

LEGISLATIVE APPROPRIATIONS REQUEST

for Fiscal Years 2020 and 2021

Submitted to the

**Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**

*for the Department of Licensing & Regulation
by the Commission of Licensing & Regulation*



July 27, 2018

Legislative Appropriations Request

For Fiscal Years 2020 and 2021

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**

by

The Texas Department of Licensing and Regulation

July 27, 2018

Table of Contents

	Section Number	Page Number
Administrator's Statement		1
Organizational Chart		8
Certification of Dual Submission		9
Budget Overview - Biennial Amounts		10
Summary of Base Request by Strategy	2.A.	11
Summary of Base Request by Method of Finance	2.B.	14
Summary of Base Request by Object of Expense	2.C.	28
Summary of Base Request by Objective Outcomes	2.D.	29
Summary of Exceptional Items Request	2.E.	30
Summary of Total Request by Strategy	2.F.	31
Summary of Total Request by Objective Outcomes	2.G.	34
Strategy Request	3.A.	36
Program-Level Request Schedule	3.A.1	77
Rider Revisions and Additions Request	3.B.	78
Rider Appropriations and Unexpended Balances Request	3.C.	81
Exceptional Item Request Schedule	4.A.	84
Exceptional Items Strategy Allocation Schedule	4.B.	94
Exceptional Items Strategy Request	4.C.	101
Capital Budget Project Schedule	5.A.	107
Capital Budget Allocation to Strategies (Baseline)	5.C.	112
Capital Budget Allocation to Strategies by Project - Exceptional		114
Capital Budget Project Schedule - Exceptional		115
Capital Budget Project - OOE and MOF Detail by Strategy	5.E.	116
Historically Underutilized Business Supporting Schedule	6.A.	122
Estimated Revenue Collections Supporting Schedule	6.E.	123
Advisory Committee Supporting Schedule ~ Part A	6.F.a.	127
10 Percent Biennial Base Reduction Options	6.I.	189

452 Department of Licensing and Regulation

Administrator's Statement - 86th Legislative Session

The vision of the Texas Department of Licensing and Regulation (TDLR) is to be the best at creating “next” practices that deliver low-cost licensing and regulatory services and an exceptional customer service experience. The agency’s mission is to earn the trust of Texans every day by providing innovative regulatory solutions for our licensees and those they serve.

To achieve smaller, smarter government, we:

- Honor the public by being fair, transparent, and efficient;
- Value our customers and coworkers by seeking and using their input;
- Foster innovation and change by challenging the status quo;
- Recruit, empower, and reward high-performing employees; and
- Transform programs and improve services for customers.

TEXAS COMMISSION OF LICENSING AND REGULATION: COMMON-SENSE LEADERSHIP

The Texas Commission of Licensing and Regulation (Commission) is TDLR’s governing board and policy-making body, with seven public members appointed to staggered six-year terms by the Governor with the consent of the Texas Senate. By law, Commission members cannot hold any substantial interest in the businesses or trades regulated by TDLR.

The Commission focuses on eliminating impediments for businesses and reducing regulatory burdens for licensees. The Commission is committed to increasing transparency and only adopts administrative rules after carefully considering the potential impact of new regulations on businesses, licensees, consumers, and public health and safety. To ensure compliance with the state’s open government laws, Commissioners receive regular training from TDLR’s Office of the General Counsel.

The Commission is composed of the following members:

COMMISSIONER	HOMETOWN	TERM EXPIRES
Mike Arismendez, Chair	Shallowater	February 1, 2021
Thomas F. Butler, Vice Chair	Deer Park	February 1, 2019
Deborah A. Yurco	Austin	February 1, 2019
Helen Callier	Kingwood	February 1, 2021
Rick Figueroa	Brenham	February 1, 2021
Gerald R. Callas, M.D., F.A.S.A.	Beaumont	February 1, 2023
Gary Wesson, D.D.S., M.S.	Bastrop	February 1, 2023

TEXAS LEGISLATURE: CONSOLIDATION AND NEW RESPONSIBILITIES

Since 2015, TDLR’s workforce has absorbed 17 transferred or newly created licensing programs and approximately 150,000 additional licensees, without a corresponding increase in staffing levels. TDLR’s range of responsibilities continues to expand due to program consolidation and new responsibilities entrusted to us by the Texas

452 Department of Licensing and Regulation

Legislature.

Department of State Health Services Program Transfer

Senate Bill 202, enacted by the 84th Texas Legislature, consolidated 13 Department of State Health Services (DSHS) programs into TDLR over two phases – seven in the 2016-17 biennium and six in the 2018-19 biennium.

TDLR successfully consolidated the Phase One programs in October 2016, followed by the completion of Phase Two on November 1, 2017. At the time of the program transfers, more than 81,000 DSHS licensees were added to TDLR's licensee population, an increase of 12.4 percent from 2015.

Transfer of Podiatric Medicine

The 85th Legislature, through the enactment of House Bill 3078, transferred the licensing and regulation of podiatric medicine to TDLR from the Texas State Board of Podiatric Medical Examiners, and adopted several recommendations to provide administrative savings, improve licensing and enforcement outcomes, and better protect the people of Texas.

All duties and responsibilities for the podiatry program were successfully consolidated into TDLR on September 1, 2017. At the time of the consolidation, 1,658 licensees were added to TDLR's licensee population. Governor Greg Abbott appointed six members to the new Podiatric Medical Examiners Advisory Board on November 30, 2017, and the board held their initial public meeting on January 29, 2018.

New Responsibilities

In addition to the program consolidations outlined above, the 85th Legislature also created three new licensing programs at TDLR: Transportation Network Companies, Behavior Analysts, and Responsible Pet Owner Course Providers:

- Regulation of Transportation Network Companies (TNCs), commonly referred to as ride-sharing, took effect May 29, 2017 upon enactment of House Bill 100 (85R). HB 100 established a uniform, statewide approach to regulating and permitting TNCs in Texas. TDLR began accepting applications and issuing permits for TNCs on December 1, 2017. There are currently nine licensed TNCs serving millions of Texans.
- Senate Bill 589 (85R) established a regulatory framework for occupational licensing of behavior analysts and assistant behavior analysts in Texas. TDLR began accepting applications on June 15, 2018 and nearly 1,000 behavior analysts and assistant behavior analysts have received their license to date. Licenses are required to practice in Texas no later than September 1, 2018.
- TDLR has developed program rules and set standards for online pet owner responsibility courses as required by House Bill 162 (85R). The rules and standards determine which groups or companies are eligible to offer courses that can be used by judges in determining outcomes for animal cruelty cases. TDLR recently began accepting applications for this new program.

Managing Increased Workloads

The recent consolidation and transfer of licensing programs provided TDLR a unique challenge: to ensure that required inspections, effective enforcement oversight, and

452 Department of Licensing and Regulation

outreach to industry and the public were all taking place while we integrated new licensing components. Prior to the transfers, these functions were not performed on a regular basis. While these new responsibilities have been successfully incorporated into TDLR's existing functional alignment model, program workloads in many areas have increased significantly. TDLR continues working to bring these programs up to speed as quickly as possible to ensure we are performing their required functions, but our staff needs additional resources and assistance to meet the desired level of service and to guarantee a thorough focus on public health and safety.

With the steady growth in the agency's responsibilities over the past three years, TDLR has received moderate increases in staffing, from 382 authorized FTEs at the end of FY 2015 to our current count of 450 FTEs. Even with this growth, the ratio of licensees to staff is growing: TDLR had 1,694 licenses in FY 2015 for every one employee; as of May 2018, this ratio has increased to 1,742 licenses per employee.

TDLR has also experienced a significant increase in our advisory board support responsibilities. In 2015, the agency was responsible for 19 advisory boards; TDLR now manages 31 advisory boards comprised of 256 volunteer members around the state, each of whom must attend meetings in Austin. Throughout the first eleven months of fiscal year 2018, TDLR staff scheduled, supported, and conducted 75 advisory board meetings. The amount of staff time required to adequately serve each board meeting is steadily consuming available work hours.

The expansion of our licensee population resulted in a dramatic increase in demand for services. Consequently, we are struggling to maintain the exceptional quality of service that our customers have come to expect and which they deserve.

Removing Redundancies and Impediments

TDLR identified 56 initiatives during the Strategic Planning process for 2017-2021, and changes related to these initiatives were recommended to the 85th Texas Legislature. They included statutory changes to several of our programs to remove redundancies and impediments, streamline regulations, make the licensing process easier, and strengthen consumer protection. The Legislature adopted 52 of the 56 recommendations resulting in a 93 percent adoption rate.

Highlights of these strategic initiatives include:

- Deregulation of temporary common workers, for-profit legal service contracts, vehicle protection product warrantors, vehicle booting, tow operator trainees, and removal of shampooing and threading from the scope of practice for barbering and cosmetology.
- Elimination of certain license types and activities relating to athletic trainers, dietitians, driver education and safety, hearing instrument fitters and dispensers, orthotists and prosthetists, and speech-language pathologists and audiologists.
- Elimination of redundant medical and health-related program provisions relating to administrative penalties, license denials, revocations, and suspensions for criminal convictions or sanctions.

TDLR'S PROCESS FOR EVALUATING CRIMINAL BACKGROUNDS

Government Code Section 411.093 authorizes TDLR to obtain from DPS criminal history records that relate to an applicant or licensee.

Agency Practices and Procedures for Background Checks

452 Department of Licensing and Regulation

1. TDLR's Licensing Division runs a criminal background check through DPS on each original license application and each renewal application filed.
 - o If the application or the DPS check reveals a criminal offense that could be a basis for denying the license application, Licensing staff refers the matter to TDLR's Enforcement Division for further review.
 - o The background is then reviewed by a prosecutor who either clears the applicant for licensure or begins the denial process.

2. All applicants are afforded due process throughout every step and have the right to contest any decision.
 - o Any proposed denial of a license application:
 - clearly identifies the criminal offenses that form the basis of the denial;
 - cites the statutory authority for the denial; and
 - advises the applicant that a hearing may be requested at the State Office of Administrative Hearings (SOAH) to challenge the proposed denial.
 - o After a hearing is conducted at SOAH, the Administrative Law Judge issues a Proposal for Decision for consideration by the Commission of Licensing and Regulation.
 - o After considering the Proposal for Decision, the Commission may grant or deny the license application.
 - o Decisions by the Commission can then be challenged by the applicant in district court.

CENTRALIZED ACCOUNTING AND PAYROLL/PERSONNEL SYSTEM (CAPPS) FINANCIALS PROGRESS REPORT

TDLR implemented the financial portion of CAPPS during the 2016-17 biennium. The agency will convert to the Payroll/Personnel component in FY 2019.

EXCEPTIONAL ITEMS

TDLR's appropriations request is the link between the comprehensive development of our 2019-2023 strategic plan and the General Appropriations Act (GAA). The following items represent the agency's utmost priorities.

(As a licensing agency under Article VIII of the GAA, TDLR's exceptional items would be funded through fee revenue as required by Article VIII, Section 2 of the GAA.)

Exceptional Item One: Improve Licensee Service Capabilities and Information Security

TDLR seeks budget authority to contract for the creation of a licensing system. This will be a multi-year project that will require two phases. Phase I will allow for the incorporation of its individual and business licensees into one system. TDLR currently maintains nine disparate legacy systems.

The demands and overall volume of data on the agency systems will continue to increase, due in part to the continuing strength of the Texas economy and corresponding population growth.

TDLR's request stems from our participation in the Department of Information Resources (DIR) Application Development Decision Framework (ADDF). The ADDF analyzed the current state of the licensing systems and provided an overall look at the various aspects that need to be considered for a major project: culture, finance, business, and technology. TDLR is the first agency to benefit from this new DIR-designed methodology. Using this process, TDLR created a strategic plan for a new licensing system and DIR provided important feedback.

452 Department of Licensing and Regulation

The solution will include a non-proprietary, vendor-developed platform that can be modified and maintained by agency staff after initial deployment to meet changing business needs. TDLR will work with the Quality Assurance Team, comprised of representatives from the Legislative Budget Board, Comptroller of Public Accounts, State Auditor's Office and DIR to ensure that the project meets all state requirements.

The goal of this exceptional item is to contract to build the system and migrate cosmetology, barber, electrician and massage licensees - more than 77 percent of TDLR individual and business licenses - into the system during the 20/21 biennium.

Appropriation for Exceptional Item One will allow TDLR to:

1. Conduct process improvement and requirements-gathering for the system;
2. Purchase necessary infrastructure;
3. Create data warehousing and reporting functions;
4. Purchase software and software licenses;
5. Move 77 percent of individual and business licensees into the system and conduct testing to assure successful integration; and
6. Enhance security of personally identifiable information (PII) and personal health information (PHI) for the licensing records of more than 10 million Texans.

Phase I will migrate 77 percent of individual and business licenses, as indicated above. TDLR will request additional authority for Phase II in the 87th Legislative Session to complete all license migration of the remaining 23 percent of individual and business licensees to the new system, estimated to be approximately \$1,600,000 for the biennium. To ensure that the project will continue without interruption, TDLR is seeking unexpended balance authority between fiscal years 2021 and 2022. Current systems will run concurrently during this project to ensure no break in service for licensees.

This request is also aligned with Agency Goal #1 in TDLR's 2019-2023 Strategic Plan, "Continue to effectively consolidate and improve administration of services for the 16 programs recently transferred to TDLR by the Texas Legislature".

\$961,000 in fiscal year 2020 and \$991,000 in fiscal year 2021 is needed to create a licensing system and begin initial migration to a single licensing system and to improve security for PII and PHI.

Exceptional Item Two: Combat Human Trafficking through Strengthened Enforcement and Increased Collaboration

In alignment with Governor Greg Abbott's human trafficking prevention initiatives, TDLR recognizes an opportunity to become a successful partner in combating trafficking in Texas. Human trafficking is a \$150 billion-dollar global criminal enterprise with 190,000 people trafficked every year in Texas alone. Effective disruption requires a multi-pronged approach and the inclusion of multiple entities and perspectives. TDLR is uniquely positioned to conduct outreach and share information with our regulated professions that intersect with human trafficking. To achieve success in prevention partnerships and collaborative efforts, as well as to improve enforcement, licensing standards, and industry and public awareness, TDLR is requesting authority for a human trafficking prevention team.

Appropriation for exceptional item two will:

1. Focus efforts into a specialized team who possess expertise and skills related to the prevention of human trafficking;

452 Department of Licensing and Regulation

2. Foster relationships between statewide entities and local law enforcement;
3. Allow for rapid response to TDLR-regulated businesses that demonstrate signs of trafficking, and coordinate prevention efforts with strategic partners;
4. Develop and integrate best practices to eradicate repeat offenders who use state licensure to legitimize businesses serving as fronts for human trafficking;
5. Provide training to law enforcement on how to identify trafficking in the setting of a regulated establishment, and how TDLR can assist and support law enforcement's efforts;
6. Gather and analyze statistical data on trends in TDLR-regulated professions as it relates to trafficking;
7. Provide one Program Specialist, one Management Analyst, and two Inspectors; and
8. Research grant opportunities to ensure that all avenues for resources are pursued.

Four FTEs and \$260,154 in fiscal year 2020 and \$252,580 in fiscal year 2021 are needed to combat human trafficking.

This request is aligned with Agency Goal #3 in TDLR's 2019-2023 Strategic Plan, "Educate and empower consumers by providing them with the tools to make informed choices about the industries TDLR regulates", and Agency Goal #4, "Prioritize enforcement personnel efforts to resolve complaints involving consumer harm or a threat to public safety."

Exceptional Item Three: Ensure Efficient Processing of Licenses

TDLR requests the return of full funding for the Customer Service and Licensing Division positions removed in response to the 4% budget cuts for the FY 2018-19 biennium.

The consolidation of 13 health-related programs from DSHS to TDLR, and the transfer of the podiatry program, added more than 81,000 licensees to TDLR's existing licensee population of approximately 718,000. Three more programs created by the Texas Legislature in the 85th Legislative Session added approximately 1,500 additional licensees.

Appropriation for Exceptional Item Three will:

1. Ensure that TDLR continues to provide efficient and responsive customer service;
2. Help TDLR's Licensing Division continue timely and accurate processing of license applications;
3. Restore one Customer Service Representative position in the Customer Service Division, which will allow 15,400 additional customer contacts to be answered each year; and
4. Restore two Licensing and Permit Specialist positions in Licensing, which will allow 20,000 additional applications to be processed each year.

This request is aligned with Agency Goal #1 in TDLR's 2019-2023 Strategic Plan, "Continue to effectively consolidate and improve administration of and services for the 16 programs recently transferred to TDLR by the Texas Legislature," and Agency Goal #2, "Improve efficiencies, lower fees, and eliminate bottlenecks throughout TDLR."

Three FTEs and \$130,290 are needed in fiscal year 2020 and \$125,208 in fiscal year 2021 to ensure Texans receive their licenses as quickly as possible, without interference or disruption to their work and livelihoods.

Exceptional Item Four: Enhance Enforcement Strength to Protect Consumer Health and Safety

452 Department of Licensing and Regulation

TDLR requests the return of full funding for Enforcement and Field Inspections positions removed in response to the 4% budget cuts for the FY 2018-19 biennium.

TDLR's Enforcement Division investigates and resolves complaints from consumers, industry, and agency staff to protect the public from consumer harm and combat threats to health and safety. The Division also reviews the criminal histories of license applicants and pursues denial or revocation of licenses when necessary to protect the public.

The Field Inspections Division performs pre-license and periodic inspections of facilities and schools throughout Texas to educate licensees and ensure the health and safety of Texans.

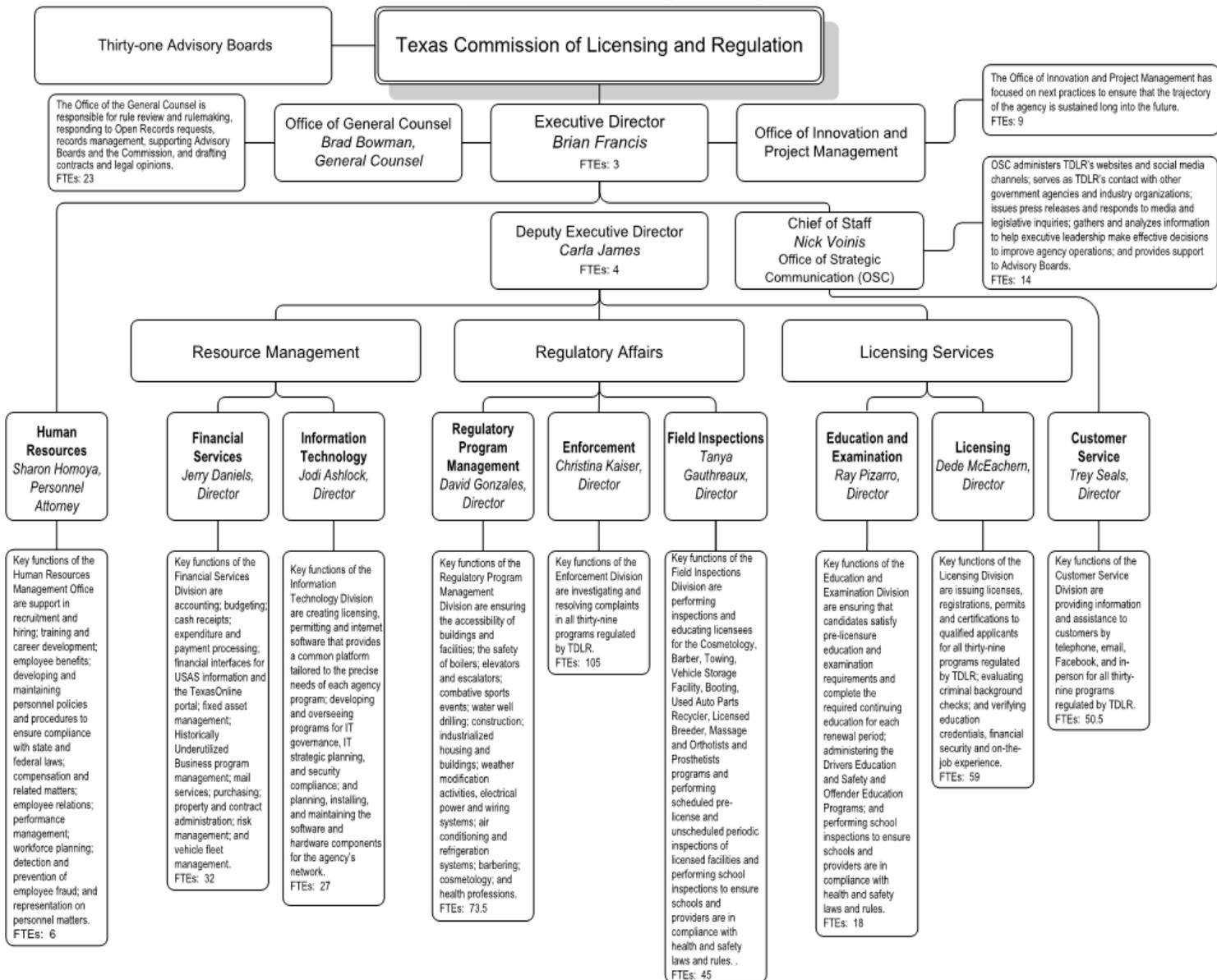
Appropriation for Exceptional Item Four will:

1. Allow TDLR to provide timely and thorough investigation of complaints;
2. Ensure that schools, facilities and businesses comply with health and safety requirements for consumer protection;
3. Confirm that curricula and courses are being taught according to health and safety requirements;
4. Restore two Investigator positions in Enforcement, which will allow 660 additional investigations to be completed each year; and
5. Restore two Inspector positions in Field Inspections, which will allow 2,040 additional inspections to be completed per year.

