionals and city building officials on the registration requirements of the EAB statute continues to increase the number of plans submitted for review. These initiatives in addition to focused enforcement efforts to identify unreported construction activity and unregistered boiler and elevator equipment, could offset the effects of any slowdown in construction and increase workloads.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,799,156	\$2,750,854	\$(48,302)	\$(48,302)	Operating expenses reduced for 2018 and 2019 - all GR funds
			\$(48,302)	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Output Measures:						
KEY 1	Number of Complaints Closed	13,155.00	11,462.00	10,609.00	12,089.00	11,513.00
Efficiency Measures:						
1	Average Time for Closing Complaints (Days)	216.52	182.82	212.00	208.00	217.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,619,552	\$3,162,636	\$3,180,887	\$3,155,887	\$3,155,887
1002	OTHER PERSONNEL COSTS	\$196,257	\$226,943	\$66,460	\$66,460	\$66,460
2001	PROFESSIONAL FEES AND SERVICES	\$9,725	\$9,413	\$19,917	\$19,917	\$19,917
2003	CONSUMABLE SUPPLIES	\$9,374	\$15,215	\$15,538	\$14,125	\$14,125
2004	UTILITIES	\$6,798	\$8,368	\$9,000	\$8,500	\$8,500
2005	TRAVEL	\$1,758	\$5,759	\$6,500	\$6,500	\$6,500
2006	RENT - BUILDING	\$157,705	\$194,684	\$163,132	\$150,077	\$150,077
2007	RENT - MACHINE AND OTHER	\$10,089	\$6,513	\$5,145	\$7,143	\$7,143
2009	OTHER OPERATING EXPENSE	\$132,258	\$125,797	\$114,000	\$136,857	\$136,857
TOTAL, OBJECT OF EXPENSE		\$3,143,516	\$3,755,328	\$3,580,579	\$3,565,466	\$3,565,466
Method of Financing:						
1	General Revenue Fund	\$3,117,499	\$3,729,004	\$3,554,255	\$3,564,142	\$3,564,142

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,117,499	\$3,729,004	\$3,554,255	\$3,564,142	\$3,564,142
Method of Financing:						
666	Appropriated Receipts	\$1,017	\$1,324	\$1,324	\$1,324	\$1,324
898	Auction Educ & Rec Trust	\$25,000	\$25,000	\$25,000	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$26,017	\$26,324	\$26,324	\$1,324	\$1,324
Rider Appropriations:						
898 Auction Educ & Rec Trust						
4 1 Auctioneer Education and Recovery					\$25,000	\$25,000
TOTAL, RIDER & UNEXPENDED BALANCES APPROP					\$25,000	\$25,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,590,466	\$3,590,466
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,143,516	\$3,755,328	\$3,580,579	\$3,565,466	\$3,565,466
FULL TIME EQUIVALENT POSITIONS:		49.8	55.6	56.5	56.5	56.5

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Enforcement Division is responsible for resolving complaints about all industries regulated by TDLR. The division’s prosecutors determine the final disposition of cases arising from a variety of sources, including complaint investigations, criminal background investigations of license applicants, and routine facility inspections by the Field Operations Division.

Cases may be resolved with formal outcomes such as Commission orders following an administrative hearing, agreed settlements, and default orders. Prosecutors may also close cases informally with a warning or simple closing letter if the evidence does not support formal action. When settling cases involving consumer harm, prosecutors attempt to secure restitution or other available remedies for consumers. When reviewing the criminal histories of license applicants, the prosecutor’s goal is to protect the public from individuals with a history of criminal behavior.

The Enforcement Plan sets out ranges of penalties and sanctions for specific violations. When pursuing formal enforcement action the prosecutors are guided by the Enforcement Plan and also take into consideration the following factors: seriousness of the offense; whether the violation was willful or intentional; whether the person acted in good faith to mitigate or correct the violation; whether the person has engaged in similar violations; and deterrence of future violations.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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The complaint resolution caseload flows from multiple sources, including complaint investigations, inspections, and license applications. Inspection results referred to Enforcement by Field Operations have increased significantly. Complaints and license application referrals continue to arrive in large numbers.

Proactive field efforts like sting operations and license sweeps continue to generate higher numbers of unlicensed activity cases. Media coverage of sting operations, enforcement cases and industry meetings all help to enhance consumer awareness.

Additional factors impacting the complaint resolution workload include construction trends, emerging technologies, changes in building and energy codes, and economic trends.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$7,335,907	\$7,180,932	\$(154,975)	\$(154,975)	Operating expenses reduced for 2018 and 2019 - all GR funds.
			\$(154,975)	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Explanatory/Input Measures:						
KEY 1	Number of Complaints Opened	10,511.00	11,136.00	12,143.00	10,826.00	10,896.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,471,463	\$2,516,102	\$2,544,891	\$2,451,411	\$2,451,411
1002	OTHER PERSONNEL COSTS	\$231,270	\$208,314	\$65,460	\$65,460	\$65,460
2001	PROFESSIONAL FEES AND SERVICES	\$8,555	\$9,622	\$16,392	\$16,392	\$16,392
2002	FUELS AND LUBRICANTS	\$84	\$70	\$2,000	\$2,000	\$2,000
2003	CONSUMABLE SUPPLIES	\$3,414	\$10,516	\$12,555	\$11,125	\$11,125
2004	UTILITIES	\$17,809	\$19,573	\$15,350	\$15,000	\$15,000
2005	TRAVEL	\$75,312	\$85,000	\$85,300	\$85,300	\$85,300
2006	RENT - BUILDING	\$124,045	\$124,304	\$131,309	\$129,445	\$129,445
2007	RENT - MACHINE AND OTHER	\$8,587	\$5,401	\$3,636	\$4,003	\$4,003
2009	OTHER OPERATING EXPENSE	\$216,858	\$130,564	\$233,476	\$229,473	\$229,473
TOTAL, OBJECT OF EXPENSE		\$3,157,397	\$3,109,466	\$3,110,369	\$3,009,609	\$3,009,609
Method of Financing:						
1	General Revenue Fund	\$3,149,950	\$3,090,855	\$3,091,758	\$2,990,998	\$2,990,998

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,149,950	\$3,090,855	\$3,091,758	\$2,990,998	\$2,990,998
Method of Financing:						
666	Appropriated Receipts	\$7,447	\$18,611	\$18,611	\$18,611	\$18,611
SUBTOTAL, MOF (OTHER FUNDS)		\$7,447	\$18,611	\$18,611	\$18,611	\$18,611
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,009,609	\$3,009,609
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,157,397	\$3,109,466	\$3,110,369	\$3,009,609	\$3,009,609
FULL TIME EQUIVALENT POSITIONS:		50.8	46.1	46.5	44.5	44.5
STRATEGY DESCRIPTION AND JUSTIFICATION:						

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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The Enforcement Division is responsible for investigating complaints about all industries regulated by TDLR. Complaints are filed by consumers, industry, other governmental agencies, and other divisions within TDLR. Initially, complaints are reviewed for jurisdictional authority and to determine if there is sufficient information to open a case. If so, a case is opened and assigned for investigation.

Investigators follow standardized procedures to establish the facts of each case, including witness interviews, collection of evidence, and on-site investigations of facilities or job sites. After a case has been thoroughly investigated, the investigator prepares a detailed written report of his findings and forwards the case to a prosecutor for the appropriate disposition.

In addition to investigating complaints, Enforcement’s investigators use sting operations and other proactive techniques to identify and prevent unlicensed activity. They also investigate the criminal histories of license applicants to determine license eligibility.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

TDLR continues to receive a large volume of complaints from consumers, industry, and other sources in large volumes. Proactive efforts such as undercover sting operations, targeted sweeps, and researching social media and classified advertising websites have increased and generated many unlicensed activity cases. TDLR continues to use media coverage of sting operations, interaction with local agencies and industry groups, and task team meetings to increase public awareness about regulatory requirements.

Additional factors impacting the investigative workload include construction trends, emerging technologies, changes in building and energy codes, and economic trends.

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$6,219,835	\$6,019,218	\$(200,617)	\$(200,617)	Reduction of 2 FTEs and related operating expense to meet 4% GR/GRD reduction.
			<u>\$(200,617)</u>	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,494,560	\$3,012,062	\$3,228,310	\$3,128,158	\$3,128,158
1002	OTHER PERSONNEL COSTS	\$234,907	\$212,478	\$85,540	\$85,540	\$85,540
2001	PROFESSIONAL FEES AND SERVICES	\$263,957	\$9,007	\$17,274	\$17,274	\$17,274
2002	FUELS AND LUBRICANTS	\$37	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$11,878	\$19,569	\$13,475	\$11,750	\$11,750
2004	UTILITIES	\$31,529	\$8,517	\$4,375	\$2,350	\$2,350
2005	TRAVEL	\$57,751	\$81,335	\$82,200	\$82,200	\$82,200
2006	RENT - BUILDING	\$57,290	\$77,082	\$68,346	\$63,669	\$63,669
2007	RENT - MACHINE AND OTHER	\$21,808	\$23,814	\$21,423	\$18,035	\$18,035
2009	OTHER OPERATING EXPENSE	\$608,746	\$470,315	\$191,133	\$181,098	\$181,098
TOTAL, OBJECT OF EXPENSE		\$3,782,463	\$3,914,179	\$3,712,076	\$3,590,074	\$3,590,074
Method of Financing:						
1	General Revenue Fund	\$2,397,148	\$2,703,438	\$2,506,186	\$2,384,184	\$2,384,184
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,397,148	\$2,703,438	\$2,506,186	\$2,384,184	\$2,384,184
Method of Financing:						
666	Appropriated Receipts	\$1,385,315	\$1,210,741	\$1,205,890	\$1,205,890	\$1,205,890

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
SUBTOTAL, MOF (OTHER FUNDS)		\$1,385,315	\$1,210,741	\$1,205,890	\$1,205,890	\$1,205,890
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,590,074	\$3,590,074
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,782,463	\$3,914,179	\$3,712,076	\$3,590,074	\$3,590,074
FULL TIME EQUIVALENT POSITIONS:		36.2	44.8	49.0	47.0	47.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						

452 Department of Licensing and Regulation

GOAL:	3	Indirect Administration		
OBJECTIVE:	1	Indirect Administration	Service Categories:	
STRATEGY:	1	Central Administration	Service: 09	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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Central administration is comprised of executive staff, financial services, and human resources, and provides leadership, oversight, and support for all TDLR programs.

Daily operations are managed by executive staff to ensure implementation of Commission objectives and achieve TDLR’s goals. The Executive Director and his staff provide support for the Commission and 26 advisory boards, coordinate meetings, prepare and distribute agendas and other materials, and serve as a link between TDLR, advisory boards, and the Commission. The Executive staff provide analysis on fee changes and program budgets, manage projects, ensure HUB compliance, respond to media inquiries, issue press releases, administer the agency’s website, evaluate and analyze data and input to identify process improvements, and act as a liaison between TDLR and Texas lawmakers, including representing the agency before the Texas Legislature.

Financial Services manages the agency’s fiscal resources in compliance with state and federal laws. This division provides statistical analysis services, develops legislative appropriations requests, manages purchasing and vendor contracting, monitors TDLR’s annual budget, and prepares all fiscal reports for the agency.

Human Resources promotes a healthy, positive, and equitable work environment, helping TDLR to attract, develop, and retain qualified and dedicated employees. Human Resources also provides support for on-boarding new employees, training, career development, employee performance, employee benefits, and workplace safety.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Central Administration Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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TDLR’s regulatory programs will continue to increase as we are entrusted with more programs by the Legislature. The Sunset Advisory Commission released a staff report in May 2014 which outlined a plan to transfer 12 programs from the Department of State Health Services (DSHS) to TDLR. The plan would transfer the 12 programs in two phases beginning in September 2015. Once both phases are complete, these programs would add an additional 100,000 licensees to TDLR’s current licensee population of more than 650,000. This plan is contingent upon a bill passing in the next legislative session. With approximately 1,000 people moving to Texas each day, this rapidly increasing population will require additional housing, water resources, and consumer goods and services. TDLR’s increased regulatory and enforcement efforts must address the safety and consumer protections of Texans in the areas of water resources, air conditioning, electrical, towing, and service contracts. To ensure Texans are served efficiently, effectively and safely by licensed professionals and businesses, TDLR will require additional staff to implement these programs.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$7,626,255	\$7,180,148	\$(446,107)	\$(446,107)	Reduced 2 FTEs. GR funding reduced by 8.47%; Other Funds reduced by 0.20%; total reduction 5.85%. Funding and FTEs for 2016 considerably less than 2017 resulting in higher reduction.
			\$(446,107)	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,383,444	\$2,163,265	\$2,579,126	\$2,460,986	\$2,460,986
1002	OTHER PERSONNEL COSTS	\$54,736	\$128,433	\$36,200	\$36,200	\$36,200
2001	PROFESSIONAL FEES AND SERVICES	\$523,410	\$801,823	\$865,367	\$1,153,778	\$991,846
2002	FUELS AND LUBRICANTS	\$45	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$1,014	\$7,677	\$8,485	\$7,500	\$7,500
2004	UTILITIES	\$10,641	\$15,260	\$15,700	\$14,000	\$14,000
2005	TRAVEL	\$1,158	\$2,750	\$2,700	\$2,700	\$2,700
2006	RENT - BUILDING	\$20,965	\$36,705	\$36,200	\$36,885	\$36,885
2007	RENT - MACHINE AND OTHER	\$2,979	\$2,868	\$3,435	\$3,421	\$3,421
2009	OTHER OPERATING EXPENSE	\$361,499	\$218,223	\$139,000	\$180,579	\$180,579
5000	CAPITAL EXPENDITURES	\$0	\$1,522,350	\$381,000	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$2,359,891	\$4,899,354	\$4,067,213	\$3,896,049	\$3,734,117
Method of Financing:						
1	General Revenue Fund	\$1,687,239	\$4,373,215	\$3,530,192	\$3,359,028	\$3,197,096
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,687,239	\$4,373,215	\$3,530,192	\$3,359,028	\$3,197,096

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Method of Financing:						
666	Appropriated Receipts	\$661,770	\$515,257	\$526,139	\$526,139	\$526,139
777	Interagency Contracts	\$10,882	\$10,882	\$10,882	\$10,882	\$10,882
SUBTOTAL, MOF (OTHER FUNDS)		\$672,652	\$526,139	\$537,021	\$537,021	\$537,021
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,896,049	\$3,734,117
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,359,891	\$4,899,354	\$4,067,213	\$3,896,049	\$3,734,117
FULL TIME EQUIVALENT POSITIONS:		14.1	23.0	31.0	29.0	29.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Information Resources (IR) strategy is comprised of the Information Technology Division, which includes Software Development Services, Network Services, IT Security and Project Management. These units work together to provide secure network infrastructure, end-user technology tools and software applications to support the agency's cost-effective business model for administering its numerous license programs. This division also provides support and data network management to the Texas Commission on the Arts, located in the E.O. Thompson building.

The agency's licensing systems provide online functions for license application and renewal, as well as interfaces with systems to capture data on continuing education, perform license and other searches, and process payments through the Texas Online payment portal.

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The rapid adoption of mobile technologies by the general public and the expectation that government services will be available through those technologies will be a driver that impacts the technology strategy.

The need to monitor and protect our technology and data from an ever increasing volume and sophistication of hackers will continue to be a major factor affecting technology strategies.

Cloud technologies, including cloud infrastructure and software as a service, will provide new, potentially less complex and expensive, opportunities for solutions.

Recruiting and maintaining IT staff with key skills sets and expertise will continue to be a challenge in a highly competitive marketplace for technology workers.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$8,966,567	\$7,630,166	\$(1,336,401)	\$(1,336,401)	Reduction of 2 FTEs. Capital Budget is reduced from the transfer of the 7 DSHS programs, but additional Capital Budget is requested in Exceptional Item #1 for transfer of 6 other programs in 2018-19.
			\$(1,336,401)	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$283,877	\$307,525	\$336,792	\$336,792	\$336,792
1002	OTHER PERSONNEL COSTS	\$28,743	\$40,217	\$5,780	\$5,780	\$5,780
2001	PROFESSIONAL FEES AND SERVICES	\$1,441	\$899	\$2,820	\$2,820	\$2,820
2002	FUELS AND LUBRICANTS	\$777	\$1,700	\$1,000	\$1,000	\$1,000
2003	CONSUMABLE SUPPLIES	\$1,991	\$1,627	\$2,200	\$2,200	\$2,200
2004	UTILITIES	\$1,987	\$3,332	\$3,000	\$3,000	\$3,000
2005	TRAVEL	\$888	\$50	\$500	\$500	\$500
2006	RENT - BUILDING	\$8,275	\$12,613	\$8,468	\$9,682	\$9,682
2007	RENT - MACHINE AND OTHER	\$1,446	\$936	\$864	\$1,064	\$1,064
2009	OTHER OPERATING EXPENSE	\$19,136	\$59,212	\$89,348	\$87,184	\$87,184
TOTAL, OBJECT OF EXPENSE		\$348,561	\$428,111	\$450,772	\$450,022	\$450,022
Method of Financing:						
1	General Revenue Fund	\$348,561	\$388,111	\$410,772	\$410,022	\$410,022
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$348,561	\$388,111	\$410,772	\$410,022	\$410,022
Method of Financing:						
666	Appropriated Receipts	\$0	\$40,000	\$40,000	\$40,000	\$40,000

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$40,000	\$40,000	\$40,000	\$40,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$450,022	\$450,022
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$348,561	\$428,111	\$450,772	\$450,022	\$450,022
FULL TIME EQUIVALENT POSITIONS:		6.7	6.4	8.0	8.0	8.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Other Support Services is responsible for all mail services, purchasing, contract administration, risk management, vehicle fleet compliance, and management of over \$2,000,000 in fixed and controlled assets. The purchasing section orders, receives, stores, and issues supplies and arranges sourcing for needed services. TDLR employs qualified purchasing professionals, each of whom is trained and certified consistent with Comptroller guidelines. The section also keeps detailed purchasing records for fiscal, legal, and operational purposes.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

TDLR's regulatory programs will continue to increase as we are entrusted with more programs by the Legislature. This will create additional workloads resulting in increased demand for mail processing and purchasing.

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$878,883	\$900,044	\$21,161	\$21,161	Although GR funding was increased by 2.65%, Other Funds was not changed for a total increase of 2.41%. Additional resources needed to comply with SB 20 provisions.
			\$21,161	Total of Explanation of Biennial Change

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$26,945,902	\$34,016,137	\$33,014,183	\$32,298,471	\$32,298,471
METHODS OF FINANCE (INCLUDING RIDERS):				\$32,323,471	\$32,323,471
METHODS OF FINANCE (EXCLUDING RIDERS):	\$26,945,902	\$34,016,137	\$33,014,183	\$32,298,471	\$32,298,471
FULL TIME EQUIVALENT POSITIONS:	370.7	408.6	448.2	434.2	434.2

3.B. Rider Revisions and Additions Request

Agency Code: 452	Agency Name: Texas Department of Licensing and Regulation	Prepared By: Tony Couvillon	Date: 7/21/16	Request Level: Base																																																																																																																			
Current Rider Number	Page Number in 2016-17 GAA	Proposed Rider Language																																																																																																																					
2	VIII-30	<p>Capital Budget. None of the funds appropriated may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for "Lease Payments to the Master Lease Purchase Program" or for items with a "(MLPP)" notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code §1232.103.</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: right; width: 10%;"><u>2018</u></th> <th style="text-align: right; width: 10%;"><u>2019</u></th> <th style="text-align: right; width: 10%;"><u>2016</u></th> <th style="text-align: right; width: 10%;"><u>2017</u></th> </tr> </thead> <tbody> <tr> <td colspan="5">a. Acquisition of Information Resource Technologies</td> </tr> <tr> <td colspan="5"> (1) Purchase of Information Resource Technologies - Scheduled Replacement</td> </tr> <tr> <td></td> <td style="text-align: right;">\$ 82,812</td> <td style="text-align: right;">\$ 83,608</td> <td style="text-align: right;">\$ 82,812</td> <td style="text-align: right;">\$ 83,608</td> </tr> <tr> <td colspan="5"> (2) Achieve Gartner IT Security Recommendation</td> </tr> <tr> <td></td> <td style="text-align: right;">\$ 0</td> <td style="text-align: right;">\$ 0</td> <td style="text-align: right;">\$ 590,000</td> <td style="text-align: right;">\$ 0</td> </tr> <tr> <td colspan="5"> (3) SB 202 Licensing Transfer Project Program Transfer</td> </tr> <tr> <td></td> <td style="text-align: right;">\$ 0</td> <td style="text-align: right;">\$ 0</td> <td style="text-align: right;">\$ 932,350</td> <td style="text-align: right;">\$ 381,000</td> </tr> <tr> <td colspan="5">Total, Acquisition of Information Resource Technologies</td> </tr> <tr> <td></td> <td style="text-align: right;">\$ 82,812</td> <td style="text-align: right;">\$ 83,608</td> <td style="text-align: right;">\$ 1,065,162</td> <td style="text-align: right;">\$ 464,608</td> </tr> <tr> <td colspan="5">b. Data Center Consolidation</td> </tr> <tr> <td colspan="5"> (1) Data Center Consolidation</td> </tr> <tr> <td></td> <td style="text-align: right;">\$ 1,142,850</td> <td style="text-align: right;">\$ 980,918</td> <td style="text-align: right;">\$ 1,348,920</td> <td style="text-align: right;">\$ 854,439</td> </tr> <tr> <td colspan="5">c. 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3.B. Rider Revisions and Additions Request

8	VIII-32	<p><i>This rider has been changed to reflect the 2018-19 Capital Budget Request. An explanation of the requested items and the impact on Agency operation is included in the Capital Budget Schedules.</i></p> <p>Appropriation: Barber School Tuition Protection Account. Out of the amounts appropriated above to the Texas Department of Licensing and Regulation in Strategy <u>A.1.3 Examinations/Continuing Education</u> A.1.4, Customer Service, the amounts of \$5,000 in fiscal year 2018 <u>2016</u> and \$5,000 in fiscal year 2019 <u>2017</u> are appropriated from the GR-Dedicated Barber School Tuition Protection Account No. 5081, for the purpose of paying expenses and refunds authorized by the department under the provisions of Occupations Code §1601.3571. The Department of Licensing and Regulation, upon completion of necessary actions to assess or increase additional fees, shall furnish copies of the Department of Licensing and Regulation's minutes and other information supporting the estimated revenues to be generated for the <u>2018-19</u> 2016-17 biennium under the revised fee structure to the Comptroller of Public Accounts.</p> <p><i>This rider has been revised to reflect the 2018-19 biennium and correct the Strategy.</i></p>
9	VIII-32	<p>Appropriation: Private Beauty Culture School Tuition Protection Account. Out of the amounts appropriated above to the Texas Department of Licensing and Regulation in Strategy <u>A.1.3 Examinations/Continuing Education</u> A.1.4, Customer Service, the amounts of \$20,000 in fiscal year 2018 <u>2016</u> and \$20,000 in fiscal year 2019 <u>2017</u> from the GR-Dedicated Private Beauty Culture School Tuition Protection Account No. 108, for the purpose of paying expenses and refunds authorized by the department under the provisions of Occupations Code §1602.464. The Department of Licensing and Regulation, upon completion of necessary actions to assess or increase additional fees, shall furnish copies of the Department of Licensing and Regulation's minutes and other information supporting the estimated revenues to be generated for the <u>2018-19</u> 2016-17 biennium under the revised fee structure to the Comptroller of Public Accounts.</p> <p><i>This rider has been revised to reflect the 2019-19 biennium and correct the Strategy.</i></p>
10	VIII-32	<p>Reimbursement of Advisory Committee Members for Travel Expenses. Pursuant to Government Code §2110.004, reimbursement of expenses for advisory committee members, out of funds appropriated above, is limited to the following advisory committees: Air Conditioning and Refrigeration Contractors Advisory Board; Architectural Barriers Advisory Committee; <u>Advisory Board of Athletic Trainers</u>; Advisory Board on Barbering; Board of Boiler Rules; <u>Code Enforcement Officers Advisory Committee</u>; <u>Combative Sports Advisory Board</u>; Advisory Board on Cosmetology; <u>Dietitians Advisory Board</u>; <u>Driver Training and Traffic Safety Advisory Committee</u>; <u>Dyslexia Therapists and Practitioners Advisory Committee</u>; Electrical Safety and Licensing Advisory Board; Elevator Advisory Board; <u>Hearing Instrument Fitters and Dispensers Advisory Board</u>; Texas Industrial Building Code Council; Licensed Breeders Advisory Committee; <u>Massage Therapy Advisory Committee</u>; <u>Midwives Advisory Board</u>; Medical Advisory Committee;</p>

3.B. Rider Revisions and Additions Request

13	VIII-33	<p><u>Orthotists and Prosthetists Advisory Board</u>; Polygraph Advisory Committee; Property Tax Consultants Advisory Council; <u>Sanitarians Advisory Committee</u>; <u>Speech-Language Pathologists and Audiologists Advisory Board</u>; Texas Tax Professional Advisory Committee; Towing, Storage and Boating Advisory Board; Used Automotive Parts Recycling Advisory Board; Water Well Drillers Advisory Council; and Weather Modification Advisory Committee.</p> <p><i>This requested change amends the name of the advisory board for the Combative Sports program, which was changed by the 84th Legislature from the Medical Advisory Committee to the Combative Sports Advisory Committee. The requested change also adds the advisory committees for the programs transferred by SB 202.</i></p> <p>Judgments and Settlements. Notwithstanding Article IX, Section 16.04 <u>16.02</u> of this Act, payment of judgments or settlements, including attorney's fees, resulting from actions brought under Title 42 United States Code §1983 or that arise from claims challenging the validity or constitutionality of a state law, <u>that are</u> and prosecuted or defended by the Office of the Attorney General and that are obtained against the Texas Department of Licensing and Regulation or the Texas Commission of Licensing and Regulation, or any individual(s) acting in their official capacity on behalf of the Texas Department of Licensing and Regulation, shall be paid out by the Comptroller and not from funds appropriated herein to the Texas Department of Licensing and Regulation or the Texas Commission of Licensing and Regulation.</p> <p><i>This rider has been revised to reflect all potential legal actions, not just those brought in federal judicial system, that could be brought against the department for laws it is responsible for implementing, and which challenge the validity or constitutionality of those laws.</i></p> <p>Unexpended Balances: Transferred Programs. Any unexpended and unobligated balances remaining as of August 31, 2018, out of appropriations made above in Strategy A.1.1, Issue Licenses, Registrations & Certificates to Qualified Individuals, Strategy A.1.3, Examinations/Continuing Education, Strategy A.1.4, Customer Service, Strategy B.1.1, Conduct Inspections, Strategy B.1.2, Building Plan Reviews, Strategy B.1.3, Resolve Complaints, Strategy B.1.4, Investigation, and Strategy C.1.1, Central Administration, for the consolidation and implementation of any transferred programs, are appropriated to the Department of Licensing and Regulation for the fiscal year beginning September 1, 2018, to be applied for the same purposes for fiscal year 2019.</p> <p><i>This rider is requested to be added to allow the department to respond to the challenges associated with the consolidation during the 2018-19 biennium of six programs transferred from the Department of State Health Services by the 84th Legislature.</i></p>
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3.C. Rider Appropriations and Unexpended Balances Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
 TIME: 5:27:31PM

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
3 1	Special Boiler Inspections 2-1-1 CONDUCT INSPECTIONS	\$95,800	\$43,400	\$0	\$0	\$0
OBJECT OF EXPENSE:						
	2005 TRAVEL	\$95,800	\$43,400	\$0	\$0	\$0
Total, Object of Expense		\$95,800	\$43,400	\$0	\$0	\$0
METHOD OF FINANCING:						
	666 Appropriated Receipts	\$95,800	\$43,400	\$0	\$0	\$0
Total, Method of Financing		\$95,800	\$43,400	\$0	\$0	\$0

Description/Justification for continuation of existing riders or proposed new rider

This rider is necessary to ensure that the agency can continue to provide special inspection services (included in Section 755.028 of the Boiler Law) to owners, operators, and manufacturers of boilers. Given the significant health and safety issues associated with the program, it is important that TDLR have this funding flexibility. These special inspection services include comprehensive audits for certification to construct, assemble and/or repair boilers or pressure vessels. Agency staff is able to provide these services at a significantly lower cost than private sector entities, thus saving money for manufacturers and users of boilers and pressure vessels in Texas. These certifications also help further the Boiler Program's purpose to protect Texans through the safe construction, operation and inspection of boilers. These funds also allow staff to:

- 1) interface with other jurisdictions/states to facilitate interstate commerce in boilers and pressure vessels, and to standardize associated safety requirements;
- 2) interface with professional engineering societies to affect the development of standard boiler construction and safety codes;
- 3) interface with national boiler and pressure vessel inspection organizations to affect the development of standard boiler repair and safety inspection codes; and
- 4) provide training and education to professional organizations involved in the installation, operation and maintenance of boilers.

3.C. Rider Appropriations and Unexpended Balances Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
 TIME: 5:27:31PM

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
4 1	Auctioneer Education and Recovery 2-1-3 RESOLVE COMPLAINTS	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
OBJECT OF EXPENSE:						
	1001 SALARIES AND WAGES	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Total, Object of Expense		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
METHOD OF FINANCING:						
	898 Auction Educ & Rec Trust	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Total, Method of Financing		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Description/Justification for continuation of existing riders or proposed new rider

The Auctioneer Education and Recovery Fund (AERF) is a trust fund administered by the department to pay claims against licensed auctioneers. Claims are filed by consumers who have been harmed by the actions of an auctioneer. Claims of the AERF are investigated by Enforcement Division staff. The claimant and the auctioneer are notified of the agency's determination, and given the opportunity to request a hearing if they disagree with the determination. The fund also helps make possible essential and ongoing efforts to educate the auctioneer industry and the public about auctioneering in Texas. In overseeing the AERF, the department serves the important purpose of preventing waste of funds. The proper administration of the fund requires department staff to conduct thorough investigation of each consumer complaint, and spend time reviewing and giving careful consideration to educational grant proposals.

3.C. Rider Appropriations and Unexpended Balances Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME: **5:27:31PM**

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
SUMMARY:						
OBJECT OF EXPENSE TOTAL		\$120,800	\$68,400	\$25,000	\$25,000	\$25,000
METHOD OF FINANCING TOTAL		\$120,800	\$68,400	\$25,000	\$25,000	\$25,000

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME: **5:27:31PM**

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
	Item Name: Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs		
	Item Priority: 1		
	IT Component: Yes		
	Anticipated Out-year Costs: Yes		
	Involve Contracts > \$50,000: Yes		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Issue Licenses, Registrations, & Certificates to Qualified Individuals		
	01-01-02 License Businesses and Facilities		
	01-01-03 Administer Exams to Applicants		
	01-01-04 Provide Customer Service		
	02-01-01 Enforce Laws by Conducting Routine, Complex, and Special Inspections		
	02-01-03 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction		
	02-01-04 Investigate Complaints		
	03-01-01 Central Administration		
	03-01-02 Information Resources		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,244,232	1,244,232
2005	TRAVEL	32,600	32,600
2007	RENT - MACHINE AND OTHER	70,763	70,763
2009	OTHER OPERATING EXPENSE	314,277	130,000
5000	CAPITAL EXPENDITURES	672,200	25,400
TOTAL, OBJECT OF EXPENSE		\$2,334,072	\$1,502,995
METHOD OF FINANCING:			
1	General Revenue Fund	2,334,072	1,502,995
TOTAL, METHOD OF FINANCING		\$2,334,072	\$1,502,995
FULL-TIME EQUIVALENT POSITIONS (FTE):		25.00	25.00

DESCRIPTION / JUSTIFICATION:

TDLR requests the full appropriation authorized in the current General Appropriations Act (GAA) to implement Phase 1 of Senate Bill 202, and the necessary funding in the next GAA to implement Phase 2 of SB 202.

SB 202, enacted by the 84th Texas Legislature, consolidated 13 Department of State Health Services (DSHS) programs at TDLR over two biennial phases as recommended by the Sunset Advisory Commission. Phase 1 occurs during FY 2016-17 and includes transferring 7 programs from DSHS to TDLR. Phase 2 occurs during FY 2018-19 and

Agency code: **452** Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
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includes transferring 6 additional programs to TDLR from DSHS.

EXTERNAL/INTERNAL FACTORS:

SB 202 was signed into law by Gov. Greg Abbott on June 17, 2015, and was effective September 1, 2015. Partial funding to implement Phase 1 was not authorized until January 2016 and resulted in an agency budget shortfall of \$1,117,919. No funding was authorized in the GAA to implement Phase 2.

Sufficient and timely funding is needed to efficiently and effectively complete the consolidation of all 13 DSHS programs at TDLR. Successful program consolidation requires substantial lead-in time to solicit bids, interview vendors, meet with DSHS about current program operations, update agency rules, and train TDLR staff. Phase 2 consolidation can begin as early as 2017 with sufficient funding.

This requested exceptional item is aligned with Agency Goal #5, “Effectively consolidate and improve management and services for the 14 programs transferred to TDLR by the 84th Texas Legislature,” in TDLR’s 2017-2021 Strategic Plan.

DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:

As required by legislative action taken in 2015, the Texas Department of Licensing and Regulation (TDLR) must expeditiously transfer the licensing and regulation of six programs from the Department of State Health Services (DSHS) information systems to TDLR information systems. TDLR seeks to expand its version of the information system used by DSHS and import the existing system configurations and data for the six programs, preserving existing functionality as is practical, but also rebranding it to TDLR and making modifications to fit TDLR’s business model. We also wish to enhance the system by implementing the e-inspection module which can be used for the Massage Therapy Program and other programs that have an inspection component.

IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?

NEW

PROPOSED SOFTWARE EXAMPLES (Client-side, server-side, Midrange and Mainframe)

\$5,500 - Base license costs for transferred programs added to Versa licensing system

\$7,400 - Maintenance costs for transferred programs

\$66,900- Base and User License costs for implementing Versa:Mobile

\$18,025- Maintenance costs associated with implementing Versa:Mobile.

Possible Scalability- Mobile Inspection module and inspector user licenses. This is required in order to record the results of inspections, but the licensing system can function without it. Forgoing the mobile inspection unit would significantly reduce TDLR’s ability to perform health and safety inspections for the transferred programs and combat human trafficking possibly occurring at locations where licensed activity is being performed such as massage therapy facilities.

PROPOSED HARDWARE EXAMPLES (Desktop, Laptop, Tablets, Servers, Mainframes, Printers and Monitors)

None. The servers, software, storage and contractual foundations have already been put in place for Phase 1, which was the transfer of the first 7 programs from DSHS to TDLR. There is sufficient capacity in that environment to support these additional 6 programs from DSHS.

DEVELOPMENT COST AND OTHER COSTS

Professional Services to migrate configurations and data from DSHS into Versa:Regulation - \$477,400

Professional Services to configure and migrate data from DSHS into Versa Mobile - \$96,800

TYPE OF PROJECT

Licensing / Permitting / Monitoring / Enforcement

4.A. Exceptional Item Request Schedule
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 Automated Budget and Evaluation System of Texas (ABEST)

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Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
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ALTERNATIVE ANALYSIS

TDLR could potentially expand its existing legacy licensing system to include the DSHS programs, accepting the risks associated with the system including issues of supportability with the development environment and the inability to implement with full accessibility. Expanding the legacy licensing system would require three additional FTE's, a hardware infrastructure expansion, and a full upgrade of the existing development environment which is currently three levels behind current. Transferring the programs would cost a total of \$952,225 and take 18 months to complete. Out-costs would be \$486,000 per year for the 3 FTEs and two additional DCS servers to maintain the legacy licensing system. However, TDLR is already forming a remediation plan to address its legacy licensing system and would then have to spend roughly \$800,000 and approximately a year to configure and transfer the programs onto the new system.

ESTIMATED IT COST

2016	2017	2018	2019	2020	2021	2022	Total Over Life of Project
\$0	\$0	\$672,425	\$25,625	\$25,625	\$25,625	\$25,625	\$774,925

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Annual costs associated with programmatic and administrative costs associated with the successful consolidation of the 13 DSHS Programs:

\$1,244,232 - Salary and Wages

\$32,000 - Travel

\$70,763 - Rent - Machine and Other

\$130,000 - Other Operating Expense

\$25,400 - Capital.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2020	2021	2022
\$1,502,995	\$1,502,995	\$1,502,995

APPROXIMATE PERCENTAGE OF EXCEPTIONAL ITEM : 20.00%

CONTRACT DESCRIPTION :

- Versa Software - Versa is a proprietary software system under DIR contract, and the manufacturer does not have any reseller agreements in place at this time. Duration: One-time license costs and ongoing maintenance.

4.A. Exceptional Item Request Schedule
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Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
	<ul style="list-style-type: none">Professional Services procured through DIR contract per SB20 regulations for the implementation and migration services – Transferring programs from DSHS’ system to TDLR’s system requires expertise in and access to both TDLR and DSHS’ Versa cloud environments and DSHS’ configurations of these 6 license programs. TDLR will also require a vendor with expertise in the configuration of their e-inspection tool to perform the baseline work and train agency staff. Also, with proprietary software, there are often functions that must be customized into the system by the product vendor. Duration: five months.		