This request is aligned with Agency Goal #3 in TDLR's 2019-2023 Strategic Plan, "Educate and empower consumers by providing them with the tools to make informed choices about the industries TDLR regulates," and Agency Goal #4, "Prioritize enforcement personnel efforts to resolve complaints involving consumer harm or a threat to public safety."

Four FTEs and \$218,934 are needed in fiscal year 2020 and \$209,360 in fiscal year 2021 to ensure health and safety protections are sustained for all Texans.

Texas Department of Licensing and Regulation





CERTIFICATE

Agency Name Texas Department of Licensing and Regulation

This is to certify that the information contained in the agency Legislative Appropriations Request filed with the Legislative Budget Board (LBB) and the Governor's Office Budget Division (Governor's Office) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with Article IX, Section 7.01 (2018-19 GAA).

Chief Executive Officer or Presiding Judge



Signature

Brian Francis

Printed Name

Executive Director

Title

July 27, 2018

Date

Board or Commission Chair



Signature

Mike Arismendez

Printed Name

Commission Chairman

Title

July 27, 2018

Date

Chief Financial Officer



Signature

Jerald A. Daniels

Printed Name

Director of Financial Services

Title

July 27, 2018

Date

Budget Overview - Biennial Amounts
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation											
Appropriation Years: 2020-21											
	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2020-21
Goal: 1. License, Certify, and Register											
Qualified Individuals and Businesses											
1.1.1. License, Register And Certify	5,884,093	5,884,093					2,825,534	2,825,534	8,709,627	8,709,627	173,404
1.1.2. License Businesses And Facilities	1,959,370	1,959,370					492,000	492,000	2,451,370	2,451,370	
1.1.3. Examinations/Continuing Education	2,669,355	2,653,190	153,835	170,000			450,000	450,000	3,273,190	3,273,190	
1.1.4. Customer Serv.	3,311,248	3,311,248					1,716,400	1,716,400	5,027,648	5,027,648	82,094
1.1.5. Texas.Gov	1,300,000	1,300,000							1,300,000	1,300,000	
Total, Goal	15,124,066	15,107,901	153,835	170,000			5,483,934	5,483,934	20,761,835	20,761,835	255,498
Goal: 2. Protect the Public by											
Enforcing Laws Administered by the											
Agency											
2.1.1. Conduct Inspections	15,490,194	15,490,194					690,562	690,562	16,180,756	16,180,756	735,094
2.1.2. Building Plan Reviews	2,715,278	2,715,278					35,576	35,576	2,750,854	2,750,854	
2.1.3. Resolve Complaints	7,920,878	7,920,878					58,648	58,648	7,979,526	7,979,526	
2.1.4. Investigation	6,189,867	6,189,867					37,222	37,222	6,227,089	6,227,089	205,934
Total, Goal	32,316,217	32,316,217					822,008	822,008	33,138,225	33,138,225	941,028
Goal: 3. Indirect Administration											
3.1.1. Central Administration	5,040,564	5,040,564					2,705,780	2,705,780	7,746,344	7,746,344	
3.1.2. Information Resources	7,282,264	7,282,264					1,374,042	1,374,042	8,656,306	8,656,306	1,952,000
3.1.3. Other Support Services	761,697	761,697					160,000	160,000	921,697	921,697	
Total, Goal	13,084,525	13,084,525					4,239,822	4,239,822	17,324,347	17,324,347	1,952,000
Total, Agency	60,524,808	60,508,643	153,835	170,000			10,545,764	10,545,764	71,224,407	71,224,407	3,148,526
Total FTEs									471.2	471.2	11.0

2.A. Summary of Base Request by Strategy

8/1/2018 10:51:04AM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
1 License, Certify, and Register Qualified Individuals and Businesses					
1 <i>Regulate All Applicable Individuals and Facilities According to Law</i>					
1 LICENSE, REGISTER AND CERTIFY	3,584,078	4,388,421	4,321,206	4,354,813	4,354,814
2 LICENSE BUSINESSES AND FACILITIES	1,093,834	1,175,685	1,275,685	1,225,685	1,225,685
3 EXAMINATIONS/CONTINUING EDUCATION	1,410,452	1,633,769	1,639,421	1,636,595	1,636,595
4 CUSTOMER SERV.	2,407,250	2,540,865	2,486,783	2,513,824	2,513,824
5 TEXAS.GOV	593,334	650,000	650,000	650,000	650,000
TOTAL, GOAL 1	\$9,088,948	\$10,388,740	\$10,373,095	\$10,380,917	\$10,380,918

2 Protect the Public by Enforcing Laws Administered by the Agency

1 *Enforce Laws to Achieve Compliance in Regulated Industries/Occupations*

1 CONDUCT INSPECTIONS	7,241,075	8,061,648	8,119,108	8,090,378	8,090,378
2 BUILDING PLAN REVIEWS	1,520,129	1,375,427	1,375,427	1,375,427	1,375,427
3 RESOLVE COMPLAINTS	3,796,141	4,083,067	3,896,459	3,989,763	3,989,763

2.A. Summary of Base Request by Strategy

8/1/2018 10:51:04AM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
4 INVESTIGATION	3,000,653	3,017,480	3,209,609	3,113,544	3,113,545
TOTAL, GOAL 2	\$15,557,998	\$16,537,622	\$16,600,603	\$16,569,112	\$16,569,113
3 Indirect Administration					
1 Indirect Administration					
1 CENTRAL ADMINISTRATION	4,252,352	3,973,111	3,773,233	3,873,172	3,873,172
2 INFORMATION RESOURCES	4,383,473	4,481,443	4,174,863	4,360,718	4,295,588
3 OTHER SUPPORT SERVICES	419,062	460,849	460,848	460,849	460,848
TOTAL, GOAL 3	\$9,054,887	\$8,915,403	\$8,408,944	\$8,694,739	\$8,629,608
TOTAL, AGENCY STRATEGY REQUEST	\$33,701,833	\$35,841,765	\$35,382,642	\$35,644,768	\$35,579,639
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$33,701,833	\$35,841,765	\$35,382,642	\$35,644,768	\$35,579,639

2.A. Summary of Base Request by Strategy

8/1/2018 10:51:04AM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	28,191,163	30,500,048	30,024,760	30,286,886	30,221,757
SUBTOTAL	\$28,191,163	\$30,500,048	\$30,024,760	\$30,286,886	\$30,221,757
General Revenue Dedicated Funds:					
108 Priv Beauty Culture Sch	19,944	58,835	75,000	75,000	75,000
5081 Barber School Tuition Protection	0	10,000	10,000	10,000	10,000
SUBTOTAL	\$19,944	\$68,835	\$85,000	\$85,000	\$85,000
Other Funds:					
666 Appropriated Receipts	5,454,844	5,237,000	5,237,000	5,237,000	5,237,000
777 Interagency Contracts	10,882	10,882	10,882	10,882	10,882
898 Auction Educ & Rec Trust	25,000	25,000	25,000	25,000	25,000
SUBTOTAL	\$5,490,726	\$5,272,882	\$5,272,882	\$5,272,882	\$5,272,882
TOTAL, METHOD OF FINANCING	\$33,701,833	\$35,841,765	\$35,382,642	\$35,644,768	\$35,579,639

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2016-17 GAA)

\$24,566,367	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2018-19 GAA)

\$0	\$29,773,156	\$29,193,999	\$0	\$0
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Regular Appropriations from MOF Table (2020-21 GAA)

\$0	\$0	\$0	\$30,286,886	\$30,221,757
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RIDER APPROPRIATION

Art VIII, Rider 3, Travel and Fee Reimbursements (2016-17 GAA)

\$130,600	\$0	\$0	\$0	\$0
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Comments: When the agency is fully staffed with inspectors certified to do these special boiler inspections, we are able to perform them more timely and at a substantially lower cost than the large insurance companies. These appropriated receipts cover their increased travel expenditures.

Art VIII, Rider 12, Combative Sports(2016-17 GAA)

\$60,000	\$0	\$0	\$0	\$0
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2.B. Summary of Base Request by Method of Finance

8/1/2018 10:51:05AM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
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GENERAL REVENUE

Comments: There were two combative sports events in 2017 with ticket sales exceeding \$2,000,000.

Art. IX, Sec 18.03(b) CAPPS System Deployment (2016-17 GAA)

\$54,554	\$0	\$0	\$0	\$0
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Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2016-17 GAA)

\$126,134	\$0	\$0	\$0	\$0
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Comments: The increase in subscription fees for newly regulated programs far outpaced the slight decrease in fees from the legacy program.

Art VIII, Rider 12, Combative Sports(2018-19 GAA)

\$0	\$30,000	\$30,000	\$0	\$0
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Comments: 2018-2019

Art VIII, Rider 3, Travel and Fee Reimbursements(2018-19 GAA)

\$0	\$83,461	\$0	\$0	\$0
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Comments: When the agency is fully staffed with inspectors certified to do these special boiler inspections, we are able to perform them more timely and at a substantially lower cost than the large insurance companies. These appropriated receipts cover their increased travel expenditures.

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
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GENERAL REVENUE

Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2018-19 GAA)

	\$0	\$150,000	\$150,000	\$0	\$0
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Comments: SB 202 transferred programs not included in our 2018-19 LAR base request significantly increased subscription fees.

Art IX, Sec 14.03(i), Capital Budget UB (2016-17 GAA)

	\$620,421	\$0	\$0	\$0	\$0
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Comments: The Gartner Cap Budget was not projected to be spent in one year. Some items had to be implemented in sequential order. Also, Gartner capital acquisitions had to be approved by DIR which sometimes required a lengthy process. IT Scheduled Replacement purchases are also sometimes stretched into the second year of the biennium depending on needs.

TRANSFERS

Art IX, Sec 18.02, Salary Increase for General State Employees (2016-17)

	\$452,210	\$0	\$0	\$0	\$0
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Art IX, Sec. 18.41 HB 1786, TEA (2016-17 GAA)

	\$1,116,770	\$0	\$0	\$0	\$0
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Art IX, Sec. 18.56, SB 202, DSHS (2016-17 GAA)

	\$2,346,475	\$0	\$0	\$0	\$0
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2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE</u>					
Art IX, Sec. 18.05 Transport Network Companies, HB100 (2018-19 GAA)	\$0	\$141,391	\$90,257	\$0	\$0
Art IX, Sec. 18.23 Air Conditioning and Refrigeration Contractors, HB3029 (2018-19 GAA)	\$0	\$0	\$96,428	\$0	\$0
Art IX, Sec. 18.24, Podiatrists, HB 3078 (2018-19 GAA)	\$0	\$251,179	\$246,355	\$0	\$0
Art IX, Sec. 18.37, Behavior Analysts, SB 589 (2018-19 GAA)	\$0	\$90,961	\$187,970	\$0	\$0
Art IX, Sec. 18.45, Certain Occupations, SB 2065 (2018-19 GAA)	\$0	\$9,900	\$59,751	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriation from MOF Table (2016-17 GAA)	\$(704,647)	\$0	\$0	\$0	\$0

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
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GENERAL REVENUE

Comments: \$620,421 was UB transferred forward to 2017 from 2016 for Capital Budgets. Much of this when unspent was transferred back to the funding strategy for subsequent lapse. The remaining .37% of original budget can be attributed to efficiencies in agency operations and concerns not to exceed appropriations.

Art. VIII, Rider 12 Combative Sports (2016-17 GAA)

	\$ (12,233)	\$ 0	\$ 0	\$ 0	\$ 0
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Comments: Upon receiving funding for the two mega events in 2017, the agency started paying the Contracted Combative Sports inspectors from this appropriation for the rest of the FY, but did not go back and do expenditure transfers for the specified events.

Art IX, Sec. 18.41 HB 1786, TEA (2016-17 GAA)

	\$ (2,763)	\$ 0	\$ 0	\$ 0	\$ 0
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Comments: Concerns not to exceed appropriation.

Art IX, Sec. 18.56, SB 202, DSHS (2016-17 GAA)

	\$ (52,751)	\$ 0	\$ 0	\$ 0	\$ 0
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Comments: Efficiencies in operations and concerns not to exceed appropriation.

Art. IX, Sec 18.03(b) CAPPs System Deployment (2016-17 GAA)

	\$ (167)	\$ 0	\$ 0	\$ 0	\$ 0
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2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE</u>					
Comments: Concerns not to exceed appropriation.					
Governor's Hiring Freeze (2016-17 GAA)	\$ (509,807)	\$ 0	\$ 0	\$ 0	\$ 0
Comments: Hiring Freeze					
Art. VIII, Rider 12, Combative Sports (2018-19 GAA)	\$ 0	\$ (30,000)	\$ (30,000)	\$ 0	\$ 0
Comments: Because the threshold for this rider was raised due to the two mega events in 2017, it is highly unlikely that the agency will meet the threshold even if there are one or two events that would otherwise qualify.					
TOTAL, General Revenue Fund	\$28,191,163	\$30,500,048	\$30,024,760	\$30,286,886	\$30,221,757
TOTAL, ALL GENERAL REVENUE	\$28,191,163	\$30,500,048	\$30,024,760	\$30,286,886	\$30,221,757

GENERAL REVENUE FUND - DEDICATED

108 GR Dedicated - Private Beauty Culture School Tuition Protection Account No. 108
 REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2016-17 GAA)	\$20,000	\$ 0	\$ 0	\$ 0	\$ 0
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2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE FUND - DEDICATED</u>					
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$75,000	\$75,000	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$0	\$75,000	\$75,000
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriation from MOF Table (2016-17 GAA)	\$(56)	\$0	\$0	\$0	\$0
Comments: On July 1, 2015, the Department of Education's gainful employment regulations took effect to strengthen oversight of the federal student aid money going to career training programs. Eleven beauty schools closed in September of 2016 affecting 572 students.					
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$(16,165)	\$0	\$0	\$0
Comments: Three additional schools were closed later in 2017, and the pro-rata tuition refund process is lengthy.					
TOTAL, GR Dedicated - Private Beauty Culture School Tuition Protection Account No. 108	\$19,944	\$58,835	\$75,000	\$75,000	\$75,000

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE FUND - DEDICATED</u>					
5081 GR Dedicated - Barber School Tuition Protection Account No. 5081					
<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2016-17 GAA)	\$5,000	\$0	\$0	\$0	\$0
Regular Appropriation from MOF Table (2018-19 GAA)	\$0	\$10,000	\$10,000	\$0	\$0
Regular Appropriation from MOF Table (2020-21 GAA)	\$0	\$0	\$0	\$10,000	\$10,000
<i>LAPSED APPROPRIATIONS</i>					
Regular Appropriation from MOF Table (2016-17 GAA)	\$(5,000)	\$0	\$0	\$0	\$0
Comments: There were no barber school closings.					
TOTAL, GR Dedicated - Barber School Tuition Protection Account No. 5081	\$0	\$10,000	\$10,000	\$10,000	\$10,000
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	\$19,944	\$68,835	\$85,000	\$85,000	\$85,000

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
TOTAL, GR & GR-DEDICATED FUNDS	\$28,211,107	\$30,568,883	\$30,109,760	\$30,371,886	\$30,306,757

OTHER FUNDS

666 Appropriated Receipts
REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2016-17 GAA)
 \$930,000 \$0 \$0 \$0 \$0

Regular Appropriations from MOF Table (2018-19 GAA)
 \$0 \$4,335,000 \$4,335,000 \$0 \$0

Regular Appropriations from MOF Table (2020-21 GAA)
 \$0 \$0 \$0 \$5,237,000 \$5,237,000

RIDER APPROPRIATION

Art IX, Sec 12.02, Publications or Sales of Records (2016-17 GAA)
 \$4,453,322 \$0 \$0 \$0 \$0

Comments: Included are Barber Law and Rule Books - approx. 4%; Cosmo Law and Rule Books - approx. 41%; Drivers Ed Parent Taught Packets - approx. 55%.

Art IX, Sec 8.02, Reimbursements and Payments (2016-17 GAA)

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>OTHER FUNDS</u>					
	\$71,522	\$0	\$0	\$0	\$0
Comments: Included are copies, student transcripts - approx. 91%; 3rd party reimbursements such as Elev tags and seals - approx. 9%					
Art IX, Sec 12.02, Publications or Sales of Records (2018-19 GAA)	\$0	\$765,000	\$820,000	\$0	\$0
Art IX, Sec 8.02, Reimbursements and Payments (2018-19 GAA)	\$0	\$137,000	\$82,000	\$0	\$0
TOTAL, Appropriated Receipts	\$5,454,844	\$5,237,000	\$5,237,000	\$5,237,000	\$5,237,000
<u>777</u> Interagency Contracts					
<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2016-17 GAA)	\$10,882	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$10,882	\$10,882	\$0	\$0
Regular Appropriations from MOF Table (2020-21 GAA)					

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>OTHER FUNDS</u>		\$0	\$0	\$0	\$10,882	\$10,882
TOTAL,	Interagency Contracts	\$10,882	\$10,882	\$10,882	\$10,882	\$10,882
<u>898</u> Auctioneer Education and Recovery Trust Fund No. 898 <i>REGULAR APPROPRIATIONS</i>						
	Regular Appropriations from MOF Table (2016-17 GAA)	\$25,000	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$25,000	\$25,000	\$0	\$0
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$0	\$25,000	\$25,000
TOTAL,	Auctioneer Education and Recovery Trust Fund No. 898	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
TOTAL, ALL	OTHER FUNDS	\$5,490,726	\$5,272,882	\$5,272,882	\$5,272,882	\$5,272,882
GRAND TOTAL		\$33,701,833	\$35,841,765	\$35,382,642	\$35,644,768	\$35,579,639

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2016-17)	396.2	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2018-19)	0.0	459.2	459.2	0.0	0.0
Regular Appropriations from MOF Table (2020-21)	0.0	0.0	0.0	471.2	471.2
RIDER APPROPRIATION					
Art. IX, Sec. 18.03, CAPPS (2016-17)	1.0	0.0	0.0	0.0	0.0
TRANSFERS					
Art. IX, Sec. 18.41, HB 1786, TEA (2016-17)	19.5	0.0	0.0	0.0	0.0
Art IX, Sec. 18.56, SB 202, DSHS (2016-17 GAA)	31.5	0.0	0.0	0.0	0.0
Art IX, Sec. 18.05 Transport Network, HB 100 (2018-19 GAA)	0.0	1.5	1.5	0.0	0.0
Comments: 2018-2019 Transportation Network Companies					
Art IX, Sec. 18.23 ACR, HB 3029 (2018-19 GAA)	0.0	0.0	2.0	0.0	0.0
Comments: 2018-2019 Air Conditioning and Refrigeration Contractors					

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
Art IX, Sec. 18.24, Podiatrists, HB 3078 (2018-19 GAA) Comments: 2018-2019 Podiatrists	0.0	4.0	4.0	0.0	0.0
Art IX, Sec. 18.37, Behavior Analysts, SB 589 (2018-19 GAA) Comments: 2018-2019 Behavior Analysts	0.0	1.2	3.5	0.0	0.0
Art IX, Sec. 18.45, Certain Occupations, SB 2065 (2018-19 GAA) Comments: 2018-2019 Certain Occupations	0.0	0.0	1.0	0.0	0.0
LAPSED APPROPRIATIONS					
Governor's Hiring Freeze (2016-17 GAA)	(11.4)	0.0	0.0	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Art. IX, Sec. 18.41, HB 1786, TEA (2016-17) Comments: Normal lapse due to vacated positions before freeze.	(2.1)	0.0	0.0	0.0	0.0
Art IX, Sec. 18.56, SB 202, DSHS (2016-17 GAA) Comments: Normal lapse due to vacated positions before freeze.	(1.6)	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2016-17 GAA) Comments: Normal lapse due to vacated positions before freeze.	(3.7)	0.0	0.0	0.0	0.0
TOTAL, ADJUSTED FTES	429.4	465.9	471.2	471.2	471.2