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
 TIME: 5:27:31PM

Agency code: 452 Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
	Item Name: Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.		
	Item Priority: 2		
	IT Component: No		
	Anticipated Out-year Costs: Yes		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-03 Administer Exams to Applicants		
	02-01-01 Enforce Laws by Conducting Routine, Complex, and Special Inspections		
	02-01-03 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction		
	02-01-04 Investigate Complaints		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	215,220	215,220
2005	TRAVEL	33,000	33,000
2007	RENT - MACHINE AND OTHER	14,153	14,153
2009	OTHER OPERATING EXPENSE	6,000	6,000
	TOTAL, OBJECT OF EXPENSE	\$268,373	\$268,373
 METHOD OF FINANCING:			
1	General Revenue Fund	268,373	268,373
	TOTAL, METHOD OF FINANCING	\$268,373	\$268,373
	FULL-TIME EQUIVALENT POSITIONS (FTE):	5.00	5.00

DESCRIPTION / JUSTIFICATION:

TDLR requests full funding for Enforcement, Field Operations, and Education and Examination positions frozen in response to the requested 4% budget cuts. TDLR's top priority is protecting the public from consumer harm and threats to health and safety. TDLR's Enforcement Division investigates and resolves complaints from consumers, industry, other government entities, and agency staff. Contested cases are prosecuted before the State Office of Administrative Hearings. The Division also reviews the criminal histories of license applicants and pursues denial or revocation of licenses when necessary to protect the public. Recent and upcoming retirements in Enforcement are depleting TDLR's ranks of experienced investigators. Fully staffing these positions will ensure that the agency continues to provide timely and thorough investigations of complaints. The Field Operations Division performs pre-license and periodic inspections throughout Texas to educate licensees and ensure public safety. The Education and Examination Division conducts pre-license and periodic inspections of barber, cosmetology and driver education schools, ensuring curricula is taught according to requirements and that health and safety standards are met. Together, these efforts have a direct positive impact on public health and safety and consumer protection.

The requested funding will allow the restoration of two Investigator IV positions in Enforcement, two Inspector IV positions in Field Operations, and one Inspector V

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
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Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
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position in Education and Examination.

EXTERNAL/INTERNAL FACTORS:

This requested exceptional item is aligned with Agency Goal #3, "Focus limited enforcement resources on complaints that involve consumer harm or a threat to public safety," in TDLR's 2017-2021 Strategic Plan.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Annual costs associated with programmatic and administrative costs associated with Enforcement activities:

\$215,220 - Salary and Wages

\$33,000 - Travel

\$14,153 - Rent - Machine and Other

\$6,000 - Other Operating Expense

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

	2020	2021	2022
	\$268,373	\$268,373	\$268,373

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
 TIME: 5:27:31PM

Agency code: 452 Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
Item Name: Restore funding for frozen positions to ensure efficient processing of licenses.			
Item Priority: 3			
IT Component: No			
Anticipated Out-year Costs: Yes			
Involve Contracts > \$50,000: No			
Includes Funding for the Following Strategy or Strategies:			
	01-01-01 Issue Licenses, Registrations, & Certificates to Qualified Individuals		
	01-01-02 License Businesses and Facilities		
	01-01-04 Provide Customer Service		
	03-01-01 Central Administration		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	125,088	125,088
2007	RENT - MACHINE AND OTHER	8,492	8,492
2009	OTHER OPERATING EXPENSE	3,600	3,600
TOTAL, OBJECT OF EXPENSE		\$137,180	\$137,180
 METHOD OF FINANCING:			
1	General Revenue Fund	137,180	137,180
TOTAL, METHOD OF FINANCING		\$137,180	\$137,180
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.00	3.00

DESCRIPTION / JUSTIFICATION:

TDLR requests full funding for Customer Service, Licensing, and Executive positions frozen in response to the requested 4% budget cuts. The consolidation of 13 health-related programs from DSHS to TDLR is projected to add more than 100,000 licensees to TDLR's current licensee population of approximately 650,000. This additional workload will require full staffing in key areas to ensure that TDLR continues to provide efficient customer service, timely and accurate processing of license applications, and helpful information on the agency website.

The requested funding will allow restoration of one Customer Service Representative III position in our Customer Service Division, one Licensing and Permit Specialist II position in Licensing, and one Web Administrator II position in our Executive Office.

EXTERNAL/INTERNAL FACTORS:

This request is aligned with Agency Goal #1, "Improve efficiencies, lower fees, and eliminate bottlenecks throughout TDLR," in TDLR's 2017-2021 Strategic Plan.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Annual costs associated with programmatic and administrative costs associated with Licensing activities:

4.A. Exceptional Item Request Schedule
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DATE: **8/19/2016**
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Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
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\$125,088 - Salary and Wages

\$8,492 - Rent - Machine and Other

\$3,600 - Other Operating Expense

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

	2020	2021	2022
	\$137,180	\$137,180	\$137,180

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
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DATE: **8/19/2016**
 TIME: **5:27:31PM**

Agency code: **452** Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
	Item Name: Restore funding for frozen positions to support public outreach and prevent loss of program and industry expertise.		
	Item Priority: 4		
	IT Component: No		
	Anticipated Out-year Costs: Yes		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies: 02-01-01 Enforce Laws by Conducting Routine, Complex, and Special Inspections		

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	177,290	177,290
2005	TRAVEL	5,600	5,600
2007	RENT - MACHINE AND OTHER	8,492	8,492
2009	OTHER OPERATING EXPENSE	3,600	3,600
TOTAL, OBJECT OF EXPENSE		\$194,982	\$194,982

METHOD OF FINANCING:

1	General Revenue Fund	194,982	194,982
TOTAL, METHOD OF FINANCING		\$194,982	\$194,982

FULL-TIME EQUIVALENT POSITIONS (FTE):

3.00	3.00
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DESCRIPTION / JUSTIFICATION:

TDLR requests full funding for three Compliance positions frozen in response to the requested 4% budget cuts. TDLR's Compliance Division is comprised of industry experts responsible for developing and explaining industry standards, determining building and equipment compliance, answering technical questions, and educating the industries for TDLR's 32 programs. With the addition of 13 health-related DSHS programs, maintaining and enhancing the Compliance Division's expertise is crucial to the agency's success and to effective, common-sense regulation of these professions. At the same time, recent and upcoming retirements are depleting TDLR's ranks of program specialists knowledgeable and experienced in their respective fields. Fully staffing these positions will ensure TDLR continues to offer outstanding customer support and program expertise, and help prevent further loss of our Compliance bench strength. These positions will also have a direct impact on our ability to assist TDLR licensees in achieving compliance with program requirements. The requested funding will allow restoration of one Program Specialist V position, one Management Analyst III position, and one Program Specialist IV position in the Compliance Division.

EXTERNAL/INTERNAL FACTORS:

This request is aligned with Agency Goal #2, "Educate and empower consumers by providing them with the tools to make informed choices about the industries TDLR regulates," in TDLR's 2017-2021 Strategic Plan.

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Agency code: **452**

Agency name:

Department of Licensing and Regulation

<u>CODE</u>	<u>DESCRIPTION</u>	<u>Excp 2018</u>	<u>Excp 2019</u>
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DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Annual costs associated with programmatic and administrative costs associated with Compliance activities:

\$177,290 - Salary and Wages

\$5,600 - Travel

\$8,492 - Rent - Machine and Other

\$3,600 - Other Operating Expense

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

	<u>2020</u>	<u>2021</u>	<u>2022</u>
	\$194,982	\$194,982	\$194,982

4.A. Exceptional Item Request Schedule
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DATE: 8/19/2016
 TIME: 5:27:31PM

Agency code: 452 Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
	Item Name: Strengthen Information Security and Physical Security. Item Priority: 5 IT Component: Yes Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-01-02 Information Resources		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	85,000	30,000
5000	CAPITAL EXPENDITURES	147,650	147,650
TOTAL, OBJECT OF EXPENSE		\$232,650	\$177,650
METHOD OF FINANCING:			
1	General Revenue Fund	232,650	177,650
TOTAL, METHOD OF FINANCING		\$232,650	\$177,650

DESCRIPTION / JUSTIFICATION:

TDLR requests funding to maintain and improve information security across the agency and to improve physical security at its E.O. Thompson Building headquarters in Austin directly across 10th Street from the Governor’s Mansion.

The Texas Department of Information Resources (DIR) established in 2013 a statewide security assessment program to evaluate information security risks at state agencies. DIR hired Gartner, Inc., an information technology (IT) research and advisory firm, to identify weaknesses in and recommend improvements in information security and risk management at state agencies. In February 2014 Gartner identified the personnel and technology costs necessary for TDLR to improve its overall IT maturity levels in 17 areas.

The 84th Texas Legislature appropriated TDLR funding to improve its information security by identifying and adopting computer security processes and policies, applying technical controls to reduce vulnerabilities and overall risk, and increasing security awareness and compliance. While this funding has allowed TDLR to address some of the highest priority security issues identified by Gartner, other critical issues still need to be addressed.

EXTERNAL/INTERNAL FACTORS:

The 13 health-related programs undergoing consolidation at TDLR require the agency to handle and store health-related personal information that must be protected by federal law. TDLR is seeking funding in 2018-19 to ensure that protected health information and other personal identifiable information remains secure and that any vulnerabilities associated with new software implementation are identified and neutralized.

Additionally, a TDLR security team coordinated with the Texas Department of Public Safety for a physical review of the Thompson Building. This review identified security issues that present dangers to staff and visitors to the Thompson Building. This physical security funding would help eliminate external and internal security threats at the

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
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Thompson Building.

This request is aligned with the successful fulfillment of Statewide Objective #1 in all agency goals, "Accountable to Tax and Fee Payers of Texas," in TDLR's 2017-2021 Strategic Plan.

DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:

In February 2014 Gartner, Inc. identified the technology costs necessary for TDLR to improve its overall IT maturity, based on the findings on our IT Security Program, requirements and current capabilities. While funding has allowed TDLR to address some of the highest priority security issues, additional software, hardware and service purchases are required in order to address remaining critical issues.

Additionally, the TDLR Security Team coordinated with the Texas Department of Public Safety to review physical security at the E.O. Thompson building, which is located directly across the street from the Governor's Mansion. Multiple internal and external security issues were identified which can be addressed through hardware equipment and software purchases.

IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?

NEW

PROPOSED SOFTWARE EXAMPLES (Client-side, server-side, Midrange and Mainframe)

- \$40,650 + \$8,500 annual maint. - Data Classification Tool (Priority: 6; FY18)
- \$42,750 + \$8,950 annual maint. - Scanning tool for SQL databases (Priority: 7; FY19)
- \$3,000 + \$600 annual maint.- Multifactor Identification Tools (Priority: 8; FY19)
- \$17,000 + \$3,400 annual maint. - Baseline Configuration Scanning Tool (Priority: 9; FY18)
- \$4,000 + \$800 annual maint. - Identity Management Tools (Priority: 10; FY19)
- \$11,000 + \$2400 annual maint. - IP Database Solution (Priority: 11; FY19)

PROPOSED HARDWARE EXAMPLES (Desktop, Laptop, Tablets, Servers, Mainframes, Printers and Monitors)

Hardware

- \$55,000 External Cameras and lighting (Priority: 1; FY18)
- \$5,000 Forensic Disk Imaging Solution (Priority: 13; FY19)

DEVELOPMENT COST AND OTHER COSTS

- \$90,000 - Risk Assessments for performing independent security auditing functions (Priority: 2; FY18 & FY19; \$45,000/year)
- \$60,000 - Continuing education training for security team. Classes can easily cost in excess of \$5000 per person.(Priority: 3; Cost represents total for FY18 & FY19; \$30,000/year)
- \$20,000 - Custom codec design and implementation services (Priority: 4; FY18)
- \$40,000 - Continuing education training for developers (Priority: 5; FY18 & FY19; \$20,000/year)
- \$10,000 - External data circuit for testing security against external threats. (Priority: 12; FY18 & FY19; \$5,000/year)

TYPE OF PROJECT

Security

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2018	Excp 2019
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ALTERNATIVE ANALYSIS

TDLR is on a path to increase the maturity of all agency cybersecurity efforts in multiple areas: Cybersecurity processes, network and system security continuous monitoring, network and security protection, secure application development, privacy protection, and physical security. Many of the requests are to ensure that staff are sufficiently trained and fluent in skills that need to evolve very rapidly, as the threat and attack environment is constantly in flux. Requests for external assessments are to ensure that the agency can visualize existing and potential risk; an outside set of eyes will often reveal issues that internal staff are either blind to, or unwilling to state for different reasons. If we do not move forward with these expenditures, we will still function, but we will not be able to shore up our defenses to the extent we believe we should. We will still work diligently to improve security and privacy, but our efforts will not be as thorough, as we have to spend more time researching and performing internal assessments. Our current toolsets are fairly manual, and much of our time is spent on administration. The funding we are asking for is to help maintain the pace of the maturation efforts we are undergoing using the resources and funding made available for FY16-17.

ESTIMATED IT COST

2016	2017	2018	2019	2020	2021	2022	Total Over Life of Project
\$590,000	\$53,200	\$285,850	\$350,850	\$173,850	\$173,850	\$293,850	\$1,921,450

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

- \$20,650 - annual software maintenance costs
- \$45,000 - annual risk assessment costs for performing independent security auditing functions.
- \$30,000 - annual continuing education training for security team. Classes can easily cost in excess of \$5,000 per person per course.
- \$20,000 - annual continuing education training costs for developers.
- \$5,000 - annual external data circuit costs for testing security against external threats.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2020	2021	2022
\$120,650	\$120,650	\$120,650

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name:	Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs		
Allocation to Strategy:	1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals		
OUTPUT MEASURES:			
<u>1</u>	Number of New Licenses Issued to Individuals	6,914.00	7,033.00
<u>2</u>	Number of Licenses Renewed for Individuals	27,585.00	28,137.00
EFFICIENCY MEASURES:			
<u>1</u>	Percentage of New Individual Licenses Issued within 10 Days	90.00%	90.00%
<u>2</u>	% Indiv License Renewals Issued within 7 Days	94.00%	94.00%
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Total Number of Licenses Held by Individuals	571,206.00	579,926.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	280,092	280,092
2007	RENT - MACHINE AND OTHER	19,814	19,814
2009	OTHER OPERATING EXPENSE	86,021	36,400
TOTAL, OBJECT OF EXPENSE		\$385,927	\$336,306
METHOD OF FINANCING:			
1	General Revenue Fund	385,927	336,306
TOTAL, METHOD OF FINANCING		\$385,927	\$336,306
FULL-TIME EQUIVALENT POSITIONS (FTE):		7.0	7.0

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME: **5:27:32PM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2018	Excp 2019
Item Name:	Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs		
Allocation to Strategy:	1-1-2	License Businesses and Facilities	
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Total Number of Licenses Held by Businesses	215,200.00	219,538.00

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs			
Allocation to Strategy: 1-1-3 Administer Exams to Applicants			
OUTPUT MEASURES:			
	<u>1</u> Number of Courses Approved	0.00	10.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	96,708	96,708
2005	TRAVEL	5,200	5,200
2007	RENT - MACHINE AND OTHER	5,661	5,661
2009	OTHER OPERATING EXPENSE	25,594	10,400
TOTAL, OBJECT OF EXPENSE		\$133,163	\$117,969
METHOD OF FINANCING:			
	1 General Revenue Fund	133,163	117,969
TOTAL, METHOD OF FINANCING		\$133,163	\$117,969
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs			
Allocation to Strategy: 1-1-4 Provide Customer Service			
EXPLANATORY/INPUT MEASURES:			
	<u>1</u> Number of Contacts Received	582,139.00	582,139.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	108,672	108,672
2007	RENT - MACHINE AND OTHER	8,492	8,492
2009	OTHER OPERATING EXPENSE	36,612	15,600
TOTAL, OBJECT OF EXPENSE		\$153,776	\$132,764
METHOD OF FINANCING:			
	1 General Revenue Fund	153,776	132,764
TOTAL, METHOD OF FINANCING		\$153,776	\$132,764
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.0	3.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs			
Allocation to Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
STRATEGY IMPACT ON OUTCOME MEASURES:			
	<u>1</u> Percent of Complaints Resulting in Disciplinary Action	29.70%	30.50%
	<u>2</u> Percent of Complaints Closed within Six Months	67.00%	68.00%
	<u>3</u> Recidivism Rate of Those Receiving Disciplinary Action	9.60%	9.80%
OUTPUT MEASURES:			
	<u>1</u> Total Number of Inspections Completed	2,006.00	2,404.00
OBJECTS OF EXPENSE:			
	1001 SALARIES AND WAGES	375,120	375,120
	2005 TRAVEL	23,100	23,100
	2007 RENT - MACHINE AND OTHER	16,982	16,982
	2009 OTHER OPERATING EXPENSE	80,029	31,200
TOTAL, OBJECT OF EXPENSE		\$495,231	\$446,402
METHOD OF FINANCING:			
	1 General Revenue Fund	495,231	446,402
TOTAL, METHOD OF FINANCING		\$495,231	\$446,402
FULL-TIME EQUIVALENT POSITIONS (FTE):		6.0	6.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs			
Allocation to Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction			
OUTPUT MEASURES:			
	<u>1</u> Number of Complaints Closed	458.00	1,510.00
EFFICIENCY MEASURES:			
	<u>1</u> Average Time for Closing Complaints (Days)	185.00	181.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	318,384	318,384
2005	TRAVEL	1,500	1,500
2007	RENT - MACHINE AND OTHER	16,983	16,983
2009	OTHER OPERATING EXPENSE	73,224	31,200
TOTAL, OBJECT OF EXPENSE		\$410,091	\$368,067
METHOD OF FINANCING:			
	1 General Revenue Fund	410,091	368,067
TOTAL, METHOD OF FINANCING		\$410,091	\$368,067
FULL-TIME EQUIVALENT POSITIONS (FTE):		6.0	6.0

4.B. Exceptional Items Strategy Allocation Schedule

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DATE: **8/19/2016**

TIME: **5:27:32PM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2018	Excp 2019
Item Name:	Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs		
Allocation to Strategy:	2-1-4 Investigate Complaints		
STRATEGY IMPACT ON OUTCOME MEASURES:			
	<u>3</u> Recidivism Rate of Those Receiving Disciplinary Action	9.60%	9.80%
EXPLANATORY/INPUT MEASURES:			
	<u>1</u> Number of Complaints Opened	11,791.00	12,208.00

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
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DATE: **8/19/2016**
 TIME: **5:27:32PM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2018	Excp 2019
Item Name: Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs			
Allocation to Strategy: 3-1-1 Central Administration			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	65,256	65,256
2005	TRAVEL	2,800	2,800
2007	RENT - MACHINE AND OTHER	2,831	2,831
2009	OTHER OPERATING EXPENSE	12,797	5,200
TOTAL, OBJECT OF EXPENSE		\$83,684	\$76,087
METHOD OF FINANCING:			
1 General Revenue Fund		83,684	76,087
TOTAL, METHOD OF FINANCING		\$83,684	\$76,087
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs			
Allocation to Strategy: 3-1-2 Information Resources			
OBJECTS OF EXPENSE:			
5000	CAPITAL EXPENDITURES	672,200	25,400
TOTAL, OBJECT OF EXPENSE		\$672,200	\$25,400
METHOD OF FINANCING:			
1	General Revenue Fund	672,200	25,400
TOTAL, METHOD OF FINANCING		\$672,200	\$25,400
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.0	0.0