2.B. Summary of Base Request by Method of Finance

8/1/2018 10:51:05AM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

METHOD OF FINANCING

Exp 2017

Est 2018

Bud 2019

Req 2020

Req 2021

NUMBER OF 100% FEDERALLY FUNDED

FTEs

2.C. Summary of Base Request by Object of Expense
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:05AM

452 Department of Licensing and Regulation

OBJECT OF EXPENSE	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1001 SALARIES AND WAGES	\$24,193,708	\$25,421,826	\$25,876,454	\$26,299,470	\$26,299,470
1002 OTHER PERSONNEL COSTS	\$2,255,072	\$1,487,648	\$612,960	\$612,960	\$612,960
2001 PROFESSIONAL FEES AND SERVICES	\$1,400,666	\$1,302,885	\$1,055,365	\$1,098,790	\$1,171,425
2002 FUELS AND LUBRICANTS	\$5,982	\$8,100	\$8,500	\$8,500	\$8,500
2003 CONSUMABLE SUPPLIES	\$82,777	\$109,034	\$106,021	\$106,021	\$106,021
2004 UTILITIES	\$166,742	\$149,381	\$110,777	\$110,777	\$110,777
2005 TRAVEL	\$921,621	\$1,155,649	\$1,155,649	\$1,155,649	\$1,155,649
2006 RENT - BUILDING	\$892,162	\$962,627	\$899,156	\$899,156	\$899,156
2007 RENT - MACHINE AND OTHER	\$164,393	\$95,264	\$87,000	\$87,000	\$87,000
2009 OTHER OPERATING EXPENSE	\$3,598,033	\$5,140,761	\$5,470,760	\$5,266,445	\$5,128,681
5000 CAPITAL EXPENDITURES	\$20,677	\$8,590	\$0	\$0	\$0
OOE Total (Excluding Riders)	\$33,701,833	\$35,841,765	\$35,382,642	\$35,644,768	\$35,579,639
OOE Total (Riders)				\$0	\$0
Grand Total	\$33,701,833	\$35,841,765	\$35,382,642	\$35,644,768	\$35,579,639

2.D. Summary of Base Request Objective Outcomes
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

8/1/2018 10:51:05AM

452 Department of Licensing and Regulation

<i>Goal/ Objective / Outcome</i>	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1 License, Certify, and Register Qualified Individuals and Businesses <i>1 Regulate All Applicable Individuals and Facilities According to Law</i>					
KEY 1 Percent of Licenses With No Recent Disciplinary Actions	97.50%	97.00%	97.00%	97.00%	97.00%
KEY 2 Percent of Licenses Who Renew Online	96.30%	94.00%	94.00%	95.00%	95.00%
KEY 3 Percent of New Individual Licenses Issued Online	87.80%	87.00%	87.00%	87.00%	87.00%
4 Percent of Contacts Responded to by Staff at TDLR	95.49%	90.00%	90.00%	85.00%	85.00%
2 Protect the Public by Enforcing Laws Administered by the Agency <i>1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations</i>					
1 Percent of Complaints Resulting in Disciplinary Action	27.77%	28.40%	27.90%	25.40%	25.10%
KEY 2 Percent of Complaints Closed within Six Months	78.29%	67.00%	68.00%	71.25%	72.58%
3 Recidivism Rate of Those Receiving Disciplinary Action	11.50%	9.20%	9.00%	9.00%	9.00%
KEY 4 Inspection Coverage Rate	85.67%	86.00%	86.00%	88.81%	89.02%

2.E. Summary of Exceptional Items Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
 TIME : 10:51:06AM

Agency code: 452

Agency name: Department of Licensing and Regulation

Priority	Item	2020			2021			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Licensing System - Phase I	\$961,000	\$961,000	0.0	\$991,000	\$991,000	0.0	\$1,952,000	\$1,952,000
2	Combat Human Trafficking	\$260,154	\$260,154	4.0	\$252,580	\$252,580	4.0	\$512,734	\$512,734
3	Ensure Licensing	\$130,290	\$130,290	3.0	\$125,208	\$125,208	3.0	\$255,498	\$255,498
4	Enhance Enforcement	\$218,934	\$218,934	4.0	\$209,360	\$209,360	4.0	\$428,294	\$428,294
Total, Exceptional Items Request		\$1,570,378	\$1,570,378	11.0	\$1,578,148	\$1,578,148	11.0	\$3,148,526	\$3,148,526

Method of Financing

General Revenue	\$1,570,378	\$1,570,378		\$1,578,148	\$1,578,148		\$3,148,526	\$3,148,526
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$1,570,378	\$1,570,378		\$1,578,148	\$1,578,148		\$3,148,526	\$3,148,526

Full Time Equivalent Positions

11.0

11.0

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/1/2018
 TIME : 10:51:06AM

Agency code: 452	Agency name: Department of Licensing and Regulation					
Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
1 License, Certify, and Register Qualified Individuals and Businesses						
<i>1 Regulate All Applicable Individuals and Facilities According to Law</i>						
1 LICENSE, REGISTER AND CERTIFY	\$4,354,813	\$4,354,814	\$88,396	\$85,008	\$4,443,209	\$4,439,822
2 LICENSE BUSINESSES AND FACILITIES	1,225,685	1,225,685	0	0	1,225,685	1,225,685
3 EXAMINATIONS/CONTINUING EDUCATION	1,636,595	1,636,595	0	0	1,636,595	1,636,595
4 CUSTOMER SERV.	2,513,824	2,513,824	41,894	40,200	2,555,718	2,554,024
5 TEXAS.GOV	650,000	650,000	0	0	650,000	650,000
TOTAL, GOAL 1	\$10,380,917	\$10,380,918	\$130,290	\$125,208	\$10,511,207	\$10,506,126
2 Protect the Public by Enforcing Laws Administered by the Agency						
<i>1 Enforce Laws to Achieve Compliance in Regulated Industries/Occup</i>						
1 CONDUCT INSPECTIONS	8,090,378	8,090,378	373,834	361,260	8,464,212	8,451,638
2 BUILDING PLAN REVIEWS	1,375,427	1,375,427	0	0	1,375,427	1,375,427
3 RESOLVE COMPLAINTS	3,989,763	3,989,763	0	0	3,989,763	3,989,763
4 INVESTIGATION	3,113,544	3,113,545	105,254	100,680	3,218,798	3,214,225
TOTAL, GOAL 2	\$16,569,112	\$16,569,113	\$479,088	\$461,940	\$17,048,200	\$17,031,053

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/1/2018
 TIME : 10:51:06AM

Agency code: 452	Agency name: Department of Licensing and Regulation					
Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
3 Indirect Administration						
1 Indirect Administration						
1 CENTRAL ADMINISTRATION	\$3,873,172	\$3,873,172	\$0	\$0	\$3,873,172	\$3,873,172
2 INFORMATION RESOURCES	4,360,718	4,295,588	961,000	991,000	5,321,718	5,286,588
3 OTHER SUPPORT SERVICES	460,849	460,848	0	0	460,849	460,848
TOTAL, GOAL 3	\$8,694,739	\$8,629,608	\$961,000	\$991,000	\$9,655,739	\$9,620,608
TOTAL, AGENCY STRATEGY REQUEST	\$35,644,768	\$35,579,639	\$1,570,378	\$1,578,148	\$37,215,146	\$37,157,787
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST	\$0	\$0	\$0	\$0	\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$35,644,768	\$35,579,639	\$1,570,378	\$1,578,148	\$37,215,146	\$37,157,787

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 8/1/2018
 TIME : 10:51:06AM

Agency code: 452		Agency name: Department of Licensing and Regulation				
Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
General Revenue Funds:						
1 General Revenue Fund	\$30,286,886	\$30,221,757	\$1,570,378	\$1,578,148	\$31,857,264	\$31,799,905
	\$30,286,886	\$30,221,757	\$1,570,378	\$1,578,148	\$31,857,264	\$31,799,905
General Revenue Dedicated Funds:						
108 Priv Beauty Culture Sch	75,000	75,000	0	0	75,000	75,000
5081 Barber School Tuition Protection	10,000	10,000	0	0	10,000	10,000
	\$85,000	\$85,000	\$0	\$0	\$85,000	\$85,000
Other Funds:						
666 Appropriated Receipts	5,237,000	5,237,000	0	0	5,237,000	5,237,000
777 Interagency Contracts	10,882	10,882	0	0	10,882	10,882
898 Auction Educ & Rec Trust	25,000	25,000	0	0	25,000	25,000
	\$5,272,882	\$5,272,882	\$0	\$0	\$5,272,882	\$5,272,882
TOTAL, METHOD OF FINANCING	\$35,644,768	\$35,579,639	\$1,570,378	\$1,578,148	\$37,215,146	\$37,157,787
FULL TIME EQUIVALENT POSITIONS	471.2	471.2	11.0	11.0	482.2	482.2

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/1/2018
 Time: 10:51:06AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Goal/ Objective / Outcome

		BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
1	License, Certify, and Register Qualified Individuals and Businesses						
1	Regulate All Applicable Individuals and Facilities According to Law						
KEY	1 Percent of Licenses With No Recent Disciplinary Actions	97.00%	97.00%			97.00%	97.00%
KEY	2 Percent of Licenses Who Renew Online	95.00%	95.00%			95.00%	95.00%
KEY	3 Percent of New Individual Licenses Issued Online	87.00%	87.00%			87.00%	87.00%
	4 Percent of Contacts Responded to by Staff at TDLR	85.00%	85.00%	87.73%	87.73%	87.73%	87.73%
2	Protect the Public by Enforcing Laws Administered by the Agency						
1	Enforce Laws to Achieve Compliance in Regulated Industries/Occupations						
	1 Percent of Complaints Resulting in Disciplinary Action	25.40%	25.10%			25.40%	25.10%
KEY	2 Percent of Complaints Closed within Six Months	71.25%	72.58%	72.43%	73.82%	72.43%	73.82%
	3 Recidivism Rate of Those Receiving Disciplinary Action	9.00%	9.00%			9.00%	9.00%

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 8/1/2018
 Time: 10:51:06AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Goal/ Objective / Outcome

	BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
KEY 4 Inspection Coverage Rate	88.81%	89.02%	90.09%	90.27%	90.09%	90.27%

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
KEY 1	Number of New Licenses Issued to Individuals	117,881.00	127,643.00	128,008.00	123,244.00	124,827.00
KEY 2	Number of Licenses Renewed for Individuals	270,819.00	265,680.00	270,231.00	297,520.00	301,601.00
Efficiency Measures:						
1	Percentage of New Individual Licenses Issued within 10 Days	94.80 %	88.00 %	86.00 %	94.00 %	94.00 %
2	% Indiv License Renewals Issued within 7 Days	98.80 %	93.00 %	91.00 %	97.00 %	97.00 %
Explanatory/Input Measures:						
KEY 1	Total Number of Licenses Held by Individuals	529,035.00	573,923.00	582,653.00	607,913.00	616,010.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,164,838	\$3,053,251	\$3,250,545	\$3,250,545	\$3,250,545
1002	OTHER PERSONNEL COSTS	\$214,375	\$159,892	\$87,600	\$87,600	\$87,600
2001	PROFESSIONAL FEES AND SERVICES	\$22,374	\$8,701	\$8,026	\$8,026	\$8,026
2003	CONSUMABLE SUPPLIES	\$6,591	\$26,346	\$13,950	\$14,625	\$14,625
2004	UTILITIES	\$6,738	\$3,294	\$1,426	\$1,426	\$1,426
2005	TRAVEL	\$3,935	\$6,339	\$6,339	\$6,339	\$6,339
2006	RENT - BUILDING	\$55,432	\$49,624	\$49,788	\$49,788	\$49,788
2007	RENT - MACHINE AND OTHER	\$17,932	\$12,806	\$13,478	\$13,478	\$13,478

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

Service Categories:

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
2009	OTHER OPERATING EXPENSE	\$1,071,186	\$1,065,520	\$890,054	\$922,986	\$922,987
5000	CAPITAL EXPENDITURES	\$20,677	\$2,648	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$3,584,078	\$4,388,421	\$4,321,206	\$4,354,813	\$4,354,814
Method of Financing:						
1	General Revenue Fund	\$2,102,972	\$2,975,654	\$2,908,439	\$2,942,046	\$2,942,047
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,102,972	\$2,975,654	\$2,908,439	\$2,942,046	\$2,942,047
Method of Financing:						
666	Appropriated Receipts	\$1,481,106	\$1,412,767	\$1,412,767	\$1,412,767	\$1,412,767
SUBTOTAL, MOF (OTHER FUNDS)		\$1,481,106	\$1,412,767	\$1,412,767	\$1,412,767	\$1,412,767
Rider Appropriations:						
898 Auction Educ & Rec Trust						
4	1 Auctioneer Education and Recovery				\$0	\$0
TOTAL, RIDER & UNEXPENDED BALANCES APPROP					\$0	\$0

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$4,354,813	\$4,354,814
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,584,078	\$4,388,421	\$4,321,206	\$4,354,813	\$4,354,814
FULL TIME EQUIVALENT POSITIONS:		47.1	54.9	65.0	65.0	65.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Thirty-four of the 39 programs TDLR administers contain at least one licensing, registration, or certification provision for individuals. The core responsibility of the Licensing Division is to issue licenses, registrations, permits, and certifications to qualified applicants by evaluating applications to ensure that all relevant requirements for licensure are satisfied. Meticulous knowledge of licensing requirements outlined in program statutes and their corresponding administrative rules is required to issue 133 license types to a licensee population of more than 589,000 individuals. Some license types require additional assessment and mandate further evaluations such as criminal history checks, education/credential verification, financial requirements, and on-the-job experience requirements. TDLR's overall statutory authority to issue licenses is in Occupations Code, Chapter 51.103(a)(3). This strategy emphasizes our commitment to serve the citizens of Texas and all regulated industries through timely and accurate issuance of licenses, registrations, certifications, and permits to qualified individuals. Through this strategy, we effectively regulate all individual licensees in accordance with the laws administered by the agency; issue licenses, registrations, certifications, and permits to qualified individuals; and develop and distribute information about regulated industries. This strategy is directly linked to TDLR's other goals and strategies.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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Our licensees' use of online technology is the single largest factor that enables the Licensing Division to efficiently and effectively issue licenses. Through the first three quarters of FY 2018, of those license types who were eligible to file online, more than 89% of all new individual license applications, and almost 95% of all individual license renewals were filed online. In addition to outsourcing keystrokes, another benefit of online technology is a reduction in the number of incomplete applications, incorrect data entry, and incorrect fee payments, reducing the amount of time needed to process each application and issue each license.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$8,709,627	\$8,709,627	\$0	\$0	This minor amount contributes to the increase in Salaries and Wages in this strategy needed for additional staffing due to the length of time taken to enter applications in the Versa licensing system.
			\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 2 License Businesses and Facilities

Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Explanatory/Input Measures:						
KEY 1	Total Number of Licenses Held by Businesses	209,477.00	215,037.00	219,360.00	225,967.00	229,875.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$825,913	\$988,490	\$568,955	\$648,070	\$648,070
1002	OTHER PERSONNEL COSTS	\$84,907	\$55,065	\$21,840	\$21,840	\$21,840
2001	PROFESSIONAL FEES AND SERVICES	\$3,465	\$2,699	\$2,597	\$2,597	\$2,597
2003	CONSUMABLE SUPPLIES	\$2,923	\$6,487	\$4,500	\$4,275	\$4,275
2004	UTILITIES	\$246	\$1,091	\$824	\$824	\$824
2005	TRAVEL	\$2,146	\$5,015	\$5,015	\$5,015	\$5,015
2006	RENT - BUILDING	\$19,583	\$21,040	\$22,104	\$22,104	\$22,104
2007	RENT - MACHINE AND OTHER	\$2,463	\$9,344	\$4,074	\$4,074	\$4,074
2009	OTHER OPERATING EXPENSE	\$152,188	\$86,454	\$645,776	\$516,886	\$516,886
TOTAL, OBJECT OF EXPENSE		\$1,093,834	\$1,175,685	\$1,275,685	\$1,225,685	\$1,225,685
Method of Financing:						
1	General Revenue Fund	\$965,234	\$929,685	\$1,029,685	\$979,685	\$979,685
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$965,234	\$929,685	\$1,029,685	\$979,685	\$979,685

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 2 License Businesses and Facilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Method of Financing:						
666	Appropriated Receipts	\$128,600	\$246,000	\$246,000	\$246,000	\$246,000
SUBTOTAL, MOF (OTHER FUNDS)		\$128,600	\$246,000	\$246,000	\$246,000	\$246,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,225,685	\$1,225,685
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,093,834	\$1,175,685	\$1,275,685	\$1,225,685	\$1,225,685
FULL TIME EQUIVALENT POSITIONS:		18.3	20.0	19.0	19.0	19.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Twenty-five of the 39 programs administered by TDLR contain at least one licensing, registration, or certification provision for businesses. The core responsibility of the Licensing Division is to issue licenses, registrations, permits and certifications to qualified applicants by evaluating applications to ensure that all relevant requirements for licensure are satisfied. Meticulous knowledge of licensing requirements outlined in program statutes and their corresponding administrative rules is required to issue 84 business and facility license types to a licensee population of more than 207,000 facilities. Some license types require additional assessment and mandate further evaluations such as financial and insurance requirements and credential verification. TDLR's overall statutory authority to issue licenses is in Occupations Code, Chapter 51.103(a)(3). This strategy emphasizes our commitment to serve the citizens of Texas and all regulated industries through timely and accurate issuance of licenses, registrations, certifications, and permits to qualified facilities. Through this strategy, we effectively regulate all businesses licensed in accordance with the laws administered by the agency; issue licenses, registrations, certifications, and permits to qualified businesses; and develop and distribute information about regulated industries. This strategy is directly linked to TDLR's other goals and strategies.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 2 License Businesses and Facilities Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Our licensees' use of online technology enables the Licensing Division to efficiently and effectively issue licenses. Through the first three quarters of FY 2018, of those license types who were eligible to file online, 76.1% of all new facility license applications, and 35.9% of all facility license renewals were submitted online. In addition to outsourcing keystrokes, another benefit of online technology is a reduction in the number of incomplete applications, incorrect data entry, and incorrect fee payments, reducing the amount of time needed to process each application and issue each license.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,451,370	\$2,451,370	\$0	<u>\$0</u>	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 3 Administer Exams to Applicants

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
1	Number of Courses Approved	1,100.00	850.00	850.00	2,400.00	2,400.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$998,510	\$1,060,132	\$1,051,854	\$1,150,594	\$1,150,594
1002	OTHER PERSONNEL COSTS	\$83,180	\$75,440	\$28,320	\$28,320	\$28,320
2001	PROFESSIONAL FEES AND SERVICES	\$3,027	\$5,767	\$2,904	\$2,904	\$2,904
2002	FUELS AND LUBRICANTS	\$126	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$1,575	\$4,109	\$4,950	\$4,275	\$4,275
2004	UTILITIES	\$5,583	\$6,385	\$4,555	\$4,555	\$4,555
2005	TRAVEL	\$102,178	\$134,389	\$134,389	\$134,389	\$134,389
2006	RENT - BUILDING	\$27,978	\$72,845	\$49,353	\$49,353	\$49,353
2007	RENT - MACHINE AND OTHER	\$8,220	\$3,067	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$180,075	\$271,635	\$363,096	\$262,205	\$262,205
TOTAL, OBJECT OF EXPENSE		\$1,410,452	\$1,633,769	\$1,639,421	\$1,636,595	\$1,636,595
Method of Financing:						
1	General Revenue Fund	\$1,133,452	\$1,339,934	\$1,329,421	\$1,326,595	\$1,326,595
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,133,452	\$1,339,934	\$1,329,421	\$1,326,595	\$1,326,595

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 3 Administer Exams to Applicants

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Method of Financing:						
108	Priv Beauty Culture Sch	\$0	\$58,835	\$75,000	\$75,000	\$75,000
5081	Barber School Tuition Protection	\$0	\$10,000	\$10,000	\$10,000	\$10,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$0	\$68,835	\$85,000	\$85,000	\$85,000
Method of Financing:						
666	Appropriated Receipts	\$277,000	\$225,000	\$225,000	\$225,000	\$225,000
SUBTOTAL, MOF (OTHER FUNDS)		\$277,000	\$225,000	\$225,000	\$225,000	\$225,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,636,595	\$1,636,595
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,410,452	\$1,633,769	\$1,639,421	\$1,636,595	\$1,636,595
FULL TIME EQUIVALENT POSITIONS:		17.8	19.0	19.0	19.0	19.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 3 Administer Exams to Applicants Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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TDLR administers examinations to ensure applicants are competent and can safely provide services. TDLR contracts with a third-party vendor that conducts about 60,000 exams per year, offering computer-based exams at 22 locations, and barber and cosmetology practical exams at eight locations. Some applicants can get a temporary license at the testing site to begin work that day.