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME: **5:27:32PM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2018	Excp 2019
Item Name:		Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.	
Allocation to Strategy:		1-1-3	Administer Exams to Applicants
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	39,000	39,000
2005	TRAVEL	9,400	9,400
2007	RENT - MACHINE AND OTHER	2,831	2,831
2009	OTHER OPERATING EXPENSE	1,200	1,200
TOTAL, OBJECT OF EXPENSE		\$52,431	\$52,431
METHOD OF FINANCING:			
1 General Revenue Fund		52,431	52,431
TOTAL, METHOD OF FINANCING		\$52,431	\$52,431
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.			
Allocation to Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
STRATEGY IMPACT ON OUTCOME MEASURES:			
<u>1</u>	Percent of Complaints Resulting in Disciplinary Action	28.50%	28.60%
<u>2</u>	Percent of Complaints Closed within Six Months	66.00%	67.00%
<u>3</u>	Recidivism Rate of Those Receiving Disciplinary Action	9.50%	9.75%
<u>4</u>	Inspection Coverage Rate	86.10%	86.87%
OUTPUT MEASURES:			
<u>1</u>	Total Number of Inspections Completed	2,198.00	2,216.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	82,740	82,740
2005	TRAVEL	18,800	18,800
2007	RENT - MACHINE AND OTHER	5,661	5,661
2009	OTHER OPERATING EXPENSE	2,400	2,400
TOTAL, OBJECT OF EXPENSE		\$109,601	\$109,601
METHOD OF FINANCING:			
1	General Revenue Fund	109,601	109,601
TOTAL, METHOD OF FINANCING		\$109,601	\$109,601
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name:	Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.		
Allocation to Strategy:	2-1-3	Enforce Compliance by Settlement, Prosecution, Penalty and Sanction	
OUTPUT MEASURES:			
<u>1</u>	Number of Complaints Closed	158.00	1,068.00
EFFICIENCY MEASURES:			
<u>1</u>	Average Time for Closing Complaints (Days)	186.00	186.00

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.			
Allocation to Strategy: 2-1-4 Investigate Complaints			
EXPLANATORY/INPUT MEASURES:			
	<u>1</u> Number of Complaints Opened	11,468.00	11,538.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	93,480	93,480
2005	TRAVEL	4,800	4,800
2007	RENT - MACHINE AND OTHER	5,661	5,661
2009	OTHER OPERATING EXPENSE	2,400	2,400
TOTAL, OBJECT OF EXPENSE		\$106,341	\$106,341
METHOD OF FINANCING:			
	1 General Revenue Fund	106,341	106,341
TOTAL, METHOD OF FINANCING		\$106,341	\$106,341
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Restore funding for frozen positions to ensure efficient processing of licenses.			
Allocation to Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals			
OUTPUT MEASURES:			
<u>1</u>	Number of New Licenses Issued to Individuals	528.00	565.00
<u>2</u>	Number of Licenses Renewed for Individuals	5,403.00	5,526.00
EFFICIENCY MEASURES:			
<u>1</u>	Percentage of New Individual Licenses Issued within 10 Days	91.00%	91.00%
<u>2</u>	% Indiv License Renewals Issued within 7 Days	96.00%	96.00%
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Total Number of Licenses Held by Individuals	522,587.00	526,926.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	36,840	36,840
2007	RENT - MACHINE AND OTHER	2,831	2,831
2009	OTHER OPERATING EXPENSE	1,200	1,200
TOTAL, OBJECT OF EXPENSE		\$40,871	\$40,871
METHOD OF FINANCING:			
1	General Revenue Fund	40,871	40,871
TOTAL, METHOD OF FINANCING		\$40,871	\$40,871
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2018	Excp 2019
Item Name: Restore funding for frozen positions to ensure efficient processing of licenses.			
Allocation to Strategy: 1-1-2 License Businesses and Facilities			
EXPLANATORY/INPUT MEASURES:			
<u>1</u>	Total Number of Licenses Held by Businesses	211,520.00	215,847.00

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Restore funding for frozen positions to ensure efficient processing of licenses.			
Allocation to Strategy: 1-1-4 Provide Customer Service			
STRATEGY IMPACT ON OUTCOME MEASURES:			
	4 Percent of Contacts Responded to by Staff at TDLR	92.00%	93.00%
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	34,836	34,836
2007	RENT - MACHINE AND OTHER	2,831	2,831
2009	OTHER OPERATING EXPENSE	1,200	1,200
TOTAL, OBJECT OF EXPENSE		\$38,867	\$38,867
METHOD OF FINANCING:			
	1 General Revenue Fund	38,867	38,867
TOTAL, METHOD OF FINANCING		\$38,867	\$38,867
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name:		Restore funding for frozen positions to ensure efficient processing of licenses.	
Allocation to Strategy:		3-1-1	Central Administration
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	53,412	53,412
2007	RENT - MACHINE AND OTHER	2,830	2,830
2009	OTHER OPERATING EXPENSE	1,200	1,200
TOTAL, OBJECT OF EXPENSE		\$57,442	\$57,442
METHOD OF FINANCING:			
1 General Revenue Fund		57,442	57,442
TOTAL, METHOD OF FINANCING		\$57,442	\$57,442
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Restore funding for frozen positions to support public outreach and prevent loss of program and industry expertise.			
Allocation to Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	177,290	177,290
2005	TRAVEL	5,600	5,600
2007	RENT - MACHINE AND OTHER	8,492	8,492
2009	OTHER OPERATING EXPENSE	3,600	3,600
TOTAL, OBJECT OF EXPENSE		\$194,982	\$194,982
METHOD OF FINANCING:			
1 General Revenue Fund		194,982	194,982
TOTAL, METHOD OF FINANCING		\$194,982	\$194,982
FULL-TIME EQUIVALENT POSITIONS (FTE):		3.0	3.0

Agency code: 452 Agency name: Department of Licensing and Regulation

Code	Description	Excp 2018	Excp 2019
Item Name: Strengthen Information Security and Physical Security.			
Allocation to Strategy: 3-1-2 Information Resources			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	85,000	30,000
5000	CAPITAL EXPENDITURES	147,650	147,650
TOTAL, OBJECT OF EXPENSE		\$232,650	\$177,650
METHOD OF FINANCING:			
1	General Revenue Fund	232,650	177,650
TOTAL, METHOD OF FINANCING		\$232,650	\$177,650
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.0	0.0

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses

OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law

Service Categories:

STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2018	Excp 2019
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	316,932	316,932
2007 RENT - MACHINE AND OTHER	22,645	22,645
2009 OTHER OPERATING EXPENSE	87,221	37,600
Total, Objects of Expense	\$426,798	\$377,177

METHOD OF FINANCING:

1 General Revenue Fund	426,798	377,177
Total, Method of Finance	\$426,798	\$377,177

FULL-TIME EQUIVALENT POSITIONS (FTE): 8.0 8.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs
 Restore funding for frozen positions to ensure efficient processing of licenses.

4.C. Exceptional Items Strategy Request
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses

OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law

Service Categories:

STRATEGY: 2 License Businesses and Facilities

Service: 17 Income: A.2 Age: B.3

CODE DESCRIPTION

Excp 2018

Excp 2019

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs

Restore funding for frozen positions to ensure efficient processing of licenses.

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses

OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law

STRATEGY: 3 Administer Exams to Applicants

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2018	Exp 2019
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OUTPUT MEASURES:

1 Number of Courses Approved	0.00	10.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	135,708	135,708
2005 TRAVEL	14,600	14,600
2007 RENT - MACHINE AND OTHER	8,492	8,492
2009 OTHER OPERATING EXPENSE	26,794	11,600
Total, Objects of Expense	\$185,594	\$170,400

METHOD OF FINANCING:

1 General Revenue Fund	185,594	170,400
Total, Method of Finance	\$185,594	\$170,400

FULL-TIME EQUIVALENT POSITIONS (FTE):

	3.0	3.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs

Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses

OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law

STRATEGY: 4 Provide Customer Service

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2018	Exp 2019
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	143,508	143,508
2007 RENT - MACHINE AND OTHER	11,323	11,323
2009 OTHER OPERATING EXPENSE	37,812	16,800
Total, Objects of Expense	\$192,643	\$171,631

METHOD OF FINANCING:

1 General Revenue Fund	192,643	171,631
Total, Method of Finance	\$192,643	\$171,631

FULL-TIME EQUIVALENT POSITIONS (FTE): 4.0 4.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs

Restore funding for frozen positions to ensure efficient processing of licenses.

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency

OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations

Service Categories:

STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2018	Exp 2019
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STRATEGY IMPACT ON OUTCOME MEASURES:

<u>1</u> Percent of Complaints Resulting in Disciplinary Action	29.80 %	31.20 %
<u>2</u> Percent of Complaints Closed within Six Months	73.60 %	76.80 %
<u>3</u> Recidivism Rate of Those Receiving Disciplinary Action	9.90 %	10.55 %
<u>4</u> Inspection Coverage Rate	86.10 %	86.87 %

OUTPUT MEASURES:

<u>1</u> Total Number of Inspections Completed	4,969.00	5,057.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	635,150	635,150
2005 TRAVEL	47,500	47,500
2007 RENT - MACHINE AND OTHER	31,135	31,135
2009 OTHER OPERATING EXPENSE	86,029	37,200
Total, Objects of Expense	\$799,814	\$750,985

METHOD OF FINANCING:

1 General Revenue Fund	799,814	750,985
Total, Method of Finance	\$799,814	\$750,985

FULL-TIME EQUIVALENT POSITIONS (FTE):

	11.0	11.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs

Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.

4.C. Exceptional Items Strategy Request
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency

OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations

Service Categories:

STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION

Excp 2018

Excp 2019

Restore funding for frozen positions to support public outreach and prevent loss of program and industry expertise.

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency

OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations

Service Categories:

STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2018	Excp 2019
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	318,384	318,384
2005 TRAVEL	1,500	1,500
2007 RENT - MACHINE AND OTHER	16,983	16,983
2009 OTHER OPERATING EXPENSE	73,224	31,200
Total, Objects of Expense	\$410,091	\$368,067

METHOD OF FINANCING:

1 General Revenue Fund	410,091	368,067
Total, Method of Finance	\$410,091	\$368,067

FULL-TIME EQUIVALENT POSITIONS (FTE):

	6.0	6.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs

Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency

OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations

Service Categories:

STRATEGY: 4 Investigate Complaints

Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2018	Exp 2019
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	93,480	93,480
2005 TRAVEL	4,800	4,800
2007 RENT - MACHINE AND OTHER	5,661	5,661
2009 OTHER OPERATING EXPENSE	2,400	2,400
Total, Objects of Expense	\$106,341	\$106,341

METHOD OF FINANCING:

1 General Revenue Fund	106,341	106,341
Total, Method of Finance	\$106,341	\$106,341

FULL-TIME EQUIVALENT POSITIONS (FTE): 2.0 2.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs

Restore funding for frozen positions to enhance enforcement strength, public safety, and consumer protection.

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 3 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2018	Exp 2019
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	118,668	118,668
2005 TRAVEL	2,800	2,800
2007 RENT - MACHINE AND OTHER	5,661	5,661
2009 OTHER OPERATING EXPENSE	13,997	6,400
Total, Objects of Expense	\$141,126	\$133,529

METHOD OF FINANCING:

1 General Revenue Fund	141,126	133,529
Total, Method of Finance	\$141,126	\$133,529

FULL-TIME EQUIVALENT POSITIONS (FTE):

	2.0	2.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs
 Restore funding for frozen positions to ensure efficient processing of licenses.

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/19/2016
TIME: 5:27:32PM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 3 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2018	Excp 2019
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OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE	85,000	30,000
5000 CAPITAL EXPENDITURES	819,850	173,050
Total, Objects of Expense	\$904,850	\$203,050

METHOD OF FINANCING:

1 General Revenue Fund	904,850	203,050
Total, Method of Finance	\$904,850	\$203,050

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Provide Funding to Fully Implement Senate Bill 202 and Successfully Consolidate 13 DSHS Programs
 Strengthen Information Security and Physical Security.

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME : **5:27:33PM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE			Est 2016	Bud 2017	BL 2018	BL 2019	
5005 Acquisition of Information Resource Technologies							
<i>1/1 Purchase of Information Resource Technologies</i>							
<i>- Scheduled Replacement</i>							
OBJECTS OF EXPENSE							
<u>Informational</u>							
General	2009	OTHER OPERATING EXPENSE	\$82,812	\$83,608	\$82,812	\$83,608	
		Informational Subtotal OOE, Project	1	\$82,812	\$83,608	\$82,812	\$83,608
		Subtotal OOE, Project	1	\$82,812	\$83,608	\$82,812	\$83,608
TYPE OF FINANCING							
<u>Informational</u>							
General	CA	1 General Revenue Fund	\$82,812	\$83,608	\$82,812	\$83,608	
		Informational Subtotal TOF, Project	1	\$82,812	\$83,608	\$82,812	\$83,608
		Subtotal TOF, Project	1	\$82,812	\$83,608	\$82,812	\$83,608
<i>4/4 Achieve Gartner IT Security Recommendation</i>							
OBJECTS OF EXPENSE							
<u>Capital</u>							
General	2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	
General	5000	CAPITAL EXPENDITURES	\$590,000	\$0	\$0	\$0	
		Capital Subtotal OOE, Project	4	\$590,000	\$0	\$0	\$0
		Subtotal OOE, Project	4	\$590,000	\$0	\$0	\$0
TYPE OF FINANCING							
<u>Capital</u>							
General	CA	1 General Revenue Fund	\$590,000	\$0	\$0	\$0	

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME : **5:27:33PM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE			Est 2016	Bud 2017	BL 2018	BL 2019
Capital Subtotal TOF, Project			\$590,000	\$0	\$0	\$0
Subtotal TOF, Project			\$590,000	\$0	\$0	\$0
<i>5/5 SB 202 Licensing Transfer Project</i>						
OBJECTS OF EXPENSE						
<u>Capital</u>						
General	5000	CAPITAL EXPENDITURES	\$932,350	\$381,000	\$0	\$0
Capital Subtotal OOE, Project			\$932,350	\$381,000	\$0	\$0
Subtotal OOE, Project			\$932,350	\$381,000	\$0	\$0
TYPE OF FINANCING						
<u>Capital</u>						
General	CA	1 General Revenue Fund	\$932,350	\$381,000	\$0	\$0
Capital Subtotal TOF, Project			\$932,350	\$381,000	\$0	\$0
Subtotal TOF, Project			\$932,350	\$381,000	\$0	\$0
Capital Subtotal, Category			\$1,522,350	\$381,000	\$0	\$0
Informational Subtotal, Category			\$82,812	\$83,608	\$82,812	\$83,608
Total, Category			\$1,605,162	\$464,608	\$82,812	\$83,608

7000 Data Center Consolidation

2/2 Data Center Consolidation

OBJECTS OF EXPENSE

Capital

General	2001	PROFESSIONAL FEES AND SERVICES	\$762,270	\$854,439	\$1,142,850	\$980,918
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5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME : **5:27:33PM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE			Est 2016	Bud 2017	BL 2018	BL 2019
Capital Subtotal OOE, Project	2		\$762,270	\$854,439	\$1,142,850	\$980,918
Subtotal OOE, Project	2		\$762,270	\$854,439	\$1,142,850	\$980,918
TYPE OF FINANCING						
<u>Capital</u>						
General CA 1	General Revenue Fund		\$762,270	\$854,439	\$1,142,850	\$980,918
Capital Subtotal TOF, Project	2		\$762,270	\$854,439	\$1,142,850	\$980,918
Subtotal TOF, Project	2		\$762,270	\$854,439	\$1,142,850	\$980,918
Capital Subtotal, Category	7000		\$762,270	\$854,439	\$1,142,850	\$980,918
Informational Subtotal, Category	7000					
Total, Category	7000		\$762,270	\$854,439	\$1,142,850	\$980,918

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

*3/3 Centralized Accounting and Payroll/Personnel
 Systems Deployment*

OBJECTS OF EXPENSE

Informational

General 1001	SALARIES AND WAGES		\$51,614	\$52,800	\$0	\$52,800
General 1002	OTHER PERSONNEL COSTS		\$5,287	\$1,680	\$0	\$1,680
General 2001	PROFESSIONAL FEES AND SERVICES		\$18	\$0	\$0	\$18
General 2006	RENT - BUILDING		\$2,700	\$0	\$0	\$2,700
General 2007	RENT - MACHINE AND OTHER		\$60	\$0	\$0	\$60
General 2009	OTHER OPERATING EXPENSE		\$21,879	\$74	\$0	\$24,300
Informational Subtotal OOE, Project	3		\$81,558	\$54,554	\$0	\$81,558
Subtotal OOE, Project	3		\$81,558	\$54,554	\$0	\$81,558

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME : **5:27:33PM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE			Est 2016	Bud 2017	BL 2018	BL 2019
TYPE OF FINANCING						
<u>Informational</u>						
General	CA	1 General Revenue Fund	\$81,558	\$54,554	\$0	\$81,558
		Informational Subtotal TOF, Project 3	\$81,558	\$54,554	\$0	\$81,558
		Subtotal TOF, Project 3	\$81,558	\$54,554	\$0	\$81,558
		Capital Subtotal, Category 8000				
		Informational Subtotal, Category 8000	\$81,558	\$54,554	\$0	\$81,558
		Total, Category 8000	\$81,558	\$54,554	\$0	\$81,558
AGENCY TOTAL -CAPITAL			\$2,284,620	\$1,235,439	\$1,142,850	\$980,918
AGENCY TOTAL -INFORMATIONAL			\$164,370	\$138,162	\$82,812	\$165,166
AGENCY TOTAL			\$2,448,990	\$1,373,601	\$1,225,662	\$1,146,084
METHOD OF FINANCING:						
<u>Capital</u>						
General		1 General Revenue Fund	\$2,284,620	\$1,235,439	\$1,142,850	\$980,918
		Total, Method of Financing-Capital	\$2,284,620	\$1,235,439	\$1,142,850	\$980,918
<u>Informational</u>						
General		1 General Revenue Fund	\$164,370	\$138,162	\$82,812	\$165,166
		Total, Method of Financing-Informational	\$164,370	\$138,162	\$82,812	\$165,166
		Total, Method of Financing	\$2,448,990	\$1,373,601	\$1,225,662	\$1,146,084

5.A. Capital Budget Project Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/19/2016**
 TIME : **5:27:33PM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2016	Bud 2017	BL 2018	BL 2019
TYPE OF FINANCING:				
<u>Capital</u>				
General CA CURRENT APPROPRIATIONS	\$2,284,620	\$1,235,439	\$1,142,850	\$980,918
Total, Type of Financing-Capital	\$2,284,620	\$1,235,439	\$1,142,850	\$980,918
<u>Informational</u>				
General CA CURRENT APPROPRIATIONS	\$164,370	\$138,162	\$82,812	\$165,166
Total, Type of Financing-Informational	\$164,370	\$138,162	\$82,812	\$165,166
Total,Type of Financing	\$2,448,990	\$1,373,601	\$1,225,662	\$1,146,084