The Legislature created pre-licensure education requirements for Auctioneers, Barbering, Cosmetologists, Driver Education and Safety, Laser Hair Removal, Massage Therapy, Midwives, Mold Assessors and Remediators, Offender Education, Polygraph Examiners, Property Tax Consultants, Property Tax Professionals, Elimination of Architectural Barriers. TDLR approves all pre-license education providers and curricula.

The Legislature created continuing education (CE) requirements for Air Conditioning and Refrigeration Contractors, Athletic Trainers, Auctioneers, Code Enforcement Officers, Cosmetologists, Dietitians, Driver Education and Safety, Electricians, Elevators, Hearing Instrument Fitters and Dispensers, Laser Hair Removal, Midwives, Mold Assessors and Remediators, Offender Education, Orthotists and Prosthetists, Polygraph Examiners, Property Tax Consultants, Property Tax Professionals, Elimination of Architectural Barriers, Sanitarians, Speech-Language Pathologists and Audiologists, Towing Operators, Water Well Drillers and Pump Installers. TDLR approves all CE providers and curricula, except for Property Tax Professionals, whose content is approved by the Comptroller of Public Accounts.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Examinations are constantly evolving due to the changing nature of their corresponding occupations. These professions are governed by state laws and rules, and in some cases national codes, that are updated on an ongoing basis. As changes occur, TDLR reviews examination content to ensure that examinations stay current. With input from advisory boards and industry subject matter experts, TDLR keeps licensing examinations relevant. Another external factor that can increase workload is the number of course submissions made by providers seeking course approvals.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 3 Administer Exams to Applicants Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$3,273,190	\$3,273,190	\$0	\$0	This minor amount contributes to the increase in Salaries and Wages in this strategy needed for additional staffing for the Offender Education Providers program.
			<u>\$0</u>	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 4 Provide Customer Service

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Explanatory/Input Measures:						
1	Number of Contacts Received	491,401.00	505,000.00	505,000.00	565,000.00	565,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,909,538	\$1,983,169	\$2,079,863	\$2,125,863	\$2,125,863
1002	OTHER PERSONNEL COSTS	\$218,811	\$94,621	\$32,400	\$32,400	\$32,400
2001	PROFESSIONAL FEES AND SERVICES	\$8,491	\$11,752	\$7,873	\$7,873	\$7,873
2003	CONSUMABLE SUPPLIES	\$3,672	\$2,694	\$11,588	\$11,588	\$11,588
2004	UTILITIES	\$8,635	\$2,313	\$1,743	\$1,743	\$1,743
2005	TRAVEL	\$3,686	\$4,550	\$4,550	\$4,550	\$4,550
2006	RENT - BUILDING	\$67,098	\$67,577	\$57,834	\$57,834	\$57,834
2007	RENT - MACHINE AND OTHER	\$11,950	\$1,888	\$2,031	\$2,031	\$2,031
2009	OTHER OPERATING EXPENSE	\$175,369	\$372,301	\$288,901	\$269,942	\$269,942
TOTAL, OBJECT OF EXPENSE		\$2,407,250	\$2,540,865	\$2,486,783	\$2,513,824	\$2,513,824
Method of Financing:						
1	General Revenue Fund	\$1,754,439	\$1,682,665	\$1,628,583	\$1,655,624	\$1,655,624
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,754,439	\$1,682,665	\$1,628,583	\$1,655,624	\$1,655,624

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 4 Provide Customer Service

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Method of Financing:						
108	Priv Beauty Culture Sch	\$19,944	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$19,944	\$0	\$0	\$0	\$0
Method of Financing:						
666	Appropriated Receipts	\$632,867	\$858,200	\$858,200	\$858,200	\$858,200
SUBTOTAL, MOF (OTHER FUNDS)		\$632,867	\$858,200	\$858,200	\$858,200	\$858,200
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,513,824	\$2,513,824
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,407,250	\$2,540,865	\$2,486,783	\$2,513,824	\$2,513,824
FULL TIME EQUIVALENT POSITIONS:		47.9	53.0	51.5	51.5	51.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Customer Service Division serves as TDLR's main point of contact for licensees and customers. Customer service representatives respond to phone calls, emails, social media, walk-in visits, faxes and general questions from the public. The goal of the Customer Service Division is to provide timely and accurate information for licensees and members of the public in a courteous and respectful manner while seeking ways to reduce customer effort and enhance the customer experience. Customer Service tracks and relays business intelligence to internal divisions advocating for a customer-centric approach to service delivery. Customer service representatives are responsible for responding to inquiries regarding TDLR's 39 programs and for providing comprehensive and useful information with integrity and accountability.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 4 Provide Customer Service Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Customer Service is required to maintain a knowledge base of TDLR’s 39 regulated programs and the continual changes to these programs through statutory amendments, rule updates, and process improvements. Customer service representatives must also keep current on the various requirements that must be met to obtain original and renewal licenses in all programs. The Customer Service Division continues to experience an increase in the number of contacts regarding TDLR’s regulated programs. This increase in contacts from businesses and individuals required to be licensed, and from the general public, necessitates additional training and staffing for the Customer Service Division so its representatives can provide prompt and accurate information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$5,027,648	\$5,027,648	\$0	<u>\$0</u>	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 5 Texas.gov. Estimated and Nontransferable Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$593,334	\$650,000	\$650,000	\$650,000	\$650,000
TOTAL, OBJECT OF EXPENSE		\$593,334	\$650,000	\$650,000	\$650,000	\$650,000
Method of Financing:						
1	General Revenue Fund	\$593,334	\$650,000	\$650,000	\$650,000	\$650,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$593,334	\$650,000	\$650,000	\$650,000	\$650,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$650,000	\$650,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$593,334	\$650,000	\$650,000	\$650,000	\$650,000

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy reflects the pass-through of funds from TDLR to the vendor operating the TexasOnline portal.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Department of Information Resources' TexasOnline Authority promulgates rules governing the operations of the online licensing function for the State of Texas.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law Service Categories:
 STRATEGY: 5 Texas.gov. Estimated and Nontransferable Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,300,000	\$1,300,000	\$0	\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
KEY 1	Total Number of Inspections Completed	134,199.00	125,355.00	127,075.00	141,563.00	145,108.00
Explanatory/Input Measures:						
1	Total # of AB Inspections Completed by Third Party Inspectors	19,144.00	18,149.00	19,946.00	20,500.00	21,500.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$5,425,616	\$5,659,216	\$6,353,685	\$6,322,255	\$6,322,255
1002	OTHER PERSONNEL COSTS	\$462,899	\$397,700	\$159,360	\$159,360	\$159,360
2001	PROFESSIONAL FEES AND SERVICES	\$54,669	\$33,500	\$15,898	\$15,898	\$15,898
2002	FUELS AND LUBRICANTS	\$5,634	\$7,824	\$8,000	\$8,000	\$8,000
2003	CONSUMABLE SUPPLIES	\$19,089	\$25,644	\$23,108	\$25,808	\$25,808
2004	UTILITIES	\$91,810	\$77,607	\$63,709	\$63,709	\$63,709
2005	TRAVEL	\$642,027	\$824,523	\$824,523	\$824,523	\$824,523
2006	RENT - BUILDING	\$190,531	\$228,385	\$277,224	\$277,224	\$277,224
2007	RENT - MACHINE AND OTHER	\$21,865	\$18,722	\$17,340	\$17,340	\$17,340
2009	OTHER OPERATING EXPENSE	\$326,935	\$782,585	\$376,261	\$376,261	\$376,261
5000	CAPITAL EXPENDITURES	\$0	\$5,942	\$0	\$0	\$0

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
TOTAL, OBJECT OF EXPENSE		\$7,241,075	\$8,061,648	\$8,119,108	\$8,090,378	\$8,090,378
Method of Financing:						
1	General Revenue Fund	\$7,074,949	\$7,716,367	\$7,773,827	\$7,745,097	\$7,745,097
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$7,074,949	\$7,716,367	\$7,773,827	\$7,745,097	\$7,745,097
Method of Financing:						
666	Appropriated Receipts	\$166,126	\$345,281	\$345,281	\$345,281	\$345,281
SUBTOTAL, MOF (OTHER FUNDS)		\$166,126	\$345,281	\$345,281	\$345,281	\$345,281
Rider Appropriations:						
666 Appropriated Receipts						
3	1 Special Boiler Inspections				\$0	\$0
TOTAL, RIDER & UNEXPENDED BALANCES APPROP					\$0	\$0

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$8,090,378	\$8,090,378
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$7,241,075	\$8,061,648	\$8,119,108	\$8,090,378	\$8,090,378
FULL TIME EQUIVALENT POSITIONS:		93.3	102.0	114.7	114.7	114.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

16 TDLR statutes require onsite inspections, the frontline for protecting public health and safety. Barbering and Cosmetology-ensure shops comply with sanitation and licensing requirements; ensure schools meet regulatory, sanitation, equipment, classroom, and documentation requirements. Boilers-verify safe operation, reduce risk of explosions. Combative Sports-ensure events are conducted safely and ethically. Driver Education-ensure schools use current curriculum and properly-equipped cars in a proper learning environment. Elevator and Escalator Safety-identify conditions that could cause serious injury or death. Elimination of Architectural Barriers-identify features that can block access for disabled persons. Industrialized Housing and Buildings-ensure buildings and related manufacturing plants are constructed to building and safety codes. Licensed Breeders-protect consumers and animals in facilities. Massage Therapy-ensure establishments meet sanitation, licensing, and documentation requirements; ensure schools meet regulatory, sanitation, classroom, and documentation requirements. Midwifery-course audits ensure trained individuals practice midwifery. Mold Assessors and Remediators-ensure remediators and contractors perform remediation per protocol. Orthotists and Prosthetists-ensure facilities are clean, well-equipped, and operated by licensed persons. Tow Trucks and Vehicle Storage Facilities-ensure vehicle and owner protection through towing safety, vehicle security, and fee compliance. Used Automotive Parts Recyclers-ensure purchase and sale requirements are met.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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This strategy can be impacted by economic growth, the construction industry, building safety and maintenance, and public health issues. Strong economic conditions increase workloads in all programs. If the state's economy slows, so too may the rate of construction activity and the rate at which new businesses are opened. A slowdown in construction may decrease the number of inspections performed in the Boiler, Elevator and Escalator Safety, Elimination of Architectural Barriers, and Industrialized Housing and Buildings programs. An economic slowdown would not significantly impact the agency's workload of periodic, ongoing inspections of existing businesses and equipment. In addition, agency enforcement efforts in these programs could offset the effects of any slowdown in the economy or in construction and add to workloads for these programs.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$16,180,756	\$16,180,756	\$0	\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 2 Perform Building Plan Reviews Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
1	Number of Plan Reviews Completed	24,395.00	22,065.00	22,065.00	26,200.00	26,800.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,205,965	\$1,140,529	\$895,461	\$895,461	\$895,461
1002	OTHER PERSONNEL COSTS	\$116,170	\$67,196	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$3,580	\$3,103	\$3,058	\$3,058	\$3,058
2003	CONSUMABLE SUPPLIES	\$2,306	\$3,261	\$3,375	\$3,375	\$3,375
2004	UTILITIES	\$9,674	\$7,622	\$0	\$0	\$0
2005	TRAVEL	\$9,559	\$15,232	\$15,232	\$15,232	\$15,232
2006	RENT - BUILDING	\$105,950	\$79,151	\$51,950	\$51,950	\$51,950
2007	RENT - MACHINE AND OTHER	\$5,080	\$1,226	\$1,319	\$1,319	\$1,319
2009	OTHER OPERATING EXPENSE	\$61,845	\$58,107	\$405,032	\$405,032	\$405,032
TOTAL, OBJECT OF EXPENSE		\$1,520,129	\$1,375,427	\$1,375,427	\$1,375,427	\$1,375,427
Method of Financing:						
1	General Revenue Fund	\$1,519,164	\$1,357,639	\$1,357,639	\$1,357,639	\$1,357,639
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,519,164	\$1,357,639	\$1,357,639	\$1,357,639	\$1,357,639

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 2 Perform Building Plan Reviews Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Method of Financing:						
666	Appropriated Receipts	\$965	\$17,788	\$17,788	\$17,788	\$17,788
SUBTOTAL, MOF (OTHER FUNDS)		\$965	\$17,788	\$17,788	\$17,788	\$17,788
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,375,427	\$1,375,427
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,520,129	\$1,375,427	\$1,375,427	\$1,375,427	\$1,375,427
FULL TIME EQUIVALENT POSITIONS:		21.7	22.0	15.0	15.0	15.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Three TDLR statutes require review of construction plans prior to construction or installation: Elimination of Architectural Barriers (EAB); Industrialized Housing and Buildings (IHB); and Elevators, Escalators and Related Equipment (ELE). Plan reviews verify compliance with applicable laws, rules, accessibility standards, building codes, and safety codes. EAB plan reviews ensure buildings and facilities are designed and built in compliance with accessibility standards; these standards ensure accessibility and usability by persons with disabilities. IHB plan reviews ensure modular structures (residential and commercial) are designed and built to comply with applicable building and life safety codes; these codes protect the investments and safety of citizens using and residing in these structures. ELE plan reviews for installation of elevators, escalators and related equipment ensure equipment is designed according to safety standards, and that equipment will be installed correctly and operate safely. In addition, reviewing plans prior to construction can identify problems in the design stage, not only making buildings safer and more accessible, but also saving design professionals, builders, manufacturers, and building owners costly reconstruction of incorrectly designed and constructed features.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 2 Perform Building Plan Reviews Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The EAB, IHB, and ELE programs are directly impacted by the economy and corresponding trends in construction activity. A slowdown in construction activity would decrease the number of plan reviews performed in these programs, while an increase in construction activity would increase these workloads. Unpredictable disastrous events such as Hurricane Harvey can often hinder construction activity, but then significantly increase plan review workloads for the EAB, IHB and ELE programs as rebuilding efforts get underway. TDLR's enforcement efforts against unreported construction activity and ongoing education outreach to design professionals, building officials, and building owners on statutory requirements also help to maintain the number of plans submitted for review.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$2,750,854	\$2,750,854	\$0	\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Output Measures:						
KEY 1	Number of Complaints Closed	12,405.00	12,605.00	13,080.00	10,182.00	10,261.00
Efficiency Measures:						
1	Average Time for Closing Complaints (Days)	141.81	208.00	217.00	181.00	172.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$3,104,483	\$3,135,184	\$3,336,989	\$3,387,214	\$3,387,214
1002	OTHER PERSONNEL COSTS	\$286,940	\$184,342	\$71,280	\$71,280	\$71,280
2001	PROFESSIONAL FEES AND SERVICES	\$10,379	\$7,705	\$9,173	\$9,173	\$9,173
2003	CONSUMABLE SUPPLIES	\$6,232	\$6,433	\$13,500	\$12,600	\$12,600
2004	UTILITIES	\$6,907	\$7,915	\$5,356	\$5,356	\$5,356
2005	TRAVEL	\$3,046	\$5,702	\$5,702	\$5,702	\$5,702
2006	RENT - BUILDING	\$194,659	\$212,559	\$157,041	\$157,041	\$157,041
2007	RENT - MACHINE AND OTHER	\$8,432	\$4,316	\$4,556	\$4,556	\$4,556
2009	OTHER OPERATING EXPENSE	\$175,063	\$518,911	\$292,862	\$336,841	\$336,841
TOTAL, OBJECT OF EXPENSE		\$3,796,141	\$4,083,067	\$3,896,459	\$3,989,763	\$3,989,763
Method of Financing:						
1	General Revenue Fund	\$3,591,531	\$4,053,743	\$3,867,135	\$3,960,439	\$3,960,439

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,591,531	\$4,053,743	\$3,867,135	\$3,960,439	\$3,960,439
Method of Financing:						
666	Appropriated Receipts	\$179,610	\$4,324	\$4,324	\$4,324	\$4,324
898	Auction Educ & Rec Trust	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
SUBTOTAL, MOF (OTHER FUNDS)		\$204,610	\$29,324	\$29,324	\$29,324	\$29,324
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,989,763	\$3,989,763
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,796,141	\$4,083,067	\$3,896,459	\$3,989,763	\$3,989,763
FULL TIME EQUIVALENT POSITIONS:		55.1	63.5	56.0	56.0	56.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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The Enforcement Division is responsible for resolving complaints about all industries regulated by TDLR. The division’s prosecutors determine the final disposition of cases arising from a variety of sources, including complaint investigations, criminal background investigations of license applicants, and routine facility inspections by the Field Inspections Division.

Cases may be resolved with formal outcomes such as Commission orders following an administrative hearing, agreed settlements, and default orders. Prosecutors may also close cases informally with a warning or simple closing letter if the evidence does not support formal action. When settling cases involving consumer harm, prosecutors attempt to secure restitution or other available remedies for consumers. When reviewing the criminal histories of license applicants, the prosecutor’s goal is to protect the public from individuals with a history of criminal behavior.

The Enforcement Plan sets out ranges of penalties and sanctions for specific violations. When pursuing formal enforcement action, prosecutors are guided by the Enforcement Plan and also take into consideration the following factors: seriousness of the offense; whether the violation was willful or intentional; whether the person acted in good faith to mitigate or correct the violation; whether the person has engaged in similar violations; and deterrence of future violations.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The division’s complaint resolution caseload flows from multiple sources, including complaint investigations, inspections, and license applications. The added responsibility of seventeen regulatory programs over the last two years has created a strain on enforcement resources. Additionally, eight of the new seventeen programs contain a standard of care element, which adds an additional layer of complexity and requires the use of an expert witness. The increase in licensees from the new programs has also added to the number of criminal history complaints that originate from license applications and renewals.

Proactive field efforts like sting operations and license sweeps continue to generate higher numbers of unlicensed activity cases. Media coverage of sting operations, enforcement cases, and industry meetings all help to enhance consumer awareness.

Additional factors impacting the complaint resolution workload include construction trends, emerging technologies, natural disasters, changes in building and energy codes, and economic trends.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$7,979,526	\$7,979,526	\$0	<u>\$0</u>	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Explanatory/Input Measures:						
KEY 1	Number of Complaints Opened	12,054.00	11,849.00	12,265.00	10,924.00	11,056.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,437,059	\$2,522,771	\$2,455,954	\$2,455,954	\$2,455,954
1002	OTHER PERSONNEL COSTS	\$209,440	\$99,566	\$70,560	\$70,560	\$70,560
2001	PROFESSIONAL FEES AND SERVICES	\$8,196	\$6,949	\$7,490	\$7,490	\$7,490
2003	CONSUMABLE SUPPLIES	\$5,042	\$8,599	\$10,575	\$11,025	\$11,025
2004	UTILITIES	\$16,148	\$23,202	\$16,505	\$16,505	\$16,505
2005	TRAVEL	\$92,971	\$94,456	\$94,456	\$94,456	\$94,456
2006	RENT - BUILDING	\$115,543	\$115,226	\$131,867	\$131,867	\$131,867
2007	RENT - MACHINE AND OTHER	\$9,129	\$3,195	\$3,351	\$3,351	\$3,351
2009	OTHER OPERATING EXPENSE	\$107,125	\$143,516	\$418,851	\$322,336	\$322,337
TOTAL, OBJECT OF EXPENSE		\$3,000,653	\$3,017,480	\$3,209,609	\$3,113,544	\$3,113,545
Method of Financing:						
1	General Revenue Fund	\$2,984,125	\$2,998,869	\$3,190,998	\$3,094,933	\$3,094,934
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,984,125	\$2,998,869	\$3,190,998	\$3,094,933	\$3,094,934

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Method of Financing:						
666	Appropriated Receipts	\$16,528	\$18,611	\$18,611	\$18,611	\$18,611
SUBTOTAL, MOF (OTHER FUNDS)		\$16,528	\$18,611	\$18,611	\$18,611	\$18,611
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,113,544	\$3,113,545
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$3,000,653	\$3,017,480	\$3,209,609	\$3,113,544	\$3,113,545
FULL TIME EQUIVALENT POSITIONS:		44.4	44.5	49.0	49.0	49.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Enforcement Division is responsible for investigating complaints about all industries regulated by TDLR. Complaints are filed by consumers, industry, other governmental agencies, and other divisions within TDLR. Initially, complaints are reviewed for jurisdictional authority and to determine if there is sufficient information to open a case. If so, a case is opened and assigned for investigation.

Investigators follow standardized procedures to establish the facts of each case, including witness interviews, collection of evidence, and on-site investigations of facilities or job sites. After a case has been thoroughly investigated, the investigator prepares a detailed written report of his or her findings and forwards the case to a prosecutor for the appropriate disposition.

In addition to investigating complaints, Enforcement’s investigators use sting operations and other proactive techniques to identify and prevent unlicensed activity. They also investigate the criminal histories of license applicants to determine license eligibility.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations Service Categories:
 STRATEGY: 4 Investigate Complaints Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

TDLR continues to receive a large volume of complaints from consumers, industry, and other sources. The added responsibility of seventeen regulatory programs over the last two years has created a strain on enforcement resources. Additionally, eight of the new seventeen programs contain a standard of care element, which adds an additional layer of complexity and requires the use of an expert witness. Proactive efforts such as undercover sting operations and targeted sweeps generate many unlicensed activity cases. TDLR continues to use media coverage of sting operations, interaction with local agencies and industry groups, and task force team meetings to increase public awareness about regulatory requirements. Additional factors impacting the investigative workload include construction trends, emerging technologies, natural disasters, changes in building and energy codes, and economic trends.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$6,227,089	\$6,227,089	\$0	\$0	A minor amount of Salaries and Wages was reduced in this strategy to provide additional funding to the License, Register and Certify strategy and the Examinations/Continuing Education strategy.
			\$0	Total of Explanation of Biennial Change

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$3,425,719	\$3,264,961	\$3,273,903	\$3,454,269	\$3,454,269
1002	OTHER PERSONNEL COSTS	\$285,495	\$194,056	\$96,720	\$96,720	\$96,720
2001	PROFESSIONAL FEES AND SERVICES	\$30,851	\$18,147	\$9,631	\$9,631	\$9,631
2003	CONSUMABLE SUPPLIES	\$18,885	\$17,029	\$12,600	\$10,575	\$10,575
2004	UTILITIES	\$8,633	\$8,019	\$6,976	\$6,976	\$6,976
2005	TRAVEL	\$59,722	\$64,842	\$64,842	\$64,842	\$64,842
2006	RENT - BUILDING	\$69,774	\$69,656	\$63,175	\$63,175	\$63,175
2007	RENT - MACHINE AND OTHER	\$28,790	\$33,706	\$33,729	\$33,729	\$33,729
2009	OTHER OPERATING EXPENSE	\$324,483	\$302,695	\$211,657	\$133,255	\$133,255
TOTAL, OBJECT OF EXPENSE		\$4,252,352	\$3,973,111	\$3,773,233	\$3,873,172	\$3,873,172
Method of Financing:						
1	General Revenue Fund	\$2,731,856	\$2,620,221	\$2,420,343	\$2,520,282	\$2,520,282
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,731,856	\$2,620,221	\$2,420,343	\$2,520,282	\$2,520,282
Method of Financing:						
666	Appropriated Receipts	\$1,520,496	\$1,352,890	\$1,352,890	\$1,352,890	\$1,352,890

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
SUBTOTAL, MOF (OTHER FUNDS)		\$1,520,496	\$1,352,890	\$1,352,890	\$1,352,890	\$1,352,890
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,873,172	\$3,873,172
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,252,352	\$3,973,111	\$3,773,233	\$3,873,172	\$3,873,172
FULL TIME EQUIVALENT POSITIONS:		50.3	49.0	47.0	47.0	47.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Central Administration Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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Central administration provides leadership, oversight, and support for all TDLR programs, and consists of executive staff, financial services, and human resources.

Executive staff manage daily operations to implement Commission objectives and achieve TDLR's goals. The Executive Director and his staff provide support for the Commission and 31 advisory boards; coordinate meetings; prepare and distribute agendas and other materials; and serve as a link between TDLR, advisory boards, and the Commission. Executive staff manage projects, ensure HUB compliance, issue press releases, respond to media inquiries, administer TDLR's website, provide analysis on fee changes and program budgets, innovate through scenario planning, evaluate and analyze data and input to identify process improvements, and act as a liaison between TDLR and Texas lawmakers, including representing the agency before the Texas Legislature.

Financial Services manages TDLR's fiscal resources in compliance with state and federal laws, provides statistical analysis services, develops legislative appropriations requests, manages purchasing and vendor contracting, monitors TDLR's annual budget, and prepares all agency fiscal reports.

Human Resources promotes a healthy, positive, and equitable work environment, helping TDLR to attract, develop, and retain qualified and dedicated employees. Human Resources provides support for on-boarding new employees, training, career development, employee benefits, and workplace safety.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 1 Central Administration Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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TDLR's regulatory responsibilities will continue to increase if we are entrusted with more programs by the Legislature.

In 2015, the Legislature transferred regulation of Driver Education, Parent Taught Driver Education, and Driving Safety to TDLR. In 2015, the Legislature also transferred 13 programs from the Department of State Health Services to TDLR. The 13 programs transferred in two phases in October 2016 and November 2017. In 2017, the Legislature gave TDLR regulatory responsibility for Podiatry, Behavior Analysts, and Transportation Network Companies. These programs have brought an additional 87,800 licensees to TDLR and the agency's total licensee count is 802,789.

With more than 1,000 people moving to Texas each day, the state's rapidly increasing population will require additional housing, water resources, consumer goods and services, and health and medical services. TDLR's increased regulatory and enforcement efforts must address safety and consumer protections for Texans in the areas of health, water resources, air conditioning, electrical, towing, and service contracts. TDLR will require additional staff to implement these programs and ensure Texans are served efficiently, effectively, and safely by licensed professionals and businesses.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$7,746,344	\$7,746,344	\$0	\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,369,974	\$2,260,110	\$2,241,193	\$2,241,193	\$2,241,193
1002	OTHER PERSONNEL COSTS	\$257,675	\$138,185	\$36,480	\$36,480	\$36,480
2001	PROFESSIONAL FEES AND SERVICES	\$1,254,305	\$1,204,062	\$987,492	\$1,030,917	\$1,103,552
2003	CONSUMABLE SUPPLIES	\$954	\$1,000	\$6,075	\$6,075	\$6,075
2004	UTILITIES	\$10,453	\$10,670	\$8,604	\$8,604	\$8,604
2005	TRAVEL	\$2,351	\$351	\$351	\$351	\$351
2006	RENT - BUILDING	\$38,041	\$38,007	\$33,312	\$33,312	\$33,312
2007	RENT - MACHINE AND OTHER	\$49,155	\$5,472	\$5,460	\$5,460	\$5,460
2009	OTHER OPERATING EXPENSE	\$400,565	\$823,586	\$855,896	\$998,326	\$860,561
TOTAL, OBJECT OF EXPENSE		\$4,383,473	\$4,481,443	\$4,174,863	\$4,360,718	\$4,295,588
Method of Financing:						
1	General Revenue Fund	\$3,336,045	\$3,794,422	\$3,487,842	\$3,673,697	\$3,608,567
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,336,045	\$3,794,422	\$3,487,842	\$3,673,697	\$3,608,567
Method of Financing:						
666	Appropriated Receipts	\$1,036,546	\$676,139	\$676,139	\$676,139	\$676,139
777	Interagency Contracts	\$10,882	\$10,882	\$10,882	\$10,882	\$10,882

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
SUBTOTAL, MOF (OTHER FUNDS)		\$1,047,428	\$687,021	\$687,021	\$687,021	\$687,021
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$4,360,718	\$4,295,588
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,383,473	\$4,481,443	\$4,174,863	\$4,360,718	\$4,295,588
FULL TIME EQUIVALENT POSITIONS:		26.0	30.0	27.0	27.0	27.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Information Resources strategy consists of Information Technology (IT) Services, IT Security, and Information Systems Development, and Software Development Services. These units work together to provide a secure network infrastructure, end-user technology tools, and software applications to support the agency's cost-effective business model for administering licensing programs. Responsibilities include systems analysis, application development, electronic document imaging, web design, computer hardware and software, maintenance of nine disparate licensing systems, help desk support, quality assurance, and network and phone support. This strategy also provides support and data network management to the Texas Commission on the Arts, also located in the E.O. Thompson building. TDLR's licensing systems provide online functions for licensing applications and renewals, along with interfaces with multiple systems to perform license and other searches, and process payments through the online Texas.gov portal.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 2 Information Resources Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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The following factors will be drivers that impact the Information Resources strategy.
 Licensees and the public continue to rapidly adopt mobile technologies and expect customer-centric experiences. They also seek alternative communication channels made possible by Artificial Intelligence (AI) and robotic assistance technologies.
 Texas' growing population, recent program transfers, and the possibility of additional programs moving to TDLR will continue to require vigilant security to ensure the protection of the data of Texas' citizens.
 Recruitment, retention, and succession planning for IT staff with key skill sets and experience will continue to be a challenge due to low unemployment and the competitive marketplace for technology workers.
 TDLR currently maintains nine disparate legacy systems with more than 10 million records, many of which contain personally identifiable information (PII) and personal health information (PHI).
 The demands and overall volume of data on the agency systems will continue to increase, due in part to the continuing strength of the Texas economy and corresponding population growth.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$8,656,306	\$8,656,306	\$0	\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$326,093	\$354,013	\$368,052	\$368,052	\$368,052
1002	OTHER PERSONNEL COSTS	\$35,180	\$21,585	\$8,400	\$8,400	\$8,400
2001	PROFESSIONAL FEES AND SERVICES	\$1,329	\$500	\$1,223	\$1,223	\$1,223
2002	FUELS AND LUBRICANTS	\$222	\$276	\$500	\$500	\$500
2003	CONSUMABLE SUPPLIES	\$15,508	\$7,432	\$1,800	\$1,800	\$1,800
2004	UTILITIES	\$1,915	\$1,263	\$1,079	\$1,079	\$1,079
2005	TRAVEL	\$0	\$250	\$250	\$250	\$250
2006	RENT - BUILDING	\$7,573	\$8,557	\$5,508	\$5,508	\$5,508
2007	RENT - MACHINE AND OTHER	\$1,377	\$1,522	\$1,662	\$1,662	\$1,662
2009	OTHER OPERATING EXPENSE	\$29,865	\$65,451	\$72,374	\$72,375	\$72,374
TOTAL, OBJECT OF EXPENSE		\$419,062	\$460,849	\$460,848	\$460,849	\$460,848
Method of Financing:						
1	General Revenue Fund	\$404,062	\$380,849	\$380,848	\$380,849	\$380,848
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$404,062	\$380,849	\$380,848	\$380,849	\$380,848
Method of Financing:						
666	Appropriated Receipts	\$15,000	\$80,000	\$80,000	\$80,000	\$80,000

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
SUBTOTAL, MOF (OTHER FUNDS)		\$15,000	\$80,000	\$80,000	\$80,000	\$80,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$460,849	\$460,848
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$419,062	\$460,849	\$460,848	\$460,849	\$460,848
FULL TIME EQUIVALENT POSITIONS:		7.5	8.0	8.0	8.0	8.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Other Support Services is responsible for all mail services, purchasing, contract administration, risk management, vehicle fleet compliance, and management of over \$2 million in fixed and controlled assets. The contracting and procurement section orders, receives, stores, and issues supplies and arranges sourcing for needed services. The section also keeps detailed contracting and purchasing records for fiscal, legal, and operational purposes. TDLR employs qualified purchasing professionals, each of whom is trained and certified consistent with guidelines established by the Comptroller of Public Accounts.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Legislature, correctly responding to weaknesses at large agencies, has instituted additional (and sometimes complex) compliance processes for contracting. TDLR's regulatory responsibilities will continue to increase if we are entrusted with more programs by the Legislature. Additional regulatory responsibilities would result in increased demand for mail processing and procurement.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

8/1/2018 10:51:06AM

452 Department of Licensing and Regulation

GOAL: 3 Indirect Administration
 OBJECTIVE: 1 Indirect Administration Service Categories:
 STRATEGY: 3 Other Support Services Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$921,697	\$921,697	\$0		
			\$0	Total of Explanation of Biennial Change

3.A. Strategy Request

8/1/2018 10:51:06AM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$33,701,833	\$35,841,765	\$35,382,642	\$35,644,768	\$35,579,639
METHODS OF FINANCE (INCLUDING RIDERS):				\$35,644,768	\$35,579,639
METHODS OF FINANCE (EXCLUDING RIDERS):	\$33,701,833	\$35,841,765	\$35,382,642	\$35,644,768	\$35,579,639
FULL TIME EQUIVALENT POSITIONS:	429.4	465.9	471.2	471.2	471.2

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
85th Regular Session, Agency Submission, Version 1

Agency Code: 452		Agency: Texas Department of Licensing and Regulation				Prepared By: Jerry Daniels					
Date: July 26, 2018						18-19 Base	Requested 2020	Requested 2021	Biennial Total 20-21	Biennial Difference	
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name					\$	%
A.	Licensing	A.1.1	License, Register and Certify	A.1.1.1	License Individuals	\$8,709,627	\$4,355,542	\$4,355,542	\$8,711,084	\$1,457	0.0%
		A.1.2	License Businesses and Facilities	A.1.2.1	License Facilities	\$2,451,370	\$1,225,685	\$1,225,685	\$2,451,370	\$0	0.0%
		A.1.3	Examinations/Continuing Education	A.1.3.1	Education and Examinations	\$3,273,190	\$1,639,421	\$1,639,421	\$3,278,842	\$5,652	0.2%
		A.1.4	Customer Service	A.1.4.1	Customer Service	\$5,027,648	\$2,513,824	\$2,513,824	\$5,027,648	\$0	0.0%
		A.1.5	Texas.gov	A.1.5.1	Texas Online	\$1,300,000	\$650,000	\$650,000	\$1,300,000	\$0	0.0%
B.	Enforcement	B.1.1	Conduct Inspections	B.1.1.1	Conduct Inspections	\$16,180,756	\$8,090,378	\$8,090,378	\$16,180,756	\$0	0.0%
		B.1.2	Building Plan Reviews	B.1.2.1	Building Plan Reviews	\$2,750,854	\$1,375,427	\$1,375,427	\$2,750,854	\$0	0.0%
		B.1.3	Resolve Complaints	B.1.3.1	Resolve Complaints	\$7,979,526	\$3,989,763	\$3,989,763	\$7,979,526	\$0	0.0%
		B.1.4	Investigations	B.1.4.1	Investigations	\$6,227,089	\$3,109,991	\$3,109,991	\$6,219,982	(\$7,107)	-0.1%
C.	Indirect Administration	C.1.1	Central Administration	C.1.1.1	Central Administration	\$7,746,343	\$3,873,171	\$3,873,171	\$7,746,342	(\$1)	0.0%
		C.1.2	Information Resources	C.1.2.1	Information Resources	\$8,656,306	\$4,360,718	\$4,295,587	\$8,656,305	(\$1)	0.0%
		C.1.3	Support Services	C.1.3.1	Support Services	\$921,698	\$460,849	\$460,849	\$921,698	\$0	0.0%
Total Base Request						\$71,224,407	\$35,644,769	\$35,579,638	\$71,224,407	\$0	0.0%
Exceptional Items:											
1.	Licensing System Phase 1	C.1.3	Information Resources	C.1.3.1	Information Resources		\$961,000	\$991,000	\$1,952,000		
2.	Combat Human Trafficking	B.1.1	Conduct Inspections	B.1.1.1	Conduct Inspections		\$260,154	\$252,580	\$512,734		
3.	Enhance Licensing	A.1.1	License, Register and Certify	A.1.1.1	License Individuals		\$88,396	\$85,008	\$173,404		
		A.1.4	Customer Service	A.1.4.1	Customer Service		\$41,894	\$40,200	\$82,094		
4.	Enhance Enforcement	B.1.1	Conduct Inspections	B.1.1.1	Conduct Inspections		\$113,680	\$108,680	\$222,360		
		B.1.4	Investigations	B.1.4.1	Investigations		\$105,254	\$100,680	\$205,934		
Total Exceptional Items							\$1,570,378	\$1,578,148	\$3,148,526		
Total Base Request and Exceptional Items							\$37,215,147	\$37,157,786	\$74,372,933		

Agency Code:	Agency Name:	Prepared By:	Date:	Request Level:
452	TX Dept of Licensing and Regulation	Jerry Daniels	07/24/2018	Baseline

Current Rider Number	Page Number in 2018-19 GAA	Proposed Rider Language																																																																											
2	VIII-30	<p>Capital Budget. None of the funds appropriated may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. Amounts appropriated above and identified in this provision as appropriations either for "Lease Payments to the Master Lease Purchase Program" or for items with a "(MLPP)" notation shall be expended only for the purpose of making lease-purchase payments to the Texas Public Finance Authority pursuant to the provisions of Government Code §1232.103.</p> <table border="0"> <thead> <tr> <th></th> <th style="text-align: right;"><u>2020</u></th> <th style="text-align: right;"><u>2021</u></th> <th style="text-align: right;"><u>2018</u></th> <th style="text-align: right;"><u>2019</u></th> </tr> </thead> <tbody> <tr> <td>a. Acquisition of Information Resource Technologies</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> (1) Purchase of Information Resource</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> Scheduled PC Replacement</td> <td style="text-align: right;">\$ 82,852</td> <td style="text-align: right;">\$ 83,580</td> <td style="text-align: right;">\$ 82,812</td> <td style="text-align: right;">\$ 83,608</td> </tr> <tr> <td> (2) SB 202 Licensing Transfer Project</td> <td></td> <td></td> <td style="text-align: right;">\$ 388,719</td> <td style="text-align: right;">\$ 25,400</td> </tr> <tr> <td> (2) <u>Licensing System</u></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Total, Acquisition of Information Resource Technologies</td> <td style="text-align: right;"><u>\$ 82,852</u></td> <td style="text-align: right;"><u>\$ 83,580</u></td> <td style="text-align: right;">\$ 421,531</td> <td style="text-align: right;">\$ 109,008</td> </tr> <tr> <td>b. Data Center Consolidation</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> (1) Data Center Consolidation</td> <td style="text-align: right;">\$ 1,026,790</td> <td style="text-align: right;">\$ 1,099,425</td> <td style="text-align: right;">\$ 1,142,850</td> <td style="text-align: right;">\$ 983,365</td> </tr> <tr> <td>c. Centralized Accounting and Payroll/Personnel System (CAPPS)</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td> (1) Centralized Accounting and Payroll/Personnel Systems Deployment</td> <td></td> <td></td> <td style="text-align: right;">\$ 54,168</td> <td style="text-align: right;">\$ 54,168</td> </tr> <tr> <td>Total, Capital Budget</td> <td style="text-align: right;"><u>\$ 1,109,642</u></td> <td style="text-align: right;"><u>\$ 1,183,005</u></td> <td style="text-align: right;">\$ 1,618,549</td> <td style="text-align: right;">\$ 1,146,541</td> </tr> <tr> <td>Method of Financing (Capital Budget):</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>General Revenue Fund</td> <td style="text-align: right;">\$ 1,109,642</td> <td style="text-align: right;">\$ 1,183,005</td> <td style="text-align: right;">\$ 1,618,549</td> <td style="text-align: right;">\$ 1,146,541</td> </tr> <tr> <td>Total, Method of Financing</td> <td style="text-align: right;"><u>\$ 1,109,642</u></td> <td style="text-align: right;"><u>\$ 1,183,005</u></td> <td style="text-align: right;">\$ 1,618,549</td> <td style="text-align: right;">\$ 1,146,541</td> </tr> </tbody> </table>		<u>2020</u>	<u>2021</u>	<u>2018</u>	<u>2019</u>	a. Acquisition of Information Resource Technologies					(1) Purchase of Information Resource					Scheduled PC Replacement	\$ 82,852	\$ 83,580	\$ 82,812	\$ 83,608	(2) SB 202 Licensing Transfer Project			\$ 388,719	\$ 25,400	(2) <u>Licensing System</u>					Total, Acquisition of Information Resource Technologies	<u>\$ 82,852</u>	<u>\$ 83,580</u>	\$ 421,531	\$ 109,008	b. Data Center Consolidation					(1) Data Center Consolidation	\$ 1,026,790	\$ 1,099,425	\$ 1,142,850	\$ 983,365	c. Centralized Accounting and Payroll/Personnel System (CAPPS)					(1) Centralized Accounting and Payroll/Personnel Systems Deployment			\$ 54,168	\$ 54,168	Total, Capital Budget	<u>\$ 1,109,642</u>	<u>\$ 1,183,005</u>	\$ 1,618,549	\$ 1,146,541	Method of Financing (Capital Budget):					General Revenue Fund	\$ 1,109,642	\$ 1,183,005	\$ 1,618,549	\$ 1,146,541	Total, Method of Financing	<u>\$ 1,109,642</u>	<u>\$ 1,183,005</u>	\$ 1,618,549	\$ 1,146,541
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8	VIII-31	<p><i>This rider has been changed to reflect the 2020-21 Capital Budget Request. An explanation of the requested items and the impact on Agency operation is included in the Capital Budget Schedules.</i></p> <p>Appropriation: Barber School Tuition Protection Account. Out of the amounts appropriated above to the Texas Department of Licensing and Regulation in Strategy A.1.3, Examinations/Continuing Education, the amounts of \$10,000 in fiscal year 20202018 and \$10,000 in fiscal year 20212019 are from the GR-Dedicated Barber School Tuition Protection Account No. 5081, for the purpose of paying expenses and refunds authorized by the department under the provisions of Occupations Code §1601.3571. The Department of Licensing and Regulation, upon completion of necessary actions to assess or increase additional fees, shall furnish copies of the Department of Licensing and Regulation's minutes and other information supporting the estimated revenues to be generated for the 2020-20212018-19 biennium under the revised fee structure to the Comptroller of Public Accounts. Any unexpended balances as of August 31, 20202018 in appropriations made to the Texas Department of Licensing and Regulation for this purpose are hereby appropriated for the same purpose for the fiscal year beginning September 1, 20202018.</p> <p><i>This rider has been revised to reflect the 2020-21 biennium.</i></p>
9	VIII-31	<p>Appropriation: Private Beauty Culture School Tuition Protection Account. Out of the amounts appropriated above to the Texas Department of Licensing and Regulation in Strategy A.1.3, Examinations/Continuing Education, the amounts of \$75,000 in fiscal year 20202018 and \$75,000 in fiscal year 20212019 from the GR-Dedicated Private Beauty Culture School Tuition Protection Account No. 108 are for the purpose of paying expenses and refunds authorized by the department under the provisions of Occupations Code §1602.464. The Department of Licensing and Regulation, upon completion of necessary actions to assess or increase additional fees, shall furnish copies of the Department of Licensing and Regulation's minutes and other information supporting the estimated revenues to be generated for the 2020-20212018-19 biennium under the revised fee structure to the Comptroller of Public Accounts. Any unexpended balances as of August 31, 20202018 in appropriations made to the Texas Department of Licensing and Regulation for this purpose are hereby appropriated for the same purpose for the fiscal year beginning September 1, 20202018.</p> <p><i>This rider has been revised to reflect the 2020-21 biennium.</i></p>
10	VIII-32	<p>Reimbursement of Advisory Committee Members for Travel Expenses. Pursuant to Government Code §2110.004, reimbursement of expenses for advisory committee members, out of funds appropriated above, is limited to the following advisory committees: Air Conditioning and Refrigeration Contractors Advisory Board; <u>Advisory Board of Athletic Trainers;</u> Architectural Barriers Advisory Committee; Advisory Board on Barbering; <u>Behavior Analysts Advisory Board;</u> Board of Boiler Rules; Combative Sports Advisory Board; <u>Code Enforcement Advisory Board;</u> Advisory Board on Cosmetology; <u>Dietitians Advisory Board;</u> <u>Driver Training and Traffic Safety Advisory Committee;</u> Dyslexia Therapists and Practitioners Advisory Committee; Electrical Safety and Licensing Advisory Board; Elevator Advisory Board; <u>Hearing Instrument Fitters and Dispensers Advisory Board;</u> Texas Industrial Building Code Council; Licensed Breeders Advisory Committee; <u>Massage Therapists Advisory Board;</u> <u>Midwives</u></p>