Agency code: 452 Agency name: Department of Licensing and Regulation

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
5005 Acquisition of Information Resource Technologies					
<i>1/1 Computer Upgrade</i>					
GENERAL BUDGET					
Informational	1-1-1 LICENSE, REGISTER AND CERTIFY	82,812	83,608	\$82,812	\$83,608
	TOTAL, PROJECT	\$82,812	\$83,608	\$82,812	\$83,608
<i>4/4 Gartner IT Security</i>					
GENERAL BUDGET					
Capital	3-1-2 INFORMATION RESOURCES	590,000	0	0	0
	TOTAL, PROJECT	\$590,000	\$0	\$0	\$0
<i>5/5 SB 202 Licensing Transfer Project</i>					
GENERAL BUDGET					
Capital	3-1-2 INFORMATION RESOURCES	932,350	381,000	0	0
	TOTAL, PROJECT	\$932,350	\$381,000	\$0	\$0
7000 Data Center Consolidation					
<i>2/2 Data Center Consolidation</i>					
GENERAL BUDGET					
Capital	3-1-2 INFORMATION RESOURCES	762,270	854,439	1,142,850	980,918
	TOTAL, PROJECT	\$762,270	\$854,439	\$1,142,850	\$980,918

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

Agency code: 452 Agency name: Department of Licensing and Regulation

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
3/3	CAPPS Deployment				
GENERAL BUDGET					
Informational	3-1-1 CENTRAL ADMINISTRATION	81,558	54,554	\$0	\$81,558
	TOTAL, PROJECT	\$81,558	\$54,554	\$0	\$81,558
	TOTAL CAPITAL, ALL PROJECTS	\$2,284,620	\$1,235,439	\$1,142,850	\$980,918
	TOTAL INFORMATIONAL, ALL PROJECTS	\$164,370	\$138,162	\$82,812	\$165,166
	TOTAL, ALL PROJECTS	\$2,448,990	\$1,373,601	\$1,225,662	\$1,146,084

452 Department of Licensing and Regulation

Category Code/Name

Project Number/Name

Goal/Obj/Str	Strategy Name	Excp 2018	Excp 2019
5005 Acquisition of Information Resource Technologies			
4	Gartner IT Security		
3 1 2	INFORMATION RESOURCES	85,000	30,000
3 1 2	INFORMATION RESOURCES	147,650	147,650
TOTAL, PROJECT		232,650	177,650
5	SB 202 Licensing Transfer Project		
3 1 2	INFORMATION RESOURCES	672,200	25,400
TOTAL, PROJECT		672,200	25,400
TOTAL, ALL PROJECTS		904,850	203,050

452 Department of Licensing and Regulation

Category Code / Category Name <i>Project Number / Name</i> OOE / TOF / MOF CODE	Excp 2018	Excp 2019
5005 Acquisition of Information Resource Technologies		
<u>4 Gartner IT Security</u>		
Objects of Expense		
2009 OTHER OPERATING EXPENSE	85,000	30,000
5000 CAPITAL EXPENDITURES	147,650	147,650
Subtotal OOE, Project 4	232,650	177,650
Type of Financing		
CA 1 General Revenue Fund	232,650	177,650
Subtotal TOF, Project 4	232,650	177,650
<u>5 SB 202 Licensing Transfer Project</u>		
Objects of Expense		
5000 CAPITAL EXPENDITURES	672,200	25,400
Subtotal OOE, Project 5	672,200	25,400
Type of Financing		
CA 1 General Revenue Fund	672,200	25,400
Subtotal TOF, Project 5	672,200	25,400
Subtotal Category 5005	904,850	203,050
AGENCY TOTAL	904,850	203,050
METHOD OF FINANCING:		
1 General Revenue Fund	904,850	203,050
Total, Method of Financing	904,850	203,050
TYPE OF FINANCING:		
CA CURRENT APPROPRIATIONS	904,850	203,050
Total, Type of Financing	904,850	203,050

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
5005 Acquisition of Information Resource Technologies					
1 Computer Upgrade					
OOE					
Informational					
1-1-1 LICENSE, REGISTER AND CERTIFY					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	82,812	83,608	82,812	83,608
TOTAL, OOE's		\$82,812	\$83,608	82,812	83,608
MOF					
GENERAL REVENUE FUNDS					
Informational					
1-1-1 LICENSE, REGISTER AND CERTIFY					
<u>General Budget</u>					
1	General Revenue Fund	82,812	83,608	82,812	83,608
TOTAL, GENERAL REVENUE FUNDS		\$82,812	\$83,608	82,812	83,608
TOTAL, MOF's		\$82,812	\$83,608	82,812	83,608

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
4 Gartner IT Security					
OOE					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	0	0	0	0
5000	CAPITAL EXPENDITURES	590,000	0	0	0
TOTAL, OOE's		\$590,000	\$0	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	590,000	0	0	0
TOTAL, GENERAL REVENUE FUNDS		\$590,000	\$0	0	0
TOTAL, MOFs		\$590,000	\$0	0	0

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
5 SB 202 Licensing Transfer Project					
OOE					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
5000	CAPITAL EXPENDITURES	932,350	381,000	0	0
TOTAL, OOE's		\$932,350	\$381,000	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	932,350	381,000	0	0
TOTAL, GENERAL REVENUE FUNDS		\$932,350	\$381,000	0	0
TOTAL, MOF's		\$932,350	\$381,000	0	0

7000 Data Center Consolidation

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
2 Data Center Consolidation					
OOE					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	762,270	854,439	1,142,850	980,918
TOTAL, OOE's		\$762,270	\$854,439	1,142,850	980,918
MOF					
GENERAL REVENUE FUNDS					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	762,270	854,439	1,142,850	980,918
TOTAL, GENERAL REVENUE FUNDS		\$762,270	\$854,439	1,142,850	980,918
TOTAL, MOFs		\$762,270	\$854,439	1,142,850	980,918

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2016	Bud 2017	BL 2018	BL 2019
3 CAPPS Deployment					
OOE					
Informational					
3-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					
1001	SALARIES AND WAGES	51,614	52,800	0	52,800
1002	OTHER PERSONNEL COSTS	5,287	1,680	0	1,680
2001	PROFESSIONAL FEES AND SERVICES	18	0	0	18
2006	RENT - BUILDING	2,700	0	0	2,700
2007	RENT - MACHINE AND OTHER	60	0	0	60
2009	OTHER OPERATING EXPENSE	21,879	74	0	24,300
TOTAL, OOE's		\$81,558	\$54,554	0	81,558
MOF					
GENERAL REVENUE FUNDS					
Informational					
3-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	81,558	54,554	0	81,558
TOTAL, GENERAL REVENUE FUNDS		\$81,558	\$54,554	0	81,558
TOTAL, MOFs		\$81,558	\$54,554	0	81,558

452 Department of Licensing and Regulation

		Est 2016	Bud 2017	BL 2018	BL 2019
CAPITAL					
<u>General Budget</u>					
GENERAL REVENUE FUNDS		\$2,284,620	\$1,235,439	1,142,850	980,918
TOTAL, GENERAL BUDGET		2,284,620	1,235,439	1,142,850	980,918
INFORMATIONAL					
<u>General Budget</u>					
GENERAL REVENUE FUNDS		\$164,370	\$138,162	82,812	165,166
TOTAL, GENERAL BUDGET		164,370	138,162	82,812	165,166
TOTAL, ALL PROJECTS		\$2,448,990	\$1,373,601	1,225,662	1,146,084

6.A. Historically Underutilized Business Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/19/2016**
 Time: **5:27:35PM**

Agency Code: **452** Agency: **Department of Licensing and Regulation**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2014 - 2015 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2014			Total Expenditures FY 2014		HUB Expenditures FY 2015			Total Expenditures FY 2015
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	FY 2015	
32.9%	Special Trade	32.7 %	0.0%	-32.7%	\$0	\$12,566	32.9 %	0.0%	-32.9%	\$0	\$1,368
23.7%	Professional Services	23.6 %	100.0%	76.4%	\$48,392	\$48,392	23.7 %	100.0%	76.3%	\$30,354	\$30,354
26.0%	Other Services	24.6 %	5.8%	-18.8%	\$64,241	\$1,102,534	26.0 %	3.7%	-22.3%	\$52,710	\$1,424,082
21.1%	Commodities	21.0 %	34.3%	13.3%	\$238,552	\$695,503	21.1 %	8.4%	-12.7%	\$40,039	\$478,201
	Total Expenditures		18.9%		\$351,185	\$1,858,995		6.4%		\$123,103	\$1,934,005

B. Assessment of Fiscal Year 2014 - 2015 Efforts to Meet HUB Procurement Goals

Attainment:

TDLR attained or exceeded two of four, or 50%, of the applicable agency HUB procurement goals in FY 2014. The agency attained or exceeded one of four, or 25%, of the applicable agency HUB procurement goals in FY 2015. TDLR, when compared to the state as a whole, exceeded the percentages reported for HUB spending by 6.31% in Fiscal Year 2014, but was under by 5.6% in Fiscal Year 2015.

Applicability:

The Heavy Construction and Building Construction categories were not applicable to the agency's operations in fiscal years 2014 and 2015. No expenditures were recorded by the agency for these categories.

Factors Affecting Attainment:

As the agency continues to grow in size with the addition of new programs to regulate, it becomes increasingly difficult to find HUB vendors for its expanding needs such as phones and phone service, network software and maintenance, etc. in the Other Services category.

"Good-Faith" Efforts:

The following efforts demonstrate TDLR's commitment to offering contracting opportunities to all Texans:

- 1) The Deputy Executive Director continues to serve as the agency's HUB Coordinator.
- 2) Contract specifications were written to reflect actual agency requirements and did not impose unreasonable or unnecessary contract requirements.
- 3) TDLR has utilized TPASS's HUB Directory and adopted TPASS's HUB Rules.
- 4) The agency has required that purchases from HUB vendors be made throughout all categories, ensuring that wherever possible, all underutilized groups were represented in our purchasing practices.
- 5) TDLR purchasing personnel attended forums and business fairs sponsored by the TPASS HUB Program and distributed TDLR purchasing information to

6.A. Historically Underutilized Business Supporting Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **8/19/2016**
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Agency Code: **452** Agency: **Department of Licensing and Regulation**

interested HUB vendors.

6) After noting the dismal figures for FY 2015, corrective action was taken. Midway through FY 2016 HUB expenditures for Other Services were 7.73% and for Commodity Purchasing were 35.12%.

6.B. Current Biennium Onetime Expenditure Schedule

Agency Code:	Agency Name:	Prepared By:	Date:	
452	Texas Department of Licensing and Regulation	Jerry Daniels	8/11/2016	
Item	2016-17 Est/Bud		2018-19 Baseline Request	
	Amount	MOF	Amount	MOF
Interagency Transfer to DSHS for SB202 Services	\$614,850	0001		
Code Repository Implementation	\$49,865	0001		
Versa Implementation Services	\$680,000	0001		
Versa Base Licenses	\$119,900	0001	\$30,000	
3.1.2. Maintenance				
Network Firewall Solution	\$125,300	0001	\$74,000	
3.1.2. Maintenance				
Network Management System	\$50,000	0001	\$13,200	
3.1.2. Maintenance				
Systems Management Appliance	\$50,000	0001	\$10,000	
3.1.2. Maintenance				
Code Vulnerability Scanning Tool	\$50,000	0001	\$10,000	
3.1.2. Maintenance				

6.E. Estimated Revenue Collections Supporting Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2015	Exp 2016	Exp 2017	Bud 2018	Est 2019
<u>1</u> General Revenue Fund					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3030 Com'l Driver Training Sch Fees	0	1,412,364	1,410,000	1,410,000	1,410,000
3035 Commercial Transportation Fees	5,277,817	5,444,201	5,444,201	5,444,201	5,444,201
3146 Boxing Admissions Tax	820,931	544,453	682,692	682,692	682,692
3147 Boxing & Wrestling Licenses	170,770	148,756	159,763	159,763	159,763
3160 Mfg/Ind Housing Reg Fees	238,750	260,682	252,429	252,429	252,429
3161 Mfg/Ind Housing Inspect Fees	348,730	295,443	322,086	322,086	322,086
3163 Penalties Mfg/Ind Housing Violation	4,000	20,200	4,000	4,000	4,000
3164 Boiler Inspection Fees	2,680,955	3,342,213	3,342,213	3,342,213	3,342,213
3175 Professional Fees	18,087,406	18,744,584	19,432,267	19,626,590	19,626,590
3366 Business Fees-Natural Resources	503,361	490,670	497,016	488,848	488,848
3560 Medical Exam & Registration	0	0	69,080	69,080	69,080
3562 Health Related Profession Fees	0	0	1,718,215	1,718,215	1,718,215
3727 Fees - Administrative Services	4,135,286	4,223,975	4,179,630	4,179,630	4,179,630
3770 Administratve Penalties	2,953,020	3,075,370	2,950,000	2,950,000	2,950,000
Subtotal: Actual/Estimated Revenue	35,221,026	38,002,911	40,463,592	40,649,747	40,649,747
Total Available	\$35,221,026	\$38,002,911	\$40,463,592	\$40,649,747	\$40,649,747
DEDUCTIONS:					
Expended/Budgeted/Requested	(24,277,911)	(29,566,259)	(28,618,301)	(27,927,589)	(27,927,589)
Transfer-Employee Benefits	(5,074,580)	(6,988,605)	(7,204,374)	(7,204,374)	(7,204,374)
Unemployment Benefits	(10,000)	(6,012)	(8,010)	(8,010)	(8,010)
Total, Deductions	\$(29,362,491)	\$(36,560,876)	\$(35,830,685)	\$(35,139,973)	\$(35,139,973)
Ending Fund/Account Balance	\$5,858,535	\$1,442,035	\$4,632,907	\$5,509,774	\$5,509,774

REVENUE ASSUMPTIONS:

Except for a minimal increase in Professional Fees (3175), revenues are estimated to be flat for the 2018-19 biennium.

6.E. Estimated Revenue Collections Supporting Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2015	Exp 2016	Exp 2017	Bud 2018	Est 2019
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CONTACT PERSON:

Jerald A. Daniels

6.E. Estimated Revenue Collections Supporting Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2015	Exp 2016	Exp 2017	Bud 2018	Est 2019
<u>666</u> Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3164 Boiler Inspection Fees	95,800	43,400	0	0	0
3719 Fees/Copies or Filing of Records	197,341	190,000	190,000	190,000	190,000
3740 Grants/Donations	7,447	5,000	5,000	5,000	5,000
3752 Sale of Publications/Advertising	2,194,506	4,102,500	4,102,500	4,102,500	4,102,500
3802 Reimbursements-Third Party	42,729	37,500	37,500	37,500	37,500
Subtotal: Actual/Estimated Revenue	2,537,823	4,378,400	4,335,000	4,335,000	4,335,000
Total Available	\$2,537,823	\$4,378,400	\$4,335,000	\$4,335,000	\$4,335,000
DEDUCTIONS:					
Expended/Budgeted/Requested	(2,537,823)	(4,378,400)	(4,335,000)	(4,335,000)	(4,335,000)
Total, Deductions	\$(2,537,823)	\$(4,378,400)	\$(4,335,000)	\$(4,335,000)	\$(4,335,000)
Ending Fund/Account Balance	\$0	\$0	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

Revenues are estimated to be flat for the 2018-19 biennium with no increase in fees.

CONTACT PERSON:

Jerald A. Daniels

6.E. Estimated Revenue Collections Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2015	Exp 2016	Exp 2017	Bud 2018	Est 2019
898 Auction Educ & Rec Trust					
Beginning Balance (Unencumbered):	\$343,112	\$412,862	\$392,661	\$392,661	\$392,661
Estimated Revenue:					
3175 Professional Fees	99,500	51,850	30,000	30,000	30,000
3802 Reimbursements-Third Party	300	180	0	0	0
3851 Interest on St Deposits & Treas Inv	1,444	2,512	1,900	1,900	1,900
Subtotal: Actual/Estimated Revenue	101,244	54,542	31,900	31,900	31,900
Total Available	\$444,356	\$467,404	\$424,561	\$424,561	\$424,561
DEDUCTIONS:					
Expended/Budgeted/Requested	(31,494)	(74,743)	(31,900)	(31,900)	(31,900)
Total, Deductions	\$(31,494)	\$(74,743)	\$(31,900)	\$(31,900)	\$(31,900)
Ending Fund/Account Balance	\$412,862	\$392,661	\$392,661	\$392,661	\$392,661

REVENUE ASSUMPTIONS:

Revenues are estimated to be flat for the 2018-19 biennium with no increase in fees.

CONTACT PERSON:

Jerald A. Daniels

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
 Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

ARCHITECTURAL BARRIERS ADVISORY COM

Statutory Authorization: Government Code §469.053
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1991
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$300	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	0	4,950	4,950	4,950	4,950
Total, Committee Expenditures	\$300	\$8,764	\$9,482	\$9,482	\$9,482
Method of Financing					
General Revenue Fund	\$300	\$8,764	\$9,482	\$9,482	\$9,482
Total, Method of Financing	\$300	\$8,764	\$9,482	\$9,482	\$9,482
Meetings Per Fiscal Year	0	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Elimination of Architectural Barriers (AB) Advisory Committee is established by Chapter 469, Government Code, to advise the Texas Commission of Licensing and Regulation on proposed rules, procedures and standards relating to the AB program and recommends changes as appropriate. The Committee's review and advice on rules, procedures and accessibility standards is vital to ensuring accessibility in the State of Texas.

The nine member committee consists of four building professionals and five persons with disabilities who are familiar with architectural barrier problems and solutions. Members serve three-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. Without this Committee, the agency would have difficulty assessing the broad constituency represented by the Committee's membership. Insight into the needs of persons with disabilities and problems inherent in building design and construction is essential to carry out the intentions of the Act.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
 Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

AUCTIONEER EDUCATION ADVISORY BOARD

Statutory Authorization: Occupations Code, §§1802.101-109
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 09/01/1991
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,452	\$7,331	\$8,761	\$8,761	\$8,761
OTHER OPERATING	3,850	7,700	7,700	7,700	7,700
Total, Committee Expenditures	\$8,302	\$15,031	\$16,461	\$16,461	\$16,461
Method of Financing					
General Revenue Fund	\$8,302	\$15,031	\$16,461	\$16,461	\$16,461
Total, Method of Financing	\$8,302	\$15,031	\$16,461	\$16,461	\$16,461
Meetings Per Fiscal Year	2	4	4	4	4

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Auctioneer Advisory Board is established by Chapter 1802, Occupations Code, to advise the Texas Commission of Licensing and Regulation on educational matters, operational matters, and common practices within the auction industry, and on matters relating to the use of the Auctioneer Education Recovery Fund. The Auctioneer Education Recovery Fund is a trust fund with the comptroller for the payment of claims against auctioneers licensed under this chapter. The advisory board advises the commission on funding matters relating to specific classes, seminars, or events for the education and advancement of the auctioneering profession in this state.