		<p><u>Advisory Board; Orthotists and Prosthetists Advisory Board; Podiatric Medical Examiners Advisory Board; Polygraph Advisory Committee; Property Tax Consultants Advisory Council; Sanitarians Advisory Committee; <u>Speech-Language Pathologist and Audiologist Advisory Board;</u> Texas Tax Professional Advisory Committee; Towing, <u>and Storage and Boating Advisory Board; Used Automotive Parts Recycling Advisory Board; Water Well Drillers Advisory Council; and Weather Modification Advisory Committee.</u></u></p> <p><i>This requested change adds the advisory committees for the programs transferred by SB 202 (84th Session), which were not included in the last update to this rider, and the advisory committees for the programs added by the 85th Legislature.</i></p>
12	VIII-32	<p>Combative Sports Regulation. In addition to the amounts appropriated above, the Department of Licensing and Regulation shall be appropriated \$30,000 out of funds collected by the agency and deposited to the General Revenue Fund during each fiscal year for the <u>2020-2021</u> 2018-19 biennium, for each combative sports event managed by the department for which ticket sales exceed \$2,000,000 (estimated to be one event) contingent upon the Department of Licensing and Regulation assessing fees and taxes sufficient to generate, in addition to revenue requirements elsewhere in this Act, during the <u>2020-2021</u> 2018-19 biennium, \$30,000 for each such combative sports event in excess of <u>\$764,000</u> 843,000 in fiscal year <u>2020</u> 2018 and <u>\$764,000</u> 843,000 in fiscal year <u>2021</u> 2019 (Object Codes 3146 and 3147) contained in the Comptroller of Public Accounts' Biennial Revenue Estimate for each fiscal year. The Department of Licensing and Regulation upon completion of necessary actions to assess or increase such additional revenue shall furnish copies of the Department of Licensing and Regulation's minutes and other information supporting the estimated revenues to be generated for the <u>2020-2021</u> 2018-19 biennium under the revised fee or tax structure to the Comptroller of Public Accounts. If the Comptroller finds the information sufficient to support the projection of increased revenues, a finding of fact shall be issued and the contingent appropriation shall be made available for the intended purpose.</p> <p><i>This rider has been revised to reflect the 2020-21 biennium and update the threshold to reflect amounts estimated in the Biennial Revenue Estimate.</i></p>
701	VIII	<p><u>Unexpended Balances Between Biennia.</u> Included in amounts appropriated above are unexpended and unobligated balances remaining as of August 31, 2021, (estimated to be \$0) in Strategy C.1.3, Information Resources for the fiscal year beginning September 1, 2021 for the Licensing System.</p> <p><i>This rider is requested to be added to allow the department to respond to the challenges associated with developing a comprehensive licensing system which will incorporate nine disparate legacy systems containing 39 programs, and over 800,000 licenses. This multi-biennium project will require two phases to implement. Phase I includes the building of the system and the migration of cosmetology, barber, electrician and massage licensees - more than 77 percent of TDLR individual and business licenses - into the system during the 2020-21 biennium. Phase II can only be accomplished following the successful completion of Phase I.</i></p>

3.C. Rider Appropriations and Unexpended Balances Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:08AM**

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
3 1	Special Boiler Inspections 2-1-1 CONDUCT INSPECTIONS	\$130,600	\$83,461	\$0	\$0	\$0
OBJECT OF EXPENSE:						
	2005 TRAVEL	\$130,600	\$83,461	\$0	\$0	\$0
Total, Object of Expense		\$130,600	\$83,461	\$0	\$0	\$0
METHOD OF FINANCING:						
	666 Appropriated Receipts	\$130,600	\$83,461	\$0	\$0	\$0
Total, Method of Financing		\$130,600	\$83,461	\$0	\$0	\$0

Description/Justification for continuation of existing riders or proposed new rider

This rider is necessary to ensure that the agency can continue to provide special inspection services (included in Section 755.028 of the Boiler Law) to owners, operators, and manufacturers of boilers. Given the significant health and safety issues associated with the program, it is important that TDLR have this funding flexibility. These special inspection services include comprehensive audits for certification to construct, assemble and/or repair boilers or pressure vessels. Agency staff is able to provide these services at a significantly lower cost than private sector entities, thus saving money for manufacturers and users of boilers and pressure vessels in Texas. These certifications help further the Boiler Program's purpose to protect Texans through the safe construction, operation, and inspection of boilers. These funds also allow staff to:

- 1) interface with other jurisdictions/states to facilitate interstate commerce in boilers and pressure vessels, and to standardize associated safety requirements;
- 2) interface with professional engineering societies to affect the development of standard boiler construction and safety codes;
- 3) interface with national boiler and pressure vessel inspection organizations to affect the development of standard boiler repair and safety inspection codes; and
- 4) provide training and education to professional organizations involved in the installation, operation, and maintenance of boilers.

3.C. Rider Appropriations and Unexpended Balances Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:08AM**

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
4 1	Auctioneer Education and Recovery 1-1-1 LICENSE, REGISTER AND CERTIFY	\$25,000	\$25,000	\$0	\$0	\$0
OBJECT OF EXPENSE:						
	1001 SALARIES AND WAGES	\$25,000	\$25,000	\$0	\$0	\$0
Total, Object of Expense		\$25,000	\$25,000	\$0	\$0	\$0
METHOD OF FINANCING:						
	898 Auction Educ & Rec Trust	\$25,000	\$25,000	\$0	\$0	\$0
Total, Method of Financing		\$25,000	\$25,000	\$0	\$0	\$0

Description/Justification for continuation of existing riders or proposed new rider

The Auctioneer Education and Recovery Fund (AERF) is a trust fund administered by the department to pay claims against licensed auctioneers. Claims are filed by consumers who have been harmed by the actions of an auctioneer. Claims are investigated by Enforcement Division staff. The claimant and the auctioneer are notified of the agency's determination, and given the opportunity to request a hearing if they disagree with the determination. Once a claim is paid, an auctioneer is required to repay the fund plus interest. The fund also helps make possible essential and ongoing efforts to educate the auctioneer industry and the public about auctioneering in Texas. In overseeing the AERF, the department serves the important purpose of preventing waste of funds. The proper administration of the fund requires department staff to conduct thorough investigation of each consumer complaint, and spend time reviewing and giving careful consideration to educational grant proposals.

3.C. Rider Appropriations and Unexpended Balances Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
 TIME: 10:51:08AM

Agency Code: 452 Department of Licensing and Regulation

RIDER	STRATEGY	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
SUMMARY:						
OBJECT OF EXPENSE TOTAL		\$155,600	\$108,461	\$0	\$0	\$0
METHOD OF FINANCING TOTAL		\$155,600	\$108,461	\$0	\$0	\$0

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:09AM**

Agency code: **452**

Agency name:
Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Improve licensee service capabilities and information security - Phase I. Item Priority: 1 IT Component: Yes Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: Yes Includes Funding for the Following Strategy or Strategies: 03-01-02 Information Resources		
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	836,000	866,000
2009	OTHER OPERATING EXPENSE	125,000	125,000
	TOTAL, OBJECT OF EXPENSE	\$961,000	\$991,000
METHOD OF FINANCING:			
1	General Revenue Fund	961,000	991,000
	TOTAL, METHOD OF FINANCING	\$961,000	\$991,000

DESCRIPTION / JUSTIFICATION:

TDLR seeks budget authority to contract for the creation of a licensing system. This will be a multi-year project that will require two phases. Phase I will allow for the incorporation of individual and business licensees into one system. TDLR currently maintains nine disparate legacy systems.

The demands and overall volume of data on the agency systems will continue to increase, due in part to the continuing strength of the Texas economy and corresponding population growth.

Appropriation for Exceptional Item One will allow TDLR to:

1. Conduct process improvement and requirements-gathering for the system;
2. Purchase necessary infrastructure;
3. Create data warehousing and reporting functions;
4. Purchase software and software licenses;
5. Move 77 percent of individual and business licensees into the system and conduct testing to assure successful integration; and
6. Enhance security of personally identifiable information (PII) and personal health information (PHI) for more than 10 million licensing records.

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
TIME: 10:51:09AM

Agency code: 452

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2020	Excp 2021
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EXTERNAL/INTERNAL FACTORS:

This request is aligned with Agency Goal #1 in TDLR's 2019-2023 Strategic Plan, "Continue to effectively consolidate and improve administration of services for the 16 programs recently transferred to TDLR by the Texas Legislature."

PCLS TRACKING KEY:

PCLS_86R_452_430673

DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:

Development of a non-proprietary platform by a vendor that can be modified and maintained by agency staff after initial deployment to meet changing business needs. This solution would replace nine disparate legacy systems currently maintained by TDLR.

IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?

NEW

STATUS:

This is a new project.

OUTCOMES:

TDLR's request stems from our participation in the Department of Information Resources (DIR) Application Development Decision Framework (ADDF). The ADDF analyzed the current state of the licensing systems and provided an overall look at the various aspects that need to be considered for a major project: culture, finance, business, and technology. TDLR is the first agency to benefit from this new DIR-designed methodology. Using this process, TDLR created a strategic plan for a new licensing system and DIR provided important feedback.

TDLR anticipates a Return On Investment of \$1,000,000 over the life of the project by reducing support costs, improving call times, customer service, and efficiency.

OUTPUTS:

TDLR expects to see increased efficiencies resulting in improved performance metrics as the result of this effort. A quantifiable ROI will be determined by the retirement of the systems and the reduced cost of maintaining and licensing multiple systems. In addition, the customer service aspect of being able to reduce the use of multiple systems for licensing will have a positive impact. The primary output measure used to gauge the success of the project will be the agency's ability to manage its regulatory responsibilities securely, expeditiously and cost-effectively, while meeting the agency's performance requirements.

TYPE OF PROJECT

Acquisition and Refresh of Hardware and Software

ALTERNATIVE ANALYSIS

TDLR will continue to support nine legacy systems in the face of rising support costs and labor shortages for the skillsets required to support legacy systems. TDLR's IT division will focus on maintaining scopes of functionality for these legacy systems and require staff to change business processes as available support resources diminish.

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
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Agency code: **452**

Agency name:
Department of Licensing and Regulation

CODE	DESCRIPTION							Excp 2020	Excp 2021
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ESTIMATED IT COST

2018	2019	2020	2021	2022	2023	2024	Total Over Life of Project
\$0	\$0	\$961,000	\$991,000	\$800,000	\$800,000	\$0	\$35,552,000

SCALABILITY

2018	2019	2020	2021	2022	2023	2024	Total Over Life of Project
\$0	\$0	\$961,000	\$991,000	\$800,000	\$800,000	\$0	\$35,552,000

FTE

2018	2019	2020	2021	2022	2023	2024
0.0	0.0	0.0	0.0	0.0	0.0	0.0

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Phase I will migrate 77 percent of individual and business licenses. TDLR will request additional authority for Phase II in the 87th Legislative Session to complete migration of the remaining 23 percent of individual and business licensees to the new system, estimated to be approximately \$1,600,000 for the biennium. To ensure that the project will continue without interruption, TDLR is seeking unexpended balance authority between fiscal years 2021 and 2022.

Current systems will run concurrently during this project to ensure no break in service for licensees. Following project completion, any costs for ongoing maintenance will be offset by savings in proprietary system maintenance costs and end user salaries and wages.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2022	2023	2024
\$800,000	\$800,000	\$0

APPROXIMATE PERCENTAGE OF EXCEPTIONAL ITEM : 100.00%

CONTRACT DESCRIPTION :

Description: FY20 Costs FY21 Costs
 Infrastructure (Data Center Services Contract) \$66,000 \$66,000

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
TIME: **10:51:09AM**

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION			Excp 2020	Excp 2021
	Design and Requirements Gathering Services	\$300,000	\$0		
	Programming and Implementation Services	\$220,000	\$220,000		
	Software	\$125,000	\$125,000		
	Data Warehouse and Reporting	\$250,000	\$0		

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:09AM**

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Combat human trafficking through strengthened enforcement and collaboration.		
	Item Priority: 2		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies: 02-01-01 Enforce Laws by Conducting Routine, Complex, and Special Inspections		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	230,980	230,980
2005	TRAVEL	16,800	16,800
2009	OTHER OPERATING EXPENSE	12,374	4,800
	TOTAL, OBJECT OF EXPENSE	\$260,154	\$252,580
 METHOD OF FINANCING:			
1	General Revenue Fund	260,154	252,580
	TOTAL, METHOD OF FINANCING	\$260,154	\$252,580
 FULL-TIME EQUIVALENT POSITIONS (FTE):		4.00	4.00

DESCRIPTION / JUSTIFICATION:

In alignment with Governor Greg Abbott’s human trafficking prevention initiatives, TDLR recognizes an opportunity to become a successful partner in combating trafficking in Texas. Human trafficking is a \$150 billion-dollar industry globally with 190,000 people trafficked every year in Texas alone. Effective disruption of this industry requires a multi-pronged approach and the inclusion of multiple entities and perspectives. TDLR is uniquely positioned to share information with multiple industries that intersect with human trafficking. To achieve success in prevention partnerships to improve enforcement, licensing standards, industry and public awareness, and collaborative efforts, TDLR is requesting authority for a human trafficking team.

The requested appropriation will focus efforts into a specialized team who possess expertise and skills related to the prevention of human trafficking, foster relationships between statewide entities and local law enforcement, allow for rapid response to TDLR regulated businesses that demonstrate signs of trafficking and coordinate efforts with strategic partners, develop and integrate best practices to eradicate repeat offenders who use state licensure to legitimize businesses serving as fronts for human trafficking provide training to law enforcement on how to identify trafficking in the setting of a regulated establishment and how TDLR can assist and support them, gather and analyze statistical data on trends in TDLR licensed industries as it relates to trafficking, and research grant opportunities to ensure that all avenues for resources are pursued.

The requested appropriation will allow TDLR to create a human trafficking team consisting of two Inspectors and two Investigators.

EXTERNAL/INTERNAL FACTORS:

This request is aligned with Agency Goals #3 and 4 in TDLR’s 2019-2023 Strategic Plan, “Educate and empower consumers by providing them with the tools to make informed choices about the industries TDLR regulates”, and “Prioritize enforcement personnel efforts to resolve complaints involving consumer harm or a threat to public safety.”

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
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Department of Licensing and Regulation

CODE DESCRIPTION

Excp 2020

Excp 2021

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
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DATE: **8/1/2018**
 TIME: **10:51:09AM**

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Ensure efficient processing of licenses.		
	Item Priority: 3		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies:		
	01-01-01 Issue Licenses, Registrations, & Certificates to Qualified Individuals		
	01-01-04 Provide Customer Service		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	121,608	121,608
2009	OTHER OPERATING EXPENSE	8,682	3,600
	TOTAL, OBJECT OF EXPENSE	\$130,290	\$125,208
 METHOD OF FINANCING:			
1	General Revenue Fund	130,290	125,208
	TOTAL, METHOD OF FINANCING	\$130,290	\$125,208
 FULL-TIME EQUIVALENT POSITIONS (FTE):		3.00	3.00

DESCRIPTION / JUSTIFICATION:

TDLR requests the return of full funding for the Customer Service and Licensing Divisions' positions removed in response to the 4% budget cuts for the FY 2018-19 biennium. The requested appropriation will ensure that TDLR continues to provide efficient and responsive customer service, and timely and accurate processing of license applications. The requested appropriation will allow restoration of one Customer Service Representative position in the Customer Service Division, and two Licensing and Permit Specialist positions in the Licensing Division.

EXTERNAL/INTERNAL FACTORS:

The consolidation of 13 health-related programs from DSHS to TDLR, and the transfer of the podiatry program, added more than 81,000 licensees to TDLR's existing licensee population of approximately 718,000. Three more programs created by the Legislature this session added an additional 1,500 licensees.

This request is aligned with Agency Goal #1 in TDLR's 2019-2023 Strategic Plan, "Continue to effectively consolidate and improve administration of and services for the 16 programs recently transferred to TDLR by the Texas Legislature," and Agency Goal #2, "Improve efficiencies, lower fees, and eliminate bottlenecks throughout TDLR."

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
TIME: **10:51:09AM**

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2020	Excp 2021
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4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:09AM**

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Enhance enforcement strength to protect consumer health and safety.		
	Item Priority: 4		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > \$50,000: No		
	Includes Funding for the Following Strategy or Strategies:		
	02-01-01 Enforce Laws by Conducting Routine, Complex, and Special Inspections		
	02-01-03 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction		
	02-01-04 Investigate Complaints		
 OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	180,960	180,960
2005	TRAVEL	23,600	23,600
2009	OTHER OPERATING EXPENSE	14,374	4,800
	TOTAL, OBJECT OF EXPENSE	\$218,934	\$209,360
 METHOD OF FINANCING:			
1	General Revenue Fund	218,934	209,360
	TOTAL, METHOD OF FINANCING	\$218,934	\$209,360
 FULL-TIME EQUIVALENT POSITIONS (FTE):		4.00	4.00

DESCRIPTION / JUSTIFICATION:

TDLR requests the return of full funding for Enforcement and Field Inspections positions removed in response to the 4% budget cuts for the FY 2018-19 biennium. The requested appropriation will ensure that TDLR continues to provide timely and thorough investigations of complaints, make sure that schools, facilities and businesses comply with health and safety requirements for consumer protection, and confirm that curricula and courses are being taught according to health and safety requirements. The requested appropriation will restore two Investigator positions in the Enforcement Division, and restore two Inspector positions in Field Inspections Division.

EXTERNAL/INTERNAL FACTORS:

This request is aligned with Agency Goal #3 in TDLR's 2019-2023 Strategic Plan, "Educate and empower consumers by providing them with the tools to make informed choices about the industries TDLR regulates," and Agency Goal #4, "Prioritize enforcement personnel efforts to resolve complaints involving consumer harm or a threat to public safety."

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
TIME: **10:51:09AM**

Agency code: **452**

Agency name:

Department of Licensing and Regulation

CODE	DESCRIPTION	Excp 2020	Excp 2021
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4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
 TIME: 10:51:09AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2020	Excp 2021
Item Name: Improve licensee service capabilities and information security - Phase I.			
Allocation to Strategy: 3-1-2 Information Resources			
OBJECTS OF EXPENSE:			
2001	PROFESSIONAL FEES AND SERVICES	836,000	866,000
2009	OTHER OPERATING EXPENSE	125,000	125,000
TOTAL, OBJECT OF EXPENSE		\$961,000	\$991,000
METHOD OF FINANCING:			
1	General Revenue Fund	961,000	991,000
TOTAL, METHOD OF FINANCING		\$961,000	\$991,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.0	0.0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
 TIME: 10:51:09AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2020	Excp 2021
Item Name: Combat human trafficking through strengthened enforcement and collaboration.			
Allocation to Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
STRATEGY IMPACT ON OUTCOME MEASURES:			
<u>4</u>	Inspection Coverage Rate	90.09%	90.27%
OUTPUT MEASURES:			
<u>1</u>	Total Number of Inspections Completed	2,040.00	2,040.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	230,980	230,980
2005	TRAVEL	16,800	16,800
2009	OTHER OPERATING EXPENSE	12,374	4,800
TOTAL, OBJECT OF EXPENSE		\$260,154	\$252,580
METHOD OF FINANCING:			
1	General Revenue Fund	260,154	252,580
TOTAL, METHOD OF FINANCING		\$260,154	\$252,580
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:09AM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2020	Excp 2021
Item Name:	Ensure efficient processing of licenses.		
Allocation to Strategy:	1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals		
EFFICIENCY MEASURES:			
<u>1</u>	Percentage of New Individual Licenses Issued within 10 Days	95.00%	95.00%
<u>2</u>	% Indiv License Renewals Issued within 7 Days	98.00%	98.00%
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	82,608	82,608
2009	OTHER OPERATING EXPENSE	5,788	2,400
TOTAL, OBJECT OF EXPENSE		\$88,396	\$85,008
METHOD OF FINANCING:			
1	General Revenue Fund	88,396	85,008
TOTAL, METHOD OF FINANCING		\$88,396	\$85,008
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:09AM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2020	Excp 2021
Item Name:	Ensure efficient processing of licenses.		
Allocation to Strategy:	1-1-4 Provide Customer Service		
STRATEGY IMPACT ON OUTCOME MEASURES:			
<u>4</u>	Percent of Contacts Responded to by Staff at TDLR	87.73%	87.73%
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	39,000	39,000
2009	OTHER OPERATING EXPENSE	2,894	1,200
TOTAL, OBJECT OF EXPENSE		\$41,894	\$40,200
METHOD OF FINANCING:			
1	General Revenue Fund	41,894	40,200
TOTAL, METHOD OF FINANCING		\$41,894	\$40,200
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:09AM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2020	Excp 2021
Item Name: Enhance enforcement strength to protect consumer health and safety.			
Allocation to Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections			
STRATEGY IMPACT ON OUTCOME MEASURES:			
<u>2</u>	Percent of Complaints Closed within Six Months	72.43%	73.82%
<u>4</u>	Inspection Coverage Rate	90.09%	90.27%
OUTPUT MEASURES:			
<u>1</u>	Total Number of Inspections Completed	2,040.00	2,040.00
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	87,480	87,480
2005	TRAVEL	18,800	18,800
2009	OTHER OPERATING EXPENSE	7,400	2,400
TOTAL, OBJECT OF EXPENSE		\$113,680	\$108,680
METHOD OF FINANCING:			
1	General Revenue Fund	113,680	108,680
TOTAL, METHOD OF FINANCING		\$113,680	\$108,680
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
 TIME: 10:51:09AM

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2020	Excp 2021
Item Name:	Enhance enforcement strength to protect consumer health and safety.		
Allocation to Strategy:	2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction		
OUTPUT MEASURES:			
<u>1</u>	Number of Complaints Closed	253.00	311.00
EFFICIENCY MEASURES:			
<u>1</u>	Average Time for Closing Complaints (Days)	-5.00	-5.00

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:09AM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Code	Description	Excp 2020	Excp 2021
Item Name: Enhance enforcement strength to protect consumer health and safety.			
Allocation to Strategy: 2-1-4 Investigate Complaints			
STRATEGY IMPACT ON OUTCOME MEASURES:			
<u>4</u>	Inspection Coverage Rate	90.09%	90.27%
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	93,480	93,480
2005	TRAVEL	4,800	4,800
2009	OTHER OPERATING EXPENSE	6,974	2,400
TOTAL, OBJECT OF EXPENSE		\$105,254	\$100,680
METHOD OF FINANCING:			
1	General Revenue Fund	105,254	100,680
TOTAL, METHOD OF FINANCING		\$105,254	\$100,680
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
TIME: 10:51:09AM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2020	Excp 2021
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EFFICIENCY MEASURES:

<u>1</u> Percentage of New Individual Licenses Issued within 10 Days	95.00 %	95.00 %
<u>2</u> % Indiv License Renewals Issued within 7 Days	98.00 %	98.00 %

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	82,608	82,608
2009 OTHER OPERATING EXPENSE	5,788	2,400
Total, Objects of Expense	\$88,396	\$85,008

METHOD OF FINANCING:

1 General Revenue Fund	88,396	85,008
Total, Method of Finance	\$88,396	\$85,008

FULL-TIME EQUIVALENT POSITIONS (FTE): 2.0 2.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Ensure efficient processing of licenses.

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
TIME: 10:51:09AM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 1 License, Certify, and Register Qualified Individuals and Businesses
 OBJECTIVE: 1 Regulate All Applicable Individuals and Facilities According to Law
 STRATEGY: 4 Provide Customer Service

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2020	Excp 2021
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STRATEGY IMPACT ON OUTCOME MEASURES:

4 Percent of Contacts Responded to by Staff at TDLR	87.73 %	87.73 %
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	39,000	39,000
2009 OTHER OPERATING EXPENSE	2,894	1,200
Total, Objects of Expense	\$41,894	\$40,200

METHOD OF FINANCING:

1 General Revenue Fund	41,894	40,200
Total, Method of Finance	\$41,894	\$40,200

FULL-TIME EQUIVALENT POSITIONS (FTE):	1.0	1.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Ensure efficient processing of licenses.

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
TIME: 10:51:09AM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency

OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations

Service Categories:

STRATEGY: 1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2020	Excp 2021
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STRATEGY IMPACT ON OUTCOME MEASURES:

<u>4</u> Inspection Coverage Rate	90.09 %	90.27 %
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OUTPUT MEASURES:

<u>1</u> Total Number of Inspections Completed	2,040.00	2,040.00
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	318,460	318,460
2005 TRAVEL	35,600	35,600
2009 OTHER OPERATING EXPENSE	19,774	7,200
Total, Objects of Expense	\$373,834	\$361,260

METHOD OF FINANCING:

1 General Revenue Fund	373,834	361,260
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Total, Method of Finance	\$373,834	\$361,260
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FULL-TIME EQUIVALENT POSITIONS (FTE):

6.0	6.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Combat human trafficking through strengthened enforcement and collaboration.

Enhance enforcement strength to protect consumer health and safety.

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
TIME: 10:51:09AM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency

OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations

Service Categories:

STRATEGY: 3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction

Service: 16 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2020	Excp 2021
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STRATEGY IMPACT ON OUTCOME MEASURES:

<u>2</u> Percent of Complaints Closed within Six Months	72.43 %	73.82 %
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OUTPUT MEASURES:

<u>1</u> Number of Complaints Closed	253.00	311.00
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EFFICIENCY MEASURES:

<u>1</u> Average Time for Closing Complaints (Days)	(5.00)	(5.00)
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance enforcement strength to protect consumer health and safety.

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
TIME: 10:51:09AM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 2 Protect the Public by Enforcing Laws Administered by the Agency
 OBJECTIVE: 1 Enforce Laws to Achieve Compliance in Regulated Industries/Occupations
 STRATEGY: 4 Investigate Complaints

Service Categories:
 Service: 16 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2020	Excp 2021
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	93,480	93,480
2005 TRAVEL	4,800	4,800
2009 OTHER OPERATING EXPENSE	6,974	2,400
Total, Objects of Expense	\$105,254	\$100,680

METHOD OF FINANCING:

1 General Revenue Fund	105,254	100,680
Total, Method of Finance	\$105,254	\$100,680

FULL-TIME EQUIVALENT POSITIONS (FTE): 2.0 2.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Enhance enforcement strength to protect consumer health and safety.

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 8/1/2018
TIME: 10:51:09AM

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

GOAL: 3 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2020	Excp 2021
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OBJECTS OF EXPENSE:

2001 PROFESSIONAL FEES AND SERVICES	836,000	866,000
2009 OTHER OPERATING EXPENSE	125,000	125,000
Total, Objects of Expense	\$961,000	\$991,000

METHOD OF FINANCING:

1 General Revenue Fund	961,000	991,000
Total, Method of Finance	\$961,000	\$991,000

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Improve licensee service capabilities and information security - Phase I.

5.A. Capital Budget Project Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:10AM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2018

Bud 2019

BL 2020

BL 2021

5005 Acquisition of Information Resource Technologies

*2/2 Purchase of Information Resource Technologies
 - Scheduled PC Replacement*

OBJECTS OF EXPENSE

Informational

General	2009	OTHER OPERATING EXPENSE		\$82,812	\$83,608	\$82,852	\$83,580
		Informational Subtotal OOE, Project	2	\$82,812	\$83,608	\$82,852	\$83,580
		Subtotal OOE, Project	2	\$82,812	\$83,608	\$82,852	\$83,580

TYPE OF FINANCING

Informational

General	CA	1	General Revenue Fund	\$82,812	\$83,608	\$82,852	\$83,580	
			Informational Subtotal TOF, Project	2	\$82,812	\$83,608	\$82,852	\$83,580
			Subtotal TOF, Project	2	\$82,812	\$83,608	\$82,852	\$83,580

4/4 SB 202 Licensing Transfer Project

OBJECTS OF EXPENSE

Capital

General	2009	OTHER OPERATING EXPENSE		\$338,719	\$25,400	\$0	\$0
		Capital Subtotal OOE, Project	4	\$338,719	\$25,400	\$0	\$0
		Subtotal OOE, Project	4	\$338,719	\$25,400	\$0	\$0

TYPE OF FINANCING

Capital

General	CA	1	General Revenue Fund	\$338,719	\$25,400	\$0	\$0
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5.A. Capital Budget Project Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:10AM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2018

Bud 2019

BL 2020

BL 2021

Capital Subtotal TOF, Project 4

\$338,719

\$25,400

\$0

\$0

Subtotal TOF, Project 4

\$338,719

\$25,400

\$0

\$0

*5/5 Improve Licensee service capabilities and
 information security - Phase I*

OBJECTS OF EXPENSE

Capital

General 2001 PROFESSIONAL FEES AND SERVICES

\$0

\$0

\$0

\$0

General 2009 OTHER OPERATING EXPENSE

\$0

\$0

\$0

\$0

Capital Subtotal OOE, Project 5

\$0

\$0

\$0

\$0

Subtotal OOE, Project 5

\$0

\$0

\$0

\$0

TYPE OF FINANCING

Capital

General CA 1 General Revenue Fund

\$0

\$0

\$0

\$0

Capital Subtotal TOF, Project 5

\$0

\$0

\$0

\$0

Subtotal TOF, Project 5

\$0

\$0

\$0

\$0

Capital Subtotal, Category 5005

\$338,719

\$25,400

\$0

\$0

Informational Subtotal, Category 5005

\$82,812

\$83,608

\$82,852

\$83,580

Total, Category 5005

\$421,531

\$109,008

\$82,852

\$83,580

7000 Data Center Consolidation

3/3 Data Center Consolidation

OBJECTS OF EXPENSE

Capital

General 2001 PROFESSIONAL FEES AND SERVICES

\$1,142,850

\$983,365

\$1,026,790

\$1,099,425

5.A. Capital Budget Project Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:10AM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2018

Bud 2019

BL 2020

BL 2021

Capital Subtotal OOE, Project	3	\$1,142,850	\$983,365	\$1,026,790	\$1,099,425
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Subtotal OOE, Project	3	\$1,142,850	\$983,365	\$1,026,790	\$1,099,425
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TYPE OF FINANCING

Capital

General CA	1	General Revenue Fund	\$1,142,850	\$983,365	\$1,026,790	\$1,099,425
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Capital Subtotal TOF, Project	3	\$1,142,850	\$983,365	\$1,026,790	\$1,099,425
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Subtotal TOF, Project	3	\$1,142,850	\$983,365	\$1,026,790	\$1,099,425
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Capital Subtotal, Category	7000	\$1,142,850	\$983,365	\$1,026,790	\$1,099,425
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Informational Subtotal, Category	7000				
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Total, Category	7000	\$1,142,850	\$983,365	\$1,026,790	\$1,099,425
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8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

1/1 Centralized Accounting and Payroll/Personnel

Systems Deployment

OBJECTS OF EXPENSE

Informational

General 1001	SALARIES AND WAGES	\$44,106	\$43,740	\$0	\$0
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General 1002	OTHER PERSONNEL COSTS	\$1,400	\$1,000	\$0	\$0
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General 2001	PROFESSIONAL FEES AND SERVICES	\$42	\$42	\$0	\$0
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General 2009	OTHER OPERATING EXPENSE	\$8,620	\$9,386	\$0	\$0
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Informational Subtotal OOE, Project	1	\$54,168	\$54,168	\$0	\$0
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Subtotal OOE, Project	1	\$54,168	\$54,168	\$0	\$0
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TYPE OF FINANCING

5.A. Capital Budget Project Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:10AM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

Est 2018

Bud 2019

BL 2020

BL 2021

Informational

General	CA	1	General Revenue Fund	\$54,168	\$54,168	\$0	\$0	
Informational Subtotal TOF, Project				1	\$54,168	\$54,168	\$0	\$0
Subtotal TOF, Project				1	\$54,168	\$54,168	\$0	\$0
Capital Subtotal, Category				8000				
Informational Subtotal, Category				8000	\$54,168	\$54,168	\$0	\$0
Total, Category				8000	\$54,168	\$54,168	\$0	\$0
AGENCY TOTAL -CAPITAL					\$1,481,569	\$1,008,765	\$1,026,790	\$1,099,425
AGENCY TOTAL -INFORMATIONAL					\$136,980	\$137,776	\$82,852	\$83,580
AGENCY TOTAL					\$1,618,549	\$1,146,541	\$1,109,642	\$1,183,005

METHOD OF FINANCING:

Capital

General	1	General Revenue Fund	\$1,481,569	\$1,008,765	\$1,026,790	\$1,099,425
Total, Method of Financing-Capital			\$1,481,569	\$1,008,765	\$1,026,790	\$1,099,425
<u>Informational</u>						
General	1	General Revenue Fund	\$136,980	\$137,776	\$82,852	\$83,580
Total, Method of Financing-Informational			\$136,980	\$137,776	\$82,852	\$83,580
Total, Method of Financing			\$1,618,549	\$1,146,541	\$1,109,642	\$1,183,005

5.A. Capital Budget Project Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:10AM**

Agency code: **452**

Agency name: **Department of Licensing and Regulation**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	Est 2018	Bud 2019	BL 2020	BL 2021
TYPE OF FINANCING:				
<u>Capital</u>				
General CA CURRENT APPROPRIATIONS	\$1,481,569	\$1,008,765	\$1,026,790	\$1,099,425
Total, Type of Financing-Capital	\$1,481,569	\$1,008,765	\$1,026,790	\$1,099,425
<u>Informational</u>				
General CA CURRENT APPROPRIATIONS	\$136,980	\$137,776	\$82,852	\$83,580
Total, Type of Financing-Informational	\$136,980	\$137,776	\$82,852	\$83,580
Total, Type of Financing	\$1,618,549	\$1,146,541	\$1,109,642	\$1,183,005

5.C. Capital Budget Allocation to Strategies (Baseline)
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:10AM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
5005 Acquisition of Information Resource Technologies					
<i>2/2 Computer Upgrade</i>					
GENERAL BUDGET					
Informational	1-1-1 LICENSE, REGISTER AND CERTIFY	82,812	83,608	\$82,852	\$83,580
TOTAL, PROJECT		\$82,812	\$83,608	\$82,852	\$83,580
<i>4/4 SB 202 Licensing Transfer Project</i>					
GENERAL BUDGET					
Capital	3-1-2 INFORMATION RESOURCES	338,719	25,400	0	0
TOTAL, PROJECT		\$338,719	\$25,400	\$0	\$0
<i>5/5 Licensing System - Phase I</i>					
GENERAL BUDGET					
Capital	3-1-2 INFORMATION RESOURCES	0	0	0	0
TOTAL, PROJECT		\$0	\$0	\$0	\$0
7000 Data Center Consolidation					
<i>3/3 Data Center Consolidation</i>					
GENERAL BUDGET					
Capital	3-1-2 INFORMATION RESOURCES	1,142,850	983,365	1,026,790	1,099,425
TOTAL, PROJECT		\$1,142,850	\$983,365	\$1,026,790	\$1,099,425

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

5.C. Capital Budget Allocation to Strategies (Baseline)
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **8/1/2018**
 TIME: **10:51:10AM**

Agency code: **452** Agency name: **Department of Licensing and Regulation**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
<i>1/1</i>	<i>CAPPS Deployment</i>				
<u>GENERAL BUDGET</u>					
Informational 3-1-1	CENTRAL ADMINISTRATION	54,168	54,168	\$0	\$0
	TOTAL, PROJECT	\$54,168	\$54,168	\$0	\$0
	TOTAL CAPITAL, ALL PROJECTS	\$1,481,569	\$1,008,765	\$1,026,790	\$1,099,425
	TOTAL INFORMATIONAL, ALL PROJECTS	\$136,980	\$137,776	\$82,852	\$83,580
	TOTAL, ALL PROJECTS	\$1,618,549	\$1,146,541	\$1,109,642	\$1,183,005

Capital Budget Allocation to Strategies by Project - Exceptional

8/1/2018 12:22:40PM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

452 Department of Licensing and Regulation

Category Code/Name

Project Number/Name

Goal/Obj/Str	Strategy Name	Excp 2020	Excp 2021
5005 Acquisition of Information Resource Technologies			
5	Licensing System - Phase I		
3 1 2	INFORMATION RESOURCES	836,000	866,000
3 1 2	INFORMATION RESOURCES	125,000	125,000
	TOTAL, PROJECT	961,000	991,000
	TOTAL, ALL PROJECTS	961,000	991,000

452 Department of Licensing and Regulation

Category Code / Category Name		Excp 2020	Excp 2021
<i>Project Number / Name</i>			
OOE / TOF / MOF CODE			
5005	Acquisition of Information Resource Technologies		
	<u>5</u> <u>Licensing System - Phase I</u>		
	Objects of Expense		
	2001 PROFESSIONAL FEES AND SERVICES	836,000	866,000
	2009 OTHER OPERATING EXPENSE	125,000	125,000
Subtotal OOE, Project	5	961,000	991,000
	Type of Financing		
CA	1 General Revenue Fund	961,000	991,000
Subtotal TOF, Project	5	961,000	991,000
Subtotal Category	5005	961,000	991,000
AGENCY TOTAL		961,000	991,000
METHOD OF FINANCING:			
	1 General Revenue Fund	961,000	991,000
Total, Method of Financing		961,000	991,000
TYPE OF FINANCING:			
CA	CURRENT APPROPRIATIONS	961,000	991,000
Total, Type of Financing		961,000	991,000

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
5005 Acquisition of Information Resource Technologies					
2 Computer Upgrade					
OOE					
Informational					
1-1-1 LICENSE, REGISTER AND CERTIFY					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	82,812	83,608	82,852	83,580
TOTAL, OOE's		\$82,812	\$83,608	82,852	83,580
MOF					
GENERAL REVENUE FUNDS					
Informational					
1-1-1 LICENSE, REGISTER AND CERTIFY					
<u>General Budget</u>					
1	General Revenue Fund	82,812	83,608	82,852	83,580
TOTAL, GENERAL REVENUE FUNDS		\$82,812	\$83,608	82,852	83,580
TOTAL, MOF's		\$82,812	\$83,608	82,852	83,580

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
4 SB 202 Licensing Transfer Project					
OOE					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
2009	OTHER OPERATING EXPENSE	338,719	25,400	0	0
TOTAL, OOE's		\$338,719	\$25,400	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	338,719	25,400	0	0
TOTAL, GENERAL REVENUE FUNDS		\$338,719	\$25,400	0	0
TOTAL, MOF's		\$338,719	\$25,400	0	0

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
5 Licensing System - Phase I					
OOE					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	0	0	0	0
2009	OTHER OPERATING EXPENSE	0	0	0	0
TOTAL, OOE's		\$0	\$0	0	0
MOF					
GENERAL REVENUE FUNDS					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	0	0	0	0
TOTAL, GENERAL REVENUE FUNDS		\$0	\$0	0	0
TOTAL, MOFs		\$0	\$0	0	0