The seven member board consists of four members who are licensed auctioneers appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the Commission's approval; the administrative head, or the administrative head's designee, of any state agency or office that is selected by the Commission; and two public members. In appointing advisory board members under Subsection (a)(1), the Chairman of the Commission shall consider the geographical diversity of the members. Members serve two-year terms. The Board has been effective in providing advice on the distribution of grant funding. The Department and the Commission rely on the Board for industry knowledge. The assistance of the Board is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
 Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

BOARD OF BOILER RULES

Statutory Authorization: Health & Safety Code, §§755.011-018
 Number of Members: 11
 Committee Status: Ongoing
 Date Created: 09/01/1977
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$6,525	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	9,075	6,050	6,050	6,050	6,050
Total, Committee Expenditures	\$15,600	\$9,864	\$10,582	\$10,582	\$10,582
Method of Financing					
General Revenue Fund	\$15,600	\$9,864	\$10,582	\$10,582	\$10,582
Total, Method of Financing	\$15,600	\$9,864	\$10,582	\$10,582	\$10,582
Meetings Per Fiscal Year	3	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Board of Boiler Rules is established by Chapter 755, Health and Safety Code, to advise the Texas Commission of Licensing and Regulation on adopting rules and definitions relating to the safe construction, installation, inspection, operating limits, alterations and repair of boilers and appurtenances. The Board also makes fee recommendations to the Commission. These functions are essential to the agency's goal of ensuring continued safe operation of boilers in Texas.

The eleven member board is presided over by TDLR's Chief Boiler Inspector, as the Executive Director's designee, and also includes three owners or users of boilers, three representatives of insurers of boilers, one manufacturer or installer of boilers, one representative of organizations that repair or alter boilers, one representative of a labor union and two public members added by the 81st Legislature through HB 2548. Members serve six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Executive Director serves as an ex officio member of the Board. The Board provides highly technical expertise and gives advice from several viewpoints not connected with the Department and is essential to ensuring the safe operation of boilers in the State of Texas.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

ELEVATOR ADVISORY BOARD

Statutory Authorization: Texas Health & Safety Code, §§754.012-013
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1993
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,452	\$2,057	\$2,416	\$2,416	\$2,416
OTHER OPERATING	6,050	3,025	3,025	3,025	3,025
Total, Committee Expenditures	\$10,502	\$5,082	\$5,441	\$5,441	\$5,441
Method of Financing					
General Revenue Fund	\$10,502	\$5,082	\$5,441	\$5,441	\$5,441
Total, Method of Financing	\$10,502	\$5,082	\$5,441	\$5,441	\$5,441
Meetings Per Fiscal Year	2	1	1	1	1

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Elevator Advisory Board is established by Chapter 754, Health and Safety Code, to advise the Texas Commission of Licensing and Regulation on the adoption of appropriate standards for the installation, alteration, operation, and inspection of elevators, escalators, and related equipment in Texas. These functions are essential to the agency's goal of ensuring the safety of people in Texas who ride or work on elevators, escalators, and related equipment.

The nine member Board consists of one insurance industry representative or registered elevator inspector; one equipment constructor representative; two representatives of building owners or managers, one having a building with less than six stories and having equipment, and one having a building of six or more stories and having equipment; one equipment maintenance company representative; one equipment manufacturer representative; one licensed or registered engineer or architect; one public member with a physical disability; and one public member. Members serve three-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. This comprehensive mix provides the agency a balance of industry perspectives and consumer interests. The Board's viewpoints and expertise regarding technical issues are crucial for the Department to formulate policies and procedures, adopt appropriate standards, and enhance safety of equipment.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: 452 Agency: Department of Licensing and Regulation

PROPERTY TAX CONSULTANTS ADVISORY COUNCIL

Statutory Authorization: Occupations Code, §§1152.101-108
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 08/01/1991
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$0	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	0	3,850	3,850	3,850	3,850
Total, Committee Expenditures	\$0	\$7,664	\$8,382	\$8,382	\$8,382
Method of Financing					
General Revenue Fund	\$0	\$7,664	\$8,382	\$8,382	\$8,382
Total, Method of Financing	\$0	\$7,664	\$8,382	\$8,382	\$8,382
Meetings Per Fiscal Year	0	2	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Property Tax Consultants Advisory Council is established by Chapter 1152, Occupations Code, to make recommendations to the Executive Director concerning standards of practice, conduct and ethics for registrants, fees, examination content, standards of acceptable performance for senior property tax consultants, recognition of continuing education programs and courses, and establishing education requirements for initial applicants.

The seven member council consists of six registered senior property tax consultants with experience and memberships outlined in §1152.102 of the Occupations Code, and one public member added by the 81st Legislature through HB 2548. Members serve staggered three-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The advisory board advises on the education of registrants, technical standards of appraisal and property tax appeals, the tax code, and issues of public finance.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

WATER WELL DRILLERS ADVISORY COUNCIL

Statutory Authorization: Occupations Code, §§1901.101-109
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1992
 Date to Be Abolished: 09/01/2024

 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,452	\$2,057	\$2,416	\$2,416	\$2,416
OTHER OPERATING (INCLUDING TRAVEL)	4,950	2,475	2,475	2,475	2,475
Total, Committee Expenditures	\$9,402	\$4,532	\$4,891	\$4,891	\$4,891
Method of Financing					
General Revenue Fund	\$9,402	\$4,532	\$4,891	\$4,891	\$4,891
Total, Method of Financing	\$9,402	\$4,532	\$4,891	\$4,891	\$4,891
Meetings Per Fiscal Year	2	1	1	1	1

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Water Well Drillers Advisory Council is established by Chapter 1901, Occupations Code, to advise Texas Commission of Licensing and Regulation and the Department of the contents of the licensing examination, assists the Department in the evaluation of continuing education programs, recommends standards relating to the qualifications of continuing education providers, topics, and instructors and recommends rules for adoption and changes in program fees.

The nine member Council consists of six licensed drillers experienced in water well drilling, completion, and plugging methods and techniques, and three public members. One member is selected from the state at large and the other five must be selected, one each, from the following geographic regions: Gulf Coast; Trans-Pecos; Central Texas; Northeast Texas; and the Panhandle-South Plains. Members serve six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The technical expertise of the Council members is invaluable to the Department and to the Commission. Without the Council, the Department would be forced to pay outside experts or hire additional staff.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

INDUSTRIALIZED BUILDING CODE COUNCIL

Statutory Authorization: Occupations Code, §§1202.051-057
 Number of Members: 12
 Committee Status: Ongoing
 Date Created: 09/01/1985
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,376	\$2,057	\$2,416	\$2,416	\$2,416
OTHER OPERATING	3,300	3,300	3,300	3,300	3,300
Total, Committee Expenditures	\$5,676	\$5,357	\$5,716	\$5,716	\$5,716
Method of Financing					
General Revenue Fund	\$5,676	\$5,357	\$5,716	\$5,716	\$5,716
Total, Method of Financing	\$5,676	\$5,357	\$5,716	\$5,716	\$5,716
Meetings Per Fiscal Year	1	1	1	1	1

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Industrialized Building Code Council is established by Chapter 1202, Occupations Code, to direct the Texas Commission of Licensing and Regulation in matters related to state building codes. The Council is a decision-making body for adopting state codes which ensure designs, plans, specifications, construction and siting of industrial housing and buildings meet mandatory codes and construction methods. The council establishes criteria for approval of third-party inspectors and design review agencies, and provides expertise on enforcement of building codes and construction methods.

The twelve member council consists of three building officials from municipalities with a population of more than 25,000; three members who represent the industrialized housing and building industries; three general contractors who construct housing or buildings on-site; one licensed structural engineer; one licensed electrical engineer; and one registered architect. Members serve staggered two-year terms and are appointed by the Governor with the consent of the Senate. If abolished, the Department would lose expertise in engineering, building code enforcement and manufacturing and would be required to hire additional technical staff.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: 452 Agency: Department of Licensing and Regulation

WEATHER MODIFICATION ADVISORY COMMITTEE

Statutory Authorization: Texas Agriculture Code, §301.053
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/1967
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,376	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	2,475	4,950	4,950	4,950	4,950
Total, Committee Expenditures	\$4,851	\$8,764	\$9,482	\$9,482	\$9,482
Method of Financing					
General Revenue Fund	\$4,851	\$8,764	\$9,482	\$9,482	\$9,482
Total, Method of Financing	\$4,851	\$8,764	\$9,482	\$9,482	\$9,482
Meetings Per Fiscal Year	1	2	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Weather Modification Advisory Committee is established by Chapter 301, Agriculture Code, to advise the Texas Commission of Licensing and Regulation and the Department and makes recommendations concerning legislation, policies, administration, research, and other matters related to the Department's duties, powers, or functions under the Weather Modification program.

The Committee consists of five members appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Department relies on the Committee for advice and assistance in the development of rules and standards, and for technical expertise in administering the Weather Modification Program. Because the staffing resources that the Department can allocate to this program are very limited, the advice and assistance of the committee is critical to the success of the program.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

ELECTRICAL SAFETY & LICENSING ADV

Statutory Authorization: Occupations Code, §§1305.051-055
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2003
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,376	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	3,025	6,050	6,050	6,050	6,050
Total, Committee Expenditures	\$5,401	\$9,864	\$10,582	\$10,582	\$10,582
Method of Financing					
General Revenue Fund	\$5,401	\$9,864	\$10,582	\$10,582	\$10,582
Total, Method of Financing	\$5,401	\$9,864	\$10,582	\$10,582	\$10,582
Meetings Per Fiscal Year	1	2	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Electrical Safety and Licensing Advisory Board is established by Chapter 1305, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Electrical Safety Program. The Board's technical input on examinations, applicant qualifications, and the industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules and standards.

The nine member board consists of three master electricians, three journeyman electricians, one master sign electrician, and two public members. Two of these members are affiliated with a statewide association of electrical contractors not affiliated with a labor organization; three members are affiliated with a labor organization; one member who is not affiliated with a statewide association of electrical contractors or with a labor organization; one member who is affiliated with a historically underutilized business; and one public member who is a building contractor principally engaged in home construction and is a member of a statewide building trade association. HB 1503 of the 83rd Legislature amended a public member designation to require a contractor engaged in home construction be added. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

MEDICAL ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §2052.055
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 01/01/2004
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,376	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	1,925	3,850	3,850	3,850	3,850
Total, Committee Expenditures	\$4,301	\$7,664	\$8,382	\$8,382	\$8,382
Method of Financing					
General Revenue Fund	\$4,301	\$7,664	\$8,382	\$8,382	\$8,382
Total, Method of Financing	\$4,301	\$7,664	\$8,382	\$8,382	\$8,382
Meetings Per Fiscal Year	1	2	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Combative Sports Advisory Board is established by Chapter 2052, Occupations Code, to advise the Texas Commission of Licensing and Regulation on health and safety matters related to combative sports, including physical tests for contestants. The Combative Sports Advisory Board provides the department with professional medical advice on combative sport contestants' health issues and offers expertise and recommendations on proposed rules and combative sports safety procedures. Without the medically trained professionals staff would have to hire experts in trauma, head injury, neurology and other medical specialties.

The nine member board consists of four physicians, one representative of a boxing promoter, one representative of a mixed martial arts promoter, one combative sports referee or judge licensed at least three years, one former combative sports contestant, and one public member. Members holding a position that requires a license must be licensed by the State of Texas and be in and remain in good standing for the balance of the term. Advisory board members serve terms of six years, with the terms of two or three members, expiring on February 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

ADVISORY BOARD ON BARBERING

Statutory Authorization: Occupations Code, §§1601.051, .055, .58-.59
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2005
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,452	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	2,750	2,750	2,750	2,750	2,750
Total, Committee Expenditures	\$7,202	\$6,564	\$7,282	\$7,282	\$7,282
Method of Financing					
General Revenue Fund	\$7,202	\$6,564	\$7,282	\$7,282	\$7,282
Total, Method of Financing	\$7,202	\$6,564	\$7,282	\$7,282	\$7,282
Meetings Per Fiscal Year	2	2	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Advisory Board on Barbering is established by Chapter 1601, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Barbering Program. The Board provides technical input on examinations and applicant qualifications. The industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules, and health and safety standards.

The five member board consists of two members who are engaged in the practice of barbering as a Class A barber and do not hold a barbershop permit, two members who are barbershop owners and hold a barbershop permit, and one member who holds a permit to conduct or operate a barber school. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

ADVISORY BOARD ON COSMETOLOGY

Statutory Authorization: Occupations Code, §§1602.051-060
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2005
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$4,452	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	5,500	5,500	5,500	5,500	5,500
Total, Committee Expenditures	\$9,952	\$9,314	\$10,032	\$10,032	\$10,032
Method of Financing					
General Revenue Fund	\$9,952	\$9,314	\$10,032	\$10,032	\$10,032
Total, Method of Financing	\$9,952	\$9,314	\$10,032	\$10,032	\$10,032
Meetings Per Fiscal Year	2	2	2	2	2

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Description and Justification for Continuation/Consequences of Abolishing

The Advisory Board on Cosmetology is established by Chapter 1602, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Cosmetology Program. The Board provides technical input on examinations and applicant qualifications. The industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules and health and safety standards.

The nine member board consists of one member who holds a license for a beauty shop that is part of a chain of beauty shops, one member who holds a license for a beauty shop that is not part of a chain of beauty shops; one member who holds a private beauty culture school license; two members who each hold an operator license; one member who represents a licensed public secondary or post secondary beauty culture school; one member who represents a licensed public secondary beauty culture school; and two public members. The associate commissioner for occupational education and technology of the Texas Education Agency or the associate commissioner's designee shall serve as an ex officio member of the board without voting privileges. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

TOWING, STORAGE AND BOOTING ADVISORY BOARD

Statutory Authorization: Occupations Code, §§2308.051-061
 Number of Members: 10
 Committee Status: Ongoing
 Date Created: 09/01/2007
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$6,525	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	8,250	5,500	5,500	5,500	5,500
Total, Committee Expenditures	\$14,775	\$9,314	\$10,032	\$10,032	\$10,032
Method of Financing					
General Revenue Fund	\$14,775	\$9,314	\$10,032	\$10,032	\$10,032
Total, Method of Financing	\$14,775	\$9,314	\$10,032	\$10,032	\$10,032
Meetings Per Fiscal Year	3	2	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Towing, Storage and Booting Advisory Board is established by Chapter 2308, Occupations Code, to advise the Texas Commission of Licensing and Regulation on matters relating to vehicle storage, towing and booting. The board provides advice on proposed rules and its input is vital to the successful regulation of this program in Texas. Without this Advisory Board, the agency would be forced to acquire an industry knowledge base through additional staffing.

The Board consists of ten members: two representing towing companies; two representing vehicle storage facilities; one representative of a booting company; two law enforcement officers; one parking facility owner; one representative of the insurance industry; and one public member. Members are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

USED AUTOMOTIVE PARTS RECYCLING ADVISORY BOARD

Statutory Authorization: Occupations Code, §§2309.051-056
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$300	\$3,814	\$4,532	\$4,532	\$4,532
OTHER OPERATING	0	2,750	2,750	2,750	2,750
Total, Committee Expenditures	\$300	\$6,564	\$7,282	\$7,282	\$7,282
Method of Financing					
General Revenue Fund	\$300	\$6,564	\$7,282	\$7,282	\$7,282
Total, Method of Financing	\$300	\$6,564	\$7,282	\$7,282	\$7,282
Meetings Per Fiscal Year	0	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Used Automotive Parts Recycling Advisory Board is established by Chapter 2309, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation concerning technical matters relevant to the administration and enforcement of the program. Without this Advisory Board, the agency will be forced to acquire an industry knowledge base through additional staffing.

The Board consists of five members who are used automotive parts recyclers, one of whom owns the business as a foreign entity. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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 Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

POLYGRAPH ADVISORY COMMITTEE

Statutory Authorization: OCC Code, Ch. §§1703.051,.054,.055,.058
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$2,376	\$2,057	\$2,416	\$2,416	\$2,416
OTHER OPERATING	1,375	1,375	1,375	1,375	1,375
Total, Committee Expenditures	\$3,751	\$3,432	\$3,791	\$3,791	\$3,791
Method of Financing					
General Revenue Fund	\$3,751	\$3,432	\$3,791	\$3,791	\$3,791
Total, Method of Financing	\$3,751	\$3,432	\$3,791	\$3,791	\$3,791
Meetings Per Fiscal Year	1	1	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Polygraph Advisory Committee is established by Chapter 1703, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation and the Department concerning educational requirements to become a polygraph examiner, the contents of licensing examinations, technical issues related to polygraph exams, and the administration of the program.

The five member committee consists of two polygraph examiner members who are qualified polygraph examiners for a governmental law enforcement agency; two polygraph examiner members who are qualified polygraph examiners in the commercial field; and one member who represents the public. Members are appointed by the presiding officer of the Texas Commission of Licensing and Regulation with the consent of the Commission. The Department relies on the committee for advice and assistance in development of rules and standards and for technical expertise in administering the Polygraph Examination program. Because the staffing resources that the Department can allocate to the program are very limited, the committee's advice and assistance is critical to the success of the program.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

TEXAS TAX PROFESSIONALS ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, Chapter 1151.051-055
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$0	\$2,057	\$2,416	\$2,416	\$2,416
OTHER OPERATING	0	1,925	1,925	1,925	1,925
Total, Committee Expenditures	\$0	\$3,982	\$4,341	\$4,341	\$4,341
Method of Financing					
General Revenue Fund	\$0	\$3,982	\$4,341	\$4,341	\$4,341
Total, Method of Financing	\$0	\$3,982	\$4,341	\$4,341	\$4,341
Meetings Per Fiscal Year	0	1	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Texas Tax Professional Advisory Committee was established by Chapter 1151, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation concerning the education of registrants, technical standards of appraisal, processes of tax appraisal, tax assessing collecting, tax collecting, the tax code, and issues of public finance.

The seven member Committee consists of two members who are certified under this chapter as registered professional appraisers; two members who are certified under this chapter as registered Texas collectors or registered Texas assessors; and three members who represent the public. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: 452 Agency: Department of Licensing and Regulation

LICENSED BREEDERS ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §802.065
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2011
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
PERSONNEL	\$0	\$2,057	\$2,416	\$2,416	\$2,416
OTHER OPERATING	0	2,475	2,475	2,475	2,475
Total, Committee Expenditures	\$0	\$4,532	\$4,891	\$4,891	\$4,891
Method of Financing					
General Revenue Fund	\$0	\$4,532	\$4,891	\$4,891	\$4,891
Total, Method of Financing	\$0	\$4,532	\$4,891	\$4,891	\$4,891
Meetings Per Fiscal Year	0	1	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Licensed Breeders Advisory Committee is established by Chapter 802, Occupations Code, to advise the Texas Commission of Licensing and Regulation and make recommendations on matters related to the administration and enforcement of this chapter, including licensing fees and standards. Without this Advisory Board, the agency would be forced to acquire an industry knowledge base through additional staffing.

The nine member committee consists of two members who are licensed breeders; two members who are veterinarians; two members who represent animal welfare organizations each of which has an office based in this state; two members who represent the public; and one member who is an animal control officer as defined in Section 829.001, Health and Safety Code. Members serve staggered four-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Committee's viewpoint and input regarding matters related to breeding is invaluable to the Department and would require additional staff if the Committee was abolished.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

ATHLETIC TRAINERS ADVISORY BOARD

Statutory Authorization: Occupations Code, §§451.051-056
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
Personnel	\$2,376	\$5,571	\$4,532	\$4,532	\$4,532
Other Operating	1,375	4,125	2,750	2,750	2,750
Total, Committee Expenditures	\$3,751	\$9,696	\$7,282	\$7,282	\$7,282
Method of Financing					
General Revenue Fund	\$3,751	\$9,696	\$7,282	\$7,282	\$7,282
Total, Method of Financing	\$3,751	\$9,696	\$7,282	\$7,282	\$7,282
Meetings Per Fiscal Year	1	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Advisory Board of Athletic Trainers is established by Chapter 451, Occupations Code, to advise the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The five member board consists of three members who are athletic trainers; and two members who represent the public. Members of the board must be citizens of the United States and residents of this state for the five years preceding appointment. Members serve staggered six-year terms with the terms of one or two members expiring on January 31 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

DIETITIANS ADVISORY BOARD

Statutory Authorization: OCC Code, §§701.051,.0511,.054,.057-058
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
Personnel	\$2,376	\$5,571	\$4,532	\$4,532	\$4,532
Other Operating	2,475	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Method of Financing					
General Revenue Fund	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Total, Method of Financing	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Meetings Per Fiscal Year	1	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Dietitians Advisory Board is established by Chapter 701, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules, procedures and standards of care is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of six licensed dietitian members, each of whom has been licensed under Chapter 701 for not less than three years before the member's date of appointment; and three members who represent the public. The dietitian members represent the following areas of expertise: clinical, educational, management, consultation, and community. Members of the advisory board serve staggered six-year terms. The terms of three members begin on September 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

DRIVER TRAINING AND TRAFFIC SAFETY ADVISORY COMMITTEE

Statutory Authorization: Education Code, §1001.058
 Number of Members: 11
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
Personnel	\$2,376	\$7,331	\$4,532	\$4,532	\$4,532
Other Operating	3,025	12,100	6,050	6,050	6,050
Total, Committee Expenditures	\$5,401	\$19,431	\$10,582	\$10,582	\$10,582
Method of Financing					
General Revenue Fund	\$5,401	\$19,431	\$10,582	\$10,582	\$10,582
Total, Method of Financing	\$5,401	\$19,431	\$10,582	\$10,582	\$10,582
Meetings Per Fiscal Year	1	4	2	2	2

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Agency Code: 452 Agency: Department of Licensing and Regulation

Description and Justification for Continuation/Consequences of Abolishing

The Driver Training and Traffic Safety Advisory Committee is established by Chapter 1001, Education Code, to advise the Texas Commission of Licensing and Regulation and the department on rules and educational and technical matters relevant to the administration of this chapter. The Committee's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The eleven member committee consists of: one member representing a driver education school that offers a traditional classroom course and in-car training; one member representing a driver education school that offers a traditional classroom course, alternative methods of instruction, or in-car training; one member representing a driving safety school offering a traditional classroom course or providing an alternative method of instruction; one member representing a driving safety course provider approved for a traditional classroom course and for an alternative method of instruction; one member representing a driving safety course provider approved for a traditional classroom course or for an alternative method of instruction; one licensed instructor; one representative of the Department of Public Safety; one member representing a drug and alcohol driving awareness program course provider; one member representing a parent-taught course provider; and two members representing the public. Members serves staggered six-year terms.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

DYSLEXIA THERAPISTS AND PRACTITIONERS ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §§403.051, .0511, .052
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 04/15/2016
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
Personnel	\$0	\$3,814	\$4,532	\$4,532	\$4,532
Other Operating	0	2,750	2,750	2,750	2,750
Total, Committee Expenditures	\$0	\$6,564	\$7,282	\$7,282	\$7,282
Method of Financing					
General Revenue Fund	\$0	\$6,564	\$7,282	\$7,282	\$7,282
Total, Method of Financing	\$0	\$6,564	\$7,282	\$7,282	\$7,282
Meetings Per Fiscal Year	0	2	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Dyslexia Therapists and Practitioners Advisory Committee is established by Chapter 403, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter. The committee shall advise the department regarding rules relating to the licensure and regulation of dyslexia therapists and dyslexia practitioners, including continuing education requirements and the approved examination for licensure. The Committee's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The five member committee consists of two dyslexia therapists licensed under the Act, one dyslexia practitioner licensed under the Act and two consumer or public members, one of whom must be a person with dyslexia or the parent of a person with dyslexia. Members serve staggered six year terms which expire on December 31st of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

HEARING INSTRUMENT FITTERS AND DISPENSERS ADVISORY BOARD

Statutory Authorization: OCC Code, §§402.051, .0511,.055,.057-058
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
Personnel	\$2,376	\$5,571	\$4,532	\$4,532	\$4,532
Other Operating	2,475	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Method of Financing					
General Revenue Fund	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Total, Method of Financing	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Meetings Per Fiscal Year	1	3	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Hearing Instrument Fitters and Dispensers Advisory Board is established by Chapter 402, Occupations Code, to advise and make recommendations to the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of six members licensed under this chapter who have been residents of this state actually engaged in fitting and dispensing hearing instruments for at least five years preceding appointment, not more than one of whom may be licensed under the chapter establishing Speech-Language Pathologists and Audiologists; one member who is actively practicing as a physician licensed by the Texas Medical Board, has been a resident of this state for at least two years preceding appointment, is a United States citizen, and specializes in the practice of otolaryngology; and two members of the public.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

MIDWIVES ADVISORY BOARD

Statutory Authorization: OCC Code, §§203.052,.0521,.055-056,.059
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
Personnel	\$2,376	\$5,571	\$4,532	\$4,532	\$4,532
Other Operating	2,475	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Method of Financing					
General Revenue Fund	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Total, Method of Financing	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Meetings Per Fiscal Year	1	3	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Midwives Advisory Board is established by Chapter 203, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter, including scope of practice and health related standards of care. The Board's review and advice on rules, procedures, and standards of care is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of five licensed midwife members each of whom has at least three years' experience in the practice of midwifery; one physician member who is certified by a national professional organization of physicians that certifies obstetricians and gynecologists; one physician member who is certified by a national professional organization of physicians that certifies family practitioners or pediatricians; and two members who represent the public and who are not practicing or trained in a health care profession, one of whom is a parent with at least one child born with the assistance of a midwife. Members serve staggered six years terms with the terms of three members expiring on January 31 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

ORTHOTISTS AND PROSTHETISTS ADVISORY BOARD

Statutory Authorization: OCC Code, §§605.052,.0521,.055-056,.059
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
Personnel	\$2,376	\$5,571	\$4,532	\$4,532	\$4,532
Other Operating	1,925	5,775	3,850	3,850	3,850
Total, Committee Expenditures	\$4,301	\$11,346	\$8,382	\$8,382	\$8,382
Method of Financing					
General Revenue Fund	\$4,301	\$11,346	\$8,382	\$8,382	\$8,382
Total, Method of Financing	\$4,301	\$11,346	\$8,382	\$8,382	\$8,382
Meetings Per Fiscal Year	1	3	2	2	2

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Orthotists and Prosthetists Advisory Board is established by Chapter 605, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The seven member board consists of two licensed orthotist members who each have practiced orthotics for the five years preceding the date of appointment, two licensed prosthetist members who each have practiced prosthetics for the five years preceding the date of appointment, one licensed prosthetist orthotist member who has practiced orthotics and prosthetics for the five years preceding the date of appointment, one member who is a representative of the public who uses an orthosis, and one member who is a representative of the public who uses a prosthesis. Members serve staggered six-year terms. The terms of two or three members expire on February 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

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Agency Code: **452** Agency: **Department of Licensing and Regulation**

SPEECH-LANGUAGE PATHOLOGISTS AND AUDIOLOGISTS ADVISORY BOARD

Statutory Authorization: OCC Code, §§401.102,.10205,.105,.107-108
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2015	Estimated Est 2016	Budgeted Bud 2017	Requested BL 2018	Requested BL 2019
Other Expenditures in Support of Committee Activities					
Personnel	\$2,376	\$5,571	\$4,532	\$4,532	\$4,532
Other Operating	2,475	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Method of Financing					
General Revenue Fund	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Total, Method of Financing	\$4,851	\$12,996	\$9,482	\$9,482	\$9,482
Meetings Per Fiscal Year	1	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:52PM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Speech-Language Pathologists and Audiologists Advisory Board is established by Chapter 401, Occupations Code, to provide advice and recommendations to the Department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of three audiologist members, three speech-language pathologist members; and three members who represent the public. Board members must have been a resident of this state for the two years preceding the date of appointment, be from the various geographic regions of the state, and be from varying employment settings. Audiologists and pathologists members must have been engaged in teaching, research, or providing services in speech-language pathology or audiology for at least five years; and be licensed under this chapter. One of the public advisory board members must be a physician licensed in this state and certified in otolaryngology or pediatrics. Members serve staggered six-year terms, with the terms of three members expire September 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	

1 Program Layoffs 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: The proposed 5% reduction would eliminate 12 FTEs. Consumer protection and safety would decrease as required inspections of barber and cosmetology shops, salons, and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities would not be completed. Fewer inspections would result in fewer violations being prosecuted, thereby compounding decreased consumer safety. Because of the difficulty in getting a pre-license inspection, fewer new schools would be open to teach students, delaying those future licensees from being able to work. The agency predicts a drop in its key performance measure target for this strategy.

Resolve Complaints

The proposed 5% reduction would eliminate 3 FTE. A backlog of complaints appropriate for prosecution would be created and the public would be at an elevated risk. The agency predicts a drop in its key performance measure target for this strategy.

Investigations

The proposed 5% reduction would eliminate 6 FTEs. Investigation time would increase, a backlog of complaints requiring investigation would consequently be created, and the public placed at an elevated risk. The agency predicts a drop in its key performance measure target for this strategy.

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$580,671	\$580,671	\$1,161,342
General Revenue Funds Total	\$0	\$0	\$0	\$580,671	\$580,671	\$1,161,342

Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$158,531	\$158,531	\$317,062
General Revenue Funds Total	\$0	\$0	\$0	\$158,531	\$158,531	\$317,062

Strategy: 2-1-4 Investigate Complaints

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
1 General Revenue Fund	\$0	\$0	\$0	\$284,745	\$284,745	\$569,490	
General Revenue Funds Total	\$0	\$0	\$0	\$284,745	\$284,745	\$569,490	
Item Total	\$0	\$0	\$0	\$1,023,947	\$1,023,947	\$2,047,894	
FTE Reductions (From FY 2018 and FY 2019 Base Request)				21.0	21.0		

2 Program Operating 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: Businesses and schools needing pre-license inspections in order to operate would be harmed and the time for them to receive a license and operate would increase. Consumer safety would be lowered because of a decrease in inspections of barber and cosmetology shops, salons and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities. The time to investigate complaints would increase, resulting in fewer investigations, leaving the public at increased risk as bad actors continue to operate without consequences. A backlog of plan reviews would increase, causing construction projects and elevator installations and upgrades to be delayed. The agency predicts drops in its key performance measure targets and in revenue.

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$222,104	\$222,104	\$444,208
General Revenue Funds Total	\$0	\$0	\$0	\$222,104	\$222,104	\$444,208

Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$40,526	\$40,526	\$81,052
General Revenue Funds Total	\$0	\$0	\$0	\$40,526	\$40,526	\$81,052

Strategy: 2-1-4 Investigate Complaints

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
1 General Revenue Fund	\$0	\$0	\$0	\$111,052	\$111,052	\$222,104	
General Revenue Funds Total	\$0	\$0	\$0	\$111,052	\$111,052	\$222,104	
Item Total	\$0	\$0	\$0	\$373,682	\$373,682	\$747,364	

FTE Reductions (From FY 2018 and FY 2019 Base Request)

3 Administrative Layoff 10%

Category: Programs - Service Reductions (Contracted)

Item Comment: Item Comment: Central Administration

The proposed 10% reduction would eliminate 2 FTEs. The time required to process the fees, penalties, and invoices for payment received each day would increase. This would adversely impact the ability to make timely deposits of revenue and would extend the time required to review and process licenses and renewals, as well as the time it takes for the agency to pay its bills. Overall productivity would decrease as a result.

Information Technology

The proposed 10% reduction would eliminate 2 FTEs. The ability to respond quickly with new programming features would be impacted. Revisions to existing systems would occur less frequently, and the successful deployment of a licensing system necessary for the consolidation of transferring health-care programs would be impaired. The agency would be hampered in its ability to respond to any cybersecurity issues which may arise. IT resources would be degraded and all of the agency's key measures adversely affected.

Other Support Services

The proposed 10% reduction would eliminate 1 FTE.

The ability to purchase and receive the necessary equipment, supplies, and other items vital to the smooth and efficient day-to-day operation of the agency would be impeded. The inability to receive the tools needed to do the job in a timely manner would harm the agency's proficiency in processing licenses and prosecuting violations. Overall productivity would decrease.

Strategy: 3-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$92,719	\$92,719	\$185,438	
General Revenue Funds Total	\$0	\$0	\$0	\$92,719	\$92,719	\$185,438	

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
Strategy: 3-1-2 Information Resources							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$132,184	\$132,184	\$264,368	
General Revenue Funds Total	\$0	\$0	\$0	\$132,184	\$132,184	\$264,368	
Strategy: 3-1-3 Other Support Services							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$44,184	\$44,184	\$88,368	
General Revenue Funds Total	\$0	\$0	\$0	\$44,184	\$44,184	\$88,368	
Item Total	\$0	\$0	\$0	\$269,087	\$269,087	\$538,174	
FTE Reductions (From FY 2018 and FY 2019 Base Request)				5.0	5.0		

4 Administrative Operating 10%

Category: Programs - Service Reductions (Contracted)

Item Comment: Productivity throughout the agency would decrease as the time and ability to receive necessary equipment and supplies would be delayed. The time required to process the money mail and bills received each day would increase, slowing down the time required to review and process new licenses, renewals, and billing. The agency's innovative, cost-effective approach to writing and revising its own software would be adversely impacted, and the successful consolidation of oncoming health-care programs into a new licensing system would be impeded, further reducing overall agency productivity.

Strategy: 3-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$47,117	\$47,117	\$94,234	
General Revenue Funds Total	\$0	\$0	\$0	\$47,117	\$47,117	\$94,234	

Strategy: 3-1-2 Information Resources

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

<u>Item Priority and Name/ Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$47,117	\$47,117	\$94,234	
General Revenue Funds Total	\$0	\$0	\$0	\$47,117	\$47,117	\$94,234	
Strategy: 3-1-3 Other Support Services							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$23,559	\$23,559	\$47,118	
General Revenue Funds Total	\$0	\$0	\$0	\$23,559	\$23,559	\$47,118	
Item Total	\$0	\$0	\$0	\$117,793	\$117,793	\$235,586	

FTE Reductions (From FY 2018 and FY 2019 Base Request)

5 Program Layoffs 10%

Category: Programs - Service Reductions (Contracted)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<p>Item Comment: License, Register & Certify The 10% reduction would eliminate 3 FTEs. Applicants for licenses would be most harmed. License issuance times would increase, delaying the ability of individuals to get to work and businesses to begin operating. The agency predicts a drop in its key performance measure target for this strategy.</p>							
<p>Exams/Cont. Ed The 10% reduction would eliminate 2 FTEs. Providers of continuing education courses would see delays in their courses being approved, which is required annually. This would reduce the number of courses available to licensees required to take continuing education for license renewal. Owners of new barber, cosmetology, and driver education schools, and driving safety and parent-taught education courses would have to wait for curriculum approval to start their business. Small business providing continuing education courses and driver education would be impacted.</p>							
<p>Customer Service The 10% reduction would eliminate 6 FTEs. Licensees and the public would see longer wait times and response times to calls, emails and questions posted on social media. The agency's ability to answer inquiries in a timely manner would suffer. The agency predicts a drop in its performance measure target for this strategy.</p>							
<p>Conduct Inspections The 10% reduction would eliminate 3 FTEs. Consumer protection and safety would decrease as inspections of barber and cosmetology shops, salons, schools and other facilities could not be completed. Fewer inspections would result in fewer violations being prosecuted, thereby compounding decreased consumer safety. The agency predicts a drop in its key performance measure target for this strategy.</p>							
<p>Investigation The 10% reduction would eliminate 1 FTE. Investigation time would increase, a backlog of complaints requiring investigation would follow, and the public placed at greater risk. The agency predicts a drop in its key performance measure target for this strategy.</p>							
<p>Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals</p>							
<p><u>General Revenue Funds</u></p>							
1 General Revenue Fund	\$0	\$0	\$0	\$113,345	\$113,345	\$226,690	
General Revenue Funds Total	\$0	\$0	\$0	\$113,345	\$113,345	\$226,690	

Strategy: 1-1-3 Administer Exams to Applicants

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$99,425	\$99,425	\$198,850	
General Revenue Funds Total	\$0	\$0	\$0	\$99,425	\$99,425	\$198,850	
Strategy: 1-1-4 Provide Customer Service							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$242,303	\$242,303	\$484,606	
General Revenue Funds Total	\$0	\$0	\$0	\$242,303	\$242,303	\$484,606	
Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$136,840	\$136,840	\$273,680	
General Revenue Funds Total	\$0	\$0	\$0	\$136,840	\$136,840	\$273,680	
Strategy: 2-1-4 Investigate Complaints							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$47,457	\$47,457	\$94,914	
General Revenue Funds Total	\$0	\$0	\$0	\$47,457	\$47,457	\$94,914	
Item Total	\$0	\$0	\$0	\$639,370	\$639,370	\$1,278,740	
FTE Reductions (From FY 2018 and FY 2019 Base Request)				15.0	15.0		

6 Program Operating 10%

Category: Programs - Service Reductions (Contracted)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	

Item Comment: Individuals and businesses needing licenses to operate would face delays in getting to work or opening for operation because of delays in license-processing times. Providers of continuing education courses would see delays in their courses being approved, reducing the number of courses available to licensees who need the courses to renew their licenses. New schools would face delays in opening and new driver safety and parent-taught education courses would be delayed in starting because of a holdup in the number of curricula which can be timely approved. People contacting the agency with questions or needing other help would face delays in assistance because of wait times. Businesses and schools needing pre-license inspections in order to operate would be harmed and the time for them to receive a license and open for business would increase. Consumer safety would be lowered because of a decrease in inspections of barber and cosmetology shops, salons and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities. The time to investigate complaints would increase, resulting in fewer investigations, leaving the public at increased risk as bad actors continue to operate without consequences. A backlog of plan reviews would increase, causing construction projects and elevator installations and upgrades to be delayed. The agency predicts drops in its key performance measure targets and in revenue.

Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$70,676	\$70,676	\$141,352
General Revenue Funds Total	\$0	\$0	\$0	\$70,676	\$70,676	\$141,352

Strategy: 1-1-3 Administer Exams to Applicants

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$47,117	\$47,117	\$94,234
General Revenue Funds Total	\$0	\$0	\$0	\$47,117	\$47,117	\$94,234

Strategy: 1-1-4 Provide Customer Service

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$141,352	\$141,352	\$282,704
General Revenue Funds Total	\$0	\$0	\$0	\$141,352	\$141,352	\$282,704

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/19/2016
Time: 5:27:57PM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
1 General Revenue Fund	\$0	\$0	\$0	\$85,676	\$85,676	\$171,352	
General Revenue Funds Total	\$0	\$0	\$0	\$85,676	\$85,676	\$171,352	
Strategy: 2-1-4 Investigate Complaints							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$28,559	\$28,559	\$57,118	
General Revenue Funds Total	\$0	\$0	\$0	\$28,559	\$28,559	\$57,118	
Item Total	\$0	\$0	\$0	\$373,380	\$373,380	\$746,760	
FTE Reductions (From FY 2018 and FY 2019 Base Request)							
AGENCY TOTALS							
General Revenue Total				\$2,797,259	\$2,797,259	\$5,594,518	\$5,585,718
GR Dedicated Total							\$4,800
Agency Grand Total	\$0	\$0	\$0	\$2,797,259	\$2,797,259	\$5,594,518	
Difference, Options Total Less Target							\$4,000
Agency FTE Reductions (From FY 2018 and FY 2019 Base Request)				41.0	41.0		

7.A. Indirect Administrative and Support Costs

8/19/2016 5:27:56PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-1	Issue Licenses, Registrations, & Certificates to Qualified Individuals					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$473,416	\$ 671,578	\$ 768,461	\$ 740,283	\$ 740,283
1002	OTHER PERSONNEL COSTS	36,248	46,747	15,926	15,955	15,955
2001	PROFESSIONAL FEES AND SERVICES	89,462	98,831	93,130	145,749	125,647
2002	FUELS AND LUBRICANTS	102	213	125	125	125
2003	CONSUMABLE SUPPLIES	1,698	3,540	3,020	2,681	2,681
2004	UTILITIES	5,015	3,321	2,895	2,408	2,408
2005	TRAVEL	6,778	10,351	10,636	10,717	10,717
2006	RENT - BUILDING	9,856	15,508	14,121	13,781	13,781
2007	RENT - MACHINE AND OTHER	2,981	3,454	3,207	2,822	2,822
2009	OTHER OPERATING EXPENSE	112,252	91,619	52,450	56,049	56,049
5000	CAPITAL EXPENDITURES	0	185,330	66,174	0	0
Total, Objects of Expense		\$737,808	\$1,130,492	\$1,030,145	\$990,570	\$970,468
METHOD OF FINANCING:						
1	General Revenue Fund	504,579	912,800	807,463	767,527	747,425
666	Appropriated Receipts	231,994	216,367	221,313	221,692	221,692
777	Interagency Contracts	1,235	1,325	1,369	1,351	1,351
Total, Method of Financing		\$737,808	\$1,130,492	\$1,030,145	\$990,570	\$970,468
FULL TIME EQUIVALENT POSITIONS		6.5	9.1	11.0	10.5	10.5

7.A. Indirect Administrative and Support Costs

8/19/2016 5:27:56PM

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Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals					
Method of Allocation					

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

8/19/2016 5:27:56PM

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452 Department of Licensing and Regulation

Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-2	License Businesses and Facilities					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$240,153	\$ 242,937	\$ 278,261	\$ 266,928	\$ 266,928
1002	OTHER PERSONNEL COSTS	18,449	16,955	5,765	5,734	5,734
2001	PROFESSIONAL FEES AND SERVICES	45,095	35,306	33,450	52,634	45,375
2002	FUELS AND LUBRICANTS	51	80	50	50	50
2003	CONSUMABLE SUPPLIES	866	1,284	1,098	971	971
2004	UTILITIES	2,552	1,199	1,055	883	883
2005	TRAVEL	3,469	3,763	3,838	3,819	3,819
2006	RENT - BUILDING	5,007	5,628	5,127	4,982	4,982
2007	RENT - MACHINE AND OTHER	1,520	1,256	1,160	1,012	1,012
2009	OTHER OPERATING EXPENSE	56,967	33,226	19,325	20,546	20,546
5000	CAPITAL EXPENDITURES	0	66,189	23,755	0	0
Total, Objects of Expense		\$374,129	\$407,823	\$372,884	\$357,559	\$350,300
METHOD OF FINANCING:						
1	General Revenue Fund	255,601	329,022	292,490	277,605	270,346
666	Appropriated Receipts	117,911	78,328	79,903	79,466	79,466
777	Interagency Contracts	617	473	491	488	488
Total, Method of Financing		\$374,129	\$407,823	\$372,884	\$357,559	\$350,300
FULL TIME EQUIVALENT POSITIONS		3.3	3.3	4.0	3.8	3.8

7.A. Indirect Administrative and Support Costs

8/19/2016 5:27:56PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-2 License Businesses and Facilities					
Method of Allocation					

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

8/19/2016 5:27:56PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-3	Administer Exams to Applicants					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$140,226	\$ 185,706	\$ 216,145	\$ 203,982	\$ 203,981
1002	OTHER PERSONNEL COSTS	10,586	12,838	4,470	4,377	4,377
2001	PROFESSIONAL FEES AND SERVICES	27,354	28,219	26,266	40,479	34,896
2002	FUELS AND LUBRICANTS	26	53	38	38	38
2003	CONSUMABLE SUPPLIES	489	973	852	742	742
2004	UTILITIES	1,481	920	822	676	676
2005	TRAVEL	1,982	2,827	2,967	2,910	2,910
2006	RENT - BUILDING	2,889	4,252	3,974	3,802	3,802
2007	RENT - MACHINE AND OTHER	872	942	897	772	772
2009	OTHER OPERATING EXPENSE	33,569	25,165	14,914	15,661	15,661
5000	CAPITAL EXPENDITURES	0	52,951	18,665	0	0
Total, Objects of Expense		\$219,474	\$314,846	\$290,010	\$273,439	\$267,855
METHOD OF FINANCING:						
1	General Revenue Fund	149,699	254,757	227,618	212,369	206,785
666	Appropriated Receipts	69,389	59,710	62,006	60,695	60,695
777	Interagency Contracts	386	379	386	375	375
Total, Method of Financing		\$219,474	\$314,846	\$290,010	\$273,439	\$267,855
FULL TIME EQUIVALENT POSITIONS		1.9	2.5	3.1	2.9	2.9

7.A. Indirect Administrative and Support Costs

8/19/2016 5:27:56PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-3 Administer Exams to Applicants					
Method of Allocation					

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

7.A. Indirect Administrative and Support Costs

8/19/2016 5:27:56PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1-1-4	Provide Customer Service					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$421,986	\$ 399,067	\$ 438,885	\$ 429,760	\$ 429,760
1002	OTHER PERSONNEL COSTS	32,448	27,812	9,113	9,243	9,243
2001	PROFESSIONAL FEES AND SERVICES	79,100	59,978	52,569	85,011	73,285
2002	FUELS AND LUBRICANTS	89	133	75	75	75
2003	CONSUMABLE SUPPLIES	1,523	2,092	1,730	1,558	1,558
2004	UTILITIES	4,488	1,996	1,652	1,409	1,409
2005	TRAVEL	6,111	6,033	6,101	6,180	6,180
2006	RENT - BUILDING	8,803	9,204	8,086	8,003	8,003
2007	RENT - MACHINE AND OTHER	2,676	2,026	1,839	1,633	1,633
2009	OTHER OPERATING EXPENSE	100,113	54,294	30,218	32,716	32,716
5000	CAPITAL EXPENDITURES	0	112,522	37,329	0	0
Total, Objects of Expense		\$657,337	\$675,157	\$587,597	\$575,588	\$563,862
METHOD OF FINANCING:						
1	General Revenue Fund	448,956	546,662	460,351	446,465	434,739
666	Appropriated Receipts	207,301	127,691	126,474	128,335	128,335
777	Interagency Contracts	1,080	804	772	788	788
Total, Method of Financing		\$657,337	\$675,157	\$587,597	\$575,588	\$563,862
FULL TIME EQUIVALENT POSITIONS		5.8	5.4	6.3	6.1	6.1

7.A. Indirect Administrative and Support Costs

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452 Department of Licensing and Regulation

Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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1-1-4	Provide Customer Service				
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Method of Allocation

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

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1-1-5	Texas.gov. Estimated and Nontransferable					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$95,691	\$ 135,195	\$ 145,716	\$ 141,034	\$ 141,034
1002	OTHER PERSONNEL COSTS	7,434	9,195	3,057	3,021	3,021
2001	PROFESSIONAL FEES AND SERVICES	17,720	21,152	16,760	28,325	24,416
2002	FUELS AND LUBRICANTS	14	27	25	25	25
2003	CONSUMABLE SUPPLIES	347	705	577	511	511
2004	UTILITIES	1,040	659	537	468	468
2005	TRAVEL	1,474	2,073	2,087	2,002	2,002
2006	RENT - BUILDING	1,994	3,048	2,703	2,622	2,622
2007	RENT - MACHINE AND OTHER	627	683	625	532	532
2009	OTHER OPERATING EXPENSE	23,112	18,155	10,054	10,777	10,777
5000	CAPITAL EXPENDITURES	0	39,713	11,877	0	0
Total, Objects of Expense		\$149,453	\$230,605	\$194,018	\$189,317	\$185,408
METHOD OF FINANCING:						
1	General Revenue Fund	100,699	186,527	151,359	147,131	143,222
666	Appropriated Receipts	48,522	43,794	42,413	41,923	41,923
777	Interagency Contracts	232	284	246	263	263
Total, Method of Financing		\$149,453	\$230,605	\$194,018	\$189,317	\$185,408
FULL TIME EQUIVALENT POSITIONS		1.3	1.8	2.1	2.0	2.0

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1-1-5 Texas.gov. Estimated and Nontransferable					
Method of Allocation					

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

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Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
2-1-1	Enforce Laws by Conducting Routine, Complex, and Special Inspections					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$1,292,485	\$ 1,890,866	\$ 2,087,502	\$ 2,033,839	\$ 2,033,838
1002	OTHER PERSONNEL COSTS	98,768	131,453	43,365	43,736	43,736
2001	PROFESSIONAL FEES AND SERVICES	245,452	278,834	250,834	404,723	348,885
2002	FUELS AND LUBRICANTS	270	584	338	338	338
2003	CONSUMABLE SUPPLIES	4,615	9,967	8,210	7,354	7,354
2004	UTILITIES	13,699	9,333	7,822	6,646	6,646
2005	TRAVEL	18,507	29,171	29,099	29,258	29,258
2006	RENT - BUILDING	26,861	43,612	38,412	37,797	37,797
2007	RENT - MACHINE AND OTHER	8,130	9,721	8,756	7,717	7,717
2009	OTHER OPERATING EXPENSE	307,148	257,786	142,377	153,730	153,730
5000	CAPITAL EXPENDITURES	0	522,894	178,161	0	0
Total, Objects of Expense		\$2,015,935	\$3,184,221	\$2,794,876	\$2,725,138	\$2,669,299
METHOD OF FINANCING:						
1	General Revenue Fund	1,377,423	2,570,858	2,188,495	2,113,378	2,057,539
666	Appropriated Receipts	635,116	609,625	602,695	608,008	608,008
777	Interagency Contracts	3,396	3,738	3,686	3,752	3,752
Total, Method of Financing		\$2,015,935	\$3,184,221	\$2,794,876	\$2,725,138	\$2,669,299
FULL TIME EQUIVALENT POSITIONS		17.7	25.6	29.9	28.8	28.8

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Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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2-1-1	Enforce Laws by Conducting Routine, Complex, and Special Inspections				
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Method of Allocation

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

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Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
2-1-2	Perform Building Plan Reviews					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$226,369	\$ 309,577	\$ 351,072	\$ 325,665	\$ 325,665
1002	OTHER PERSONNEL COSTS	17,151	21,630	7,279	7,018	7,018
2001	PROFESSIONAL FEES AND SERVICES	43,637	45,879	42,956	64,754	55,820
2002	FUELS AND LUBRICANTS	51	106	50	50	50
2003	CONSUMABLE SUPPLIES	800	1,628	1,373	1,174	1,174
2004	UTILITIES	2,378	1,546	1,312	1,052	1,052
2005	TRAVEL	3,150	4,710	4,879	4,721	4,721
2006	RENT - BUILDING	4,691	7,164	6,430	6,041	6,041
2007	RENT - MACHINE AND OTHER	1,400	1,581	1,466	1,240	1,240
2009	OTHER OPERATING EXPENSE	53,604	42,235	23,460	24,340	24,340
5000	CAPITAL EXPENDITURES	0	86,046	30,542	0	0
Total, Objects of Expense		\$353,231	\$522,102	\$470,819	\$436,055	\$427,121
METHOD OF FINANCING:						
1	General Revenue Fund	242,357	422,300	368,729	337,718	328,784
666	Appropriated Receipts	110,257	99,187	101,458	97,737	97,737
777	Interagency Contracts	617	615	632	600	600
Total, Method of Financing		\$353,231	\$522,102	\$470,819	\$436,055	\$427,121
FULL TIME EQUIVALENT POSITIONS		3.1	4.2	5.0	4.6	4.6

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Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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2-1-2	Perform Building Plan Reviews				
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Method of Allocation

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

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Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
2-1-3	Enforce Compliance by Settlement, Prosecution, Penalty and Sanction					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$609,403	\$ 834,431	\$ 935,678	\$ 903,117	\$ 903,117
1002	OTHER PERSONNEL COSTS	46,405	58,079	19,448	19,463	19,463
2001	PROFESSIONAL FEES AND SERVICES	116,795	123,524	112,284	178,125	153,556
2002	FUELS AND LUBRICANTS	117	266	150	150	150
2003	CONSUMABLE SUPPLIES	2,157	4,392	3,679	3,268	3,268
2004	UTILITIES	6,468	4,136	3,500	2,934	2,934
2005	TRAVEL	8,746	12,802	13,066	13,077	13,077
2006	RENT - BUILDING	12,622	19,257	17,219	16,802	16,802
2007	RENT - MACHINE AND OTHER	3,831	4,277	3,930	3,442	3,442
2009	OTHER OPERATING EXPENSE	145,537	113,736	63,731	68,219	68,219
5000	CAPITAL EXPENDITURES	0	231,662	79,748	0	0
Total, Objects of Expense		\$952,081	\$1,406,562	\$1,252,433	\$1,208,597	\$1,184,028
METHOD OF FINANCING:						
1	General Revenue Fund	649,077	1,136,474	980,439	936,386	911,817
666	Appropriated Receipts	301,383	268,432	270,344	270,560	270,560
777	Interagency Contracts	1,621	1,656	1,650	1,651	1,651
Total, Method of Financing		\$952,081	\$1,406,562	\$1,252,433	\$1,208,597	\$1,184,028
FULL TIME EQUIVALENT POSITIONS		8.3	11.3	13.4	12.8	12.8

7.A. Indirect Administrative and Support Costs

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Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction					
Method of Allocation					

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

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Strategy		Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
2-1-4	Investigate Complaints					
OBJECTS OF EXPENSE:						
1001	SALARIES AND WAGES	\$662,143	\$ 813,496	\$ 922,502	\$ 881,319	\$ 881,318
1002	OTHER PERSONNEL COSTS	50,896	56,419	19,099	18,975	18,975
2001	PROFESSIONAL FEES AND SERVICES	124,195	120,003	112,213	174,073	150,063
2002	FUELS AND LUBRICANTS	141	239	150	150	150
2003	CONSUMABLE SUPPLIES	2,388	4,291	3,624	3,192	3,192
2004	UTILITIES	7,038	3,999	3,482	2,876	2,876
2005	TRAVEL	9,580	12,606	12,730	12,718	12,718
2006	RENT - BUILDING	13,809	18,728	16,940	16,404	16,404
2007	RENT - MACHINE AND OTHER	4,196	4,188	3,843	3,353	3,353
2009	OTHER OPERATING EXPENSE	157,080	110,823	62,951	66,826	66,826
5000	CAPITAL EXPENDITURES	0	225,043	79,748	0	0
Total, Objects of Expense		\$1,031,466	\$1,369,835	\$1,237,282	\$1,179,886	\$1,155,875
METHOD OF FINANCING:						
1	General Revenue Fund	704,556	1,105,362	970,210	914,657	890,646
666	Appropriated Receipts	325,212	262,864	265,422	263,615	263,615
777	Interagency Contracts	1,698	1,609	1,650	1,614	1,614
Total, Method of Financing		\$1,031,466	\$1,369,835	\$1,237,282	\$1,179,886	\$1,155,875
FULL TIME EQUIVALENT POSITIONS		9.1	11.0	13.2	12.5	12.5

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Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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2-1-4 Investigate Complaints					
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Method of Allocation

TDLR allocates the Indirect Administrative and Support Costs based on the percent of budget associated with the agency's individual strategy.

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Strategy	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
3-1-1 Central Administration					
METHOD OF FINANCING:					
1 General Revenue Fund	\$0	\$0	\$0	\$0	\$0
666 Appropriated Receipts	0	0	0	0	0
777 Interagency Contracts	0	0	0	0	0
Total, Method of Financing	\$0	\$0	\$0	\$0	\$0

7.A. Indirect Administrative and Support Costs

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	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
GRAND TOTALS					
Objects of Expense					
1001 SALARIES AND WAGES	\$4,161,872	\$5,482,853	\$6,144,222	\$5,925,927	\$5,925,924
1002 OTHER PERSONNEL COSTS	\$318,385	\$381,128	\$127,522	\$127,522	\$127,522
2001 PROFESSIONAL FEES AND SERVICES	\$788,810	\$811,726	\$740,462	\$1,173,873	\$1,011,943
2002 FUELS AND LUBRICANTS	\$861	\$1,701	\$1,001	\$1,001	\$1,001
2003 CONSUMABLE SUPPLIES	\$14,883	\$28,872	\$24,163	\$21,451	\$21,451
2004 UTILITIES	\$44,159	\$27,109	\$23,077	\$19,352	\$19,352
2005 TRAVEL	\$59,797	\$84,336	\$85,403	\$85,402	\$85,402
2006 RENT - BUILDING	\$86,532	\$126,401	\$113,012	\$110,234	\$110,234
2007 RENT - MACHINE AND OTHER	\$26,233	\$28,128	\$25,723	\$22,523	\$22,523
2009 OTHER OPERATING EXPENSE	\$989,382	\$747,039	\$419,480	\$448,864	\$448,864
5000 CAPITAL EXPENDITURES	\$0	\$1,522,350	\$525,999	\$0	\$0
Total, Objects of Expense	\$6,490,914	\$9,241,643	\$8,230,064	\$7,936,149	\$7,774,216
Method of Financing					
1 General Revenue Fund	\$4,432,947	\$7,464,762	\$6,447,154	\$6,153,236	\$5,991,303
666 Appropriated Receipts	\$2,047,085	\$1,765,998	\$1,772,028	\$1,772,031	\$1,772,031
777 Interagency Contracts	\$10,882	\$10,883	\$10,882	\$10,882	\$10,882
Total, Method of Financing	\$6,490,914	\$9,241,643	\$8,230,064	\$7,936,149	\$7,774,216

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Full-Time-Equivalent Positions (FTE)	57.0	74.2	88.0	84.0	84.0