7000 Data Center Consolidation

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
3 Data Center Consolidation					
OOE					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
2001	PROFESSIONAL FEES AND SERVICES	1,142,850	983,365	1,026,790	1,099,425
TOTAL, OOE's		\$1,142,850	\$983,365	1,026,790	1,099,425
MOF					
GENERAL REVENUE FUNDS					
Capital					
3-1-2 INFORMATION RESOURCES					
<u>General Budget</u>					
1	General Revenue Fund	1,142,850	983,365	1,026,790	1,099,425
TOTAL, GENERAL REVENUE FUNDS		\$1,142,850	\$983,365	1,026,790	1,099,425
TOTAL, MOF's		\$1,142,850	\$983,365	1,026,790	1,099,425

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

452 Department of Licensing and Regulation

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2018	Bud 2019	BL 2020	BL 2021
1 CAPPS Deployment					
OOE					
Informational					
3-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					
1001	SALARIES AND WAGES	44,106	43,740	0	0
1002	OTHER PERSONNEL COSTS	1,400	1,000	0	0
2001	PROFESSIONAL FEES AND SERVICES	42	42	0	0
2009	OTHER OPERATING EXPENSE	8,620	9,386	0	0
TOTAL, OOE's		\$54,168	\$54,168	0	0
MOF					
GENERAL REVENUE FUNDS					
Informational					
3-1-1 CENTRAL ADMINISTRATION					
<u>General Budget</u>					
1	General Revenue Fund	54,168	54,168	0	0
TOTAL, GENERAL REVENUE FUNDS		\$54,168	\$54,168	0	0
TOTAL, MOF's		\$54,168	\$54,168	0	0

452 Department of Licensing and Regulation

		Est 2018	Bud 2019	BL 2020	BL 2021
CAPITAL					
<u>General Budget</u>					
GENERAL REVENUE FUNDS					
	TOTAL, GENERAL BUDGET	\$1,481,569	\$1,008,765	1,026,790	1,099,425
		1,481,569	1,008,765	1,026,790	1,099,425
INFORMATIONAL					
<u>General Budget</u>					
GENERAL REVENUE FUNDS					
	TOTAL, GENERAL BUDGET	\$136,980	\$137,776	82,852	83,580
		136,980	137,776	82,852	83,580
TOTAL, ALL PROJECTS		\$1,618,549	\$1,146,541	1,109,642	1,183,005

6.A. Historically Underutilized Business Supporting Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:10AM

Agency Code: 452 Agency: Department of Licensing and Regulation

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2016 - 2017 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2016			Total Expenditures FY 2016		HUB Expenditures FY 2017			Total Expenditures FY 2017	
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	FY 2017		
23.7%	Professional Services	23.7 %	100.0%	76.3%	\$63,319	\$63,319	75.0 %	100.0%	25.0%	\$80,571	\$80,571	
26.0%	Other Services	5.6 %	5.6%	0.0%	\$97,090	\$1,738,829	26.0 %	7.3%	-18.7%	\$153,472	\$2,088,455	
21.1%	Commodities	21.1 %	37.9%	16.8%	\$405,262	\$1,068,466	30.0 %	44.4%	14.4%	\$335,098	\$754,873	
	Total Expenditures		19.7%		\$565,671	\$2,870,614		19.5%		\$569,141	\$2,923,899	

B. Assessment of Fiscal Year 2016 - 2017 Efforts to Meet HUB Procurement Goals

Attainment:

TDLR attained or exceeded two of three, or 67%, of the applicable agency HUB procurement goals in both FY 2016 and FY 2017. TDLR, when compared to the state as a whole, exceeded the percentages reported for HUB spending by 8.2% in Fiscal Year 2016 and by 7.5% in Fiscal Year 2017.

Applicability:

The Heavy Construction, Building Construction, and Special Trade categories were not applicable to the agency's operations in fiscal years 2016 and 2017. No expenditures were recorded by the agency for these categories.

Factors Affecting Attainment:

As the agency continues to grow in size with the addition of new programs to regulate, it becomes increasingly difficult to find HUB vendors for its expanding needs such as phones and phone service, network software and maintenance, etc. in the Other Services category.

"Good-Faith" Efforts:

The following efforts demonstrate TDLR's commitment to offering contracting opportunities to all Texans:

- 1) The Deputy Executive Director continues to serve as the agency's HUB Coordinator.
- 2) Contract specifications were written to reflect actual agency requirements and did not impose unreasonable or unnecessary contract requirements.
- 3) TDLR has utilized TPASS's HUB Directory and adopted TPASS's HUB Rules.
- 4) The agency has required that purchases from HUB vendors be made throughout all categories, ensuring that wherever possible, all underutilized groups were represented in our purchasing practices.
- 5) TDLR purchasing personnel attended forums and business fairs sponsored by the TPASS HUB Program and distributed TDLR purchasing information to interested HUB vendors.

6.E. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2017	Exp 2018	Exp 2019	Bud 2020	Est 2021
1 General Revenue Fund					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3030 Com'l Driver Training Sch Fees	1,512,101	1,543,664	1,559,290	1,580,653	1,580,653
3035 Commercial Transportation Fees	5,220,535	5,062,509	5,038,144	5,121,617	5,121,617
3146 Combative Sports Admissions Tax	731,099	549,216	579,800	579,800	579,800
3147 Combative Sports Licenses	188,751	140,000	184,200	184,200	184,200
3160 Mfg/Ind Housing Reg Fees	270,220	278,208	278,000	288,286	288,286
3161 Mfg/Ind Housing Inspect Fees	298,448	325,000	318,250	318,250	318,250
3163 Penalties Mfg/Ind Housing Violation	5,625	4,000	4,000	4,000	4,000
3164 Boiler Inspection Fees	3,280,278	3,064,996	3,280,250	3,280,250	3,280,250
3175 Professional Fees	19,814,939	20,408,435	20,472,278	19,609,445	19,609,445
3366 Business Fees-Natural Resources	551,049	547,192	550,150	545,150	545,150
3560 Medical Exam & Registration	76,010	74,594	75,616	76,652	76,652
3562 Health Related Profession Fees	1,933,679	4,142,950	4,197,262	4,252,318	4,252,318
3727 Fees - Administrative Services	4,339,069	4,288,299	4,347,477	4,407,038	4,407,038
3770 Administrative Penalties	3,448,952	2,265,955	2,266,000	2,266,000	2,266,000
Subtotal: Actual/Estimated Revenue	41,670,755	42,695,018	43,150,717	42,513,659	42,513,659
Total Available	\$41,670,755	\$42,695,018	\$43,150,717	\$42,513,659	\$42,513,659
DEDUCTIONS:					
Expended/Budgeted/Requested	(28,191,163)	(30,500,048)	(30,024,760)	(30,286,887)	(30,221,756)
Transfer-Employee Benefits	(7,589,860)	(8,209,839)	(8,444,338)	(8,444,338)	(8,444,338)
Unemployment Benefits	(11,446)	(9,950)	(10,500)	(10,500)	(10,500)
Total, Deductions	\$(35,792,469)	\$(38,719,837)	\$(38,479,598)	\$(38,741,725)	\$(38,676,594)
Ending Fund/Account Balance	\$5,878,286	\$3,975,181	\$4,671,119	\$3,771,934	\$3,837,065

REVENUE ASSUMPTIONS:

6.E. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2017	Exp 2018	Exp 2019	Bud 2020	Est 2021
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Reduction in licenses for Tow Truck and Vehicle Storage Facilities - 2018; Deregulate Vehicle Booting - 2018; Add Code Enforcement Officers - 2018; Add Mold Assessors - 2018; Deregulate Temporary Common Workers - 2018; Deregulate Vehicle Protection Products - 2018; Add Laser Hair Removal - 2018; Add Behavior Analysts - June 2018; Add Massage Therapists - 2018; Add Offender Education Programs - 2018; Add Podiatrists - 2018; Add Sanitation Registration - 2018; Add Transportation Network Companies - 2018; Deregulate Legal Service Contracts - 2020.

CONTACT PERSON:

Jerald A. Daniels

6.E. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2017	Exp 2018	Exp 2019	Bud 2020	Est 2021
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	\$0	\$0	\$0	\$0	\$0
Estimated Revenue:					
3164 Boiler Inspection Fees	130,600	83,461	0	0	0
3719 Fees/Copies or Filing of Records	243,417	243,154	243,200	243,200	243,200
3740 Grants/Donations	16,528	10,055	10,000	10,000	10,000
3752 Sale of Publications/Advertising	5,171,184	4,891,154	4,931,800	4,931,800	4,931,800
3802 Reimbursements-Third Party	23,715	92,637	52,000	52,000	52,000
Subtotal: Actual/Estimated Revenue	5,585,444	5,320,461	5,237,000	5,237,000	5,237,000
Total Available	\$5,585,444	\$5,320,461	\$5,237,000	\$5,237,000	\$5,237,000
Ending Fund/Account Balance	\$5,585,444	\$5,320,461	\$5,237,000	\$5,237,000	\$5,237,000

REVENUE ASSUMPTIONS:

Revenues are estimated to be relatively flat for the 2020-21 biennium with no increase in fees.

CONTACT PERSON:

Jerald A. Daniels

6.E. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **452** Agency name: **Department of Licensing and Regulation**

FUND/ACCOUNT	Act 2017	Exp 2018	Exp 2019	Bud 2020	Est 2021
898 Auction Educ & Rec Trust					
Beginning Balance (Unencumbered):	\$393,470	\$313,144	\$350,828	\$322,528	\$354,488
Estimated Revenue:					
3175 Professional Fees	11,190	72,960	11,380	72,000	11,240
3802 Reimbursements-Third Party	520	250	360	360	360
3851 Interest on St Deposits & Treas Inv	3,828	4,820	4,960	4,600	4,900
Subtotal: Actual/Estimated Revenue	15,538	78,030	16,700	76,960	16,500
Total Available	\$409,008	\$391,174	\$367,528	\$399,488	\$370,988
DEDUCTIONS:					
Expended/Budgeted/Requested	(95,864)	(40,346)	(45,000)	(45,000)	(45,000)
Total, Deductions	\$(95,864)	\$(40,346)	\$(45,000)	\$(45,000)	\$(45,000)
Ending Fund/Account Balance	\$313,144	\$350,828	\$322,528	\$354,488	\$325,988

REVENUE ASSUMPTIONS:

If the balance in the fund on December 31 of a year is less than \$350,000, each Auctioneer licensee shall pay a fee of \$50 to the fund at the next license renewal.

CONTACT PERSON:

Jerald A. Daniels

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

ARCHITECTURAL BARRIERS ADVISORY COM

Statutory Authorization: Government Code §469.053
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1991
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	7,425	4,950	4,950	4,950	4,950
Total, Committee Expenditures	\$21,693	\$11,635	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$21,693	\$11,635	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$21,693	\$11,635	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	3	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Elimination of Architectural Barriers (AB) Advisory Committee is established by Chapter 469, Government Code, to advise the Texas Commission of Licensing and Regulation on proposed rules, procedures and standards relating to the AB program and recommends changes as appropriate. The Committee's review and advice on rules, procedures and accessibility standards is vital to ensuring accessibility in the State of Texas.

The nine member committee consists of four building professionals and five persons with disabilities who are familiar with architectural barrier problems and solutions. Members serve three-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. Without this Committee, the agency would have difficulty assessing the broad constituency represented by the Committee's membership. Insight into the needs of persons with disabilities and problems inherent in building design and construction is essential to carry out the intentions of the Act.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

AUCTIONEER ADVISORY BOARD

Statutory Authorization: Occupations Code, §§1802.101-109
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 09/01/1991
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$13,071	\$19,014	\$19,014	\$19,014
Other Operating	1,925	7,700	7,700	7,700	7,700
Total, Committee Expenditures	\$6,881	\$20,771	\$26,714	\$26,714	\$26,714
Method of Financing					
General Revenue Fund	\$6,881	\$20,771	\$26,714	\$26,714	\$26,714
Total, Method of Financing	\$6,881	\$20,771	\$26,714	\$26,714	\$26,714
Meetings Per Fiscal Year	1	4	4	4	4

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Auctioneer Advisory Board is established by Chapter 1802, Occupations Code, to advise the Texas Commission of Licensing and Regulation on educational matters, operational matters, and common practices within the auction industry, and on matters relating to the use of the Auctioneer Education Recovery Fund. The Auctioneer Education Recovery Fund is a trust fund with the comptroller for the payment of claims against auctioneers licensed under this chapter. The advisory board advises the commission on funding matters relating to specific classes, seminars, or events for the education and advancement of the auctioneering profession in this state.

The seven member board consists of four members who are licensed auctioneers appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the Commission's approval; the administrative head, or the administrative head's designee, of any state agency or office that is selected by the Commission; and two public members. In appointing advisory board members under Subsection (a)(1), the Chairman of the Commission shall consider the geographical diversity of the members. Members serve two-year terms. The Board has been effective in providing advice on the distribution of grant funding. The Department and the Commission rely on the Board for industry knowledge. The assistance of the Board is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

BOARD OF BOILER RULES

Statutory Authorization: Health & Safety Code,§755.011
 Number of Members: 11
 Committee Status: Ongoing
 Date Created: 09/01/1977
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$13,071	\$9,660	\$9,660	\$9,660
Other Operating	3,025	12,100	6,050	6,050	6,050
Total, Committee Expenditures	\$7,981	\$25,171	\$15,710	\$15,710	\$15,710
Method of Financing					
General Revenue Fund	\$7,981	\$25,171	\$15,710	\$15,710	\$15,710
Total, Method of Financing	\$7,981	\$25,171	\$15,710	\$15,710	\$15,710
Meetings Per Fiscal Year	1	4	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Board of Boiler Rules is established by Chapter 755, Health and Safety Code, to advise the Texas Commission of Licensing and Regulation on adopting rules and definitions relating to the safe construction, installation, inspection, operating limits, alterations and repair of boilers and appurtenances. The Board also makes fee recommendations to the Commission. These functions are essential to the agency's goal of ensuring continued safe operation of boilers in Texas.

The eleven member board is presided over by TDLR's Chief Boiler Inspector, as the Executive Director's designee, and also includes three owners or users of boilers, three representatives of insurers of boilers, one manufacturer or installer of boilers, one representative of organizations that repair or alter boilers, one representative of a labor union and two public members added by the 81st Legislature through HB 2548. Members serve six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Executive Director serves as an ex officio member of the Board. The Board provides highly technical expertise and gives advice from several viewpoints not connected with the Department and is essential to ensuring the safe operation of boilers in the State of Texas.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

ELEVATOR ADVISORY BOARD

Statutory Authorization: Texas Health & Safety Code,§754.012
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1993
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$0	\$9,878	\$4,982	\$4,982	\$4,982
Other Operating	0	9,075	3,025	3,025	3,025
Total, Committee Expenditures	\$0	\$18,953	\$8,007	\$8,007	\$8,007
Method of Financing					
General Revenue Fund	\$0	\$18,953	\$8,007	\$8,007	\$8,007
Total, Method of Financing	\$0	\$18,953	\$8,007	\$8,007	\$8,007
Meetings Per Fiscal Year	0	3	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Elevator Advisory Board is established by Chapter 754, Health and Safety Code, to advise the Texas Commission of Licensing and Regulation on the adoption of appropriate standards for the installation, alteration, operation, and inspection of elevators, escalators, and related equipment in Texas. These functions are essential to the agency's goal of ensuring the safety of people in Texas who ride or work on elevators, escalators, and related equipment.

The nine member Board consists of one insurance industry representative or registered elevator inspector; one equipment constructor representative; two representatives of building owners or managers, one having a building with less than six stories and having equipment, and one having a building of six or more stories and having equipment; one equipment maintenance company representative; one equipment manufacturer representative; one licensed or registered engineer or architect; one public member with a physical disability; and one public member. Members serve three-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. This comprehensive mix provides the agency a balance of industry perspectives and consumer interests. The Board's viewpoints and expertise regarding technical issues are crucial for the Department to formulate policies and procedures, adopt appropriate standards, and enhance safety of equipment.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

PROP. TAX CONSULTANTS ADVISORY COUN

Statutory Authorization: Occupations Code, §§1152.101-108
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 08/01/1991
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$3,493	\$9,660	\$9,660	\$9,660
Other Operating	1,925	1,925	3,850	3,850	3,850
Total, Committee Expenditures	\$6,881	\$5,418	\$13,510	\$13,510	\$13,510
Method of Financing					
General Revenue Fund	\$6,881	\$5,418	\$13,510	\$13,510	\$13,510
Total, Method of Financing	\$6,881	\$5,418	\$13,510	\$13,510	\$13,510
Meetings Per Fiscal Year	1	1	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Property Tax Consultants Advisory Council is established by Chapter 1152, Occupations Code, to make recommendations to the Executive Director concerning standards of practice, conduct and ethics for registrants, fees, examination content, standards of acceptable performance for senior property tax consultants, recognition of continuing education programs and courses, and establishing education requirements for initial applicants.

The seven member council consists of six registered senior property tax consultants with experience and memberships outlined in § 1152.102 of the Occupations Code, and one public member added by the 81st Legislature through HB 2548. Members serve staggered three-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The advisory board advises on the education of registrants, technical standards of appraisal and property tax appeals, the tax code, and issues of public finance.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

WATER WELL DRILLERS ADVISORY COUN.

Statutory Authorization: Occupations Code, §§1901.101-109
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1992
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$9,878	\$9,660	\$9,660	\$9,660
Other Operating	2,475	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$7,431	\$17,303	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$7,431	\$17,303	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$7,431	\$17,303	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	1	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Water Well Drillers Advisory Council is established by Chapter 1901, Occupations Code, to advise Texas Commission of Licensing and Regulation and the Department of the contents of the licensing examination, assists the Department in the evaluation of continuing education programs, recommends standards relating to the qualifications of continuing education providers, topics, and instructors and recommends rules for adoption and changes in program fees.

The nine member Council consists of six licensed drillers experienced in water well drilling, completion, and plugging methods and techniques, and three public members. One member is selected from the state at large and the other five must be selected, one each, from the following geographic regions: Gulf Coast; Trans-Pecos; Central Texas; Northeast Texas; and the Panhandle-South Plains. Members serve six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The technical expertise of the Council members is invaluable to the Department and to the Commission. Without the Council, the Department would be forced to pay outside experts or hire additional staff.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

INDUSTRIALIZED BUILDNG CODE COUNCIL

Statutory Authorization: Occupations Code, §§1202.051-057
 Number of Members: 12
 Committee Status: Ongoing
 Date Created: 09/01/1985
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	3,300	6,600	6,600	6,600	6,600
Total, Committee Expenditures	\$8,256	\$13,285	\$16,260	\$16,260	\$16,260
Method of Financing					
General Revenue Fund	\$8,256	\$13,285	\$16,260	\$16,260	\$16,260
Total, Method of Financing	\$8,256	\$13,285	\$16,260	\$16,260	\$16,260
Meetings Per Fiscal Year	1	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Industrialized Building Code Council is established by Chapter 1202, Occupations Code, to direct the Texas Commission of Licensing and Regulation in matters related to state building codes. The Council is a decision-making body for adopting state codes which ensure designs, plans, specifications, construction and siting of industrial housing and buildings meet mandatory codes and construction methods. The council establishes criteria for approval of third-party inspectors and design review agencies, and provides expertise on enforcement of building codes and construction methods.

The twelve member council consists of three building officials from municipalities with a population of more than 25,000; three members who represent the industrialized housing and building industries; three general contractors who construct housing or buildings on-site; one licensed structural engineer; one licensed electrical engineer; and one registered architect. Members serve staggered two-year terms and are appointed by the Governor with the consent of the Senate. If abolished, the Department would lose expertise in engineering, building code enforcement and manufacturing and would be required to hire additional technical staff.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

WEATHER MODIFICATION ADV COMMITTEE

Statutory Authorization: Texas Agriculture Code, §301.053
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/1967
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$9,612	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	2,750	2,750	2,750	2,750	2,750
Total, Committee Expenditures	\$12,362	\$9,435	\$12,410	\$12,410	\$12,410
Method of Financing					
General Revenue Fund	\$12,362	\$9,435	\$12,410	\$12,410	\$12,410
Total, Method of Financing	\$12,362	\$9,435	\$12,410	\$12,410	\$12,410
Meetings Per Fiscal Year	2	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Weather Modification Advisory Committee is established by Chapter 301, Agriculture Code, to advise the Texas Commission of Licensing and Regulation and the Department and makes recommendations concerning legislation, policies, administration, research, and other matters related to the Department's duties, powers, or functions under the Weather Modification program.

The Committee consists of five members appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Department relies on the Committee for advice and assistance in the development of rules and standards, and for technical expertise in administering the Weather Modification Program. Because the staffing resources that the Department can allocate to this program are very limited, the advice and assistance of the committee is critical to the success of the program.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

A/C & REFRIG. CONTRACTORS ADVISORY

Statutory Authorization: OCC Code, §§1302.201-208
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/1987
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$9,878	\$9,660	\$9,660	\$9,660
Other Operating	7,425	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$21,693	\$17,303	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$21,693	\$17,303	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$21,693	\$17,303	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	3	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Air Conditioning and Refrigeration Contractors Advisory Board is established by Chapter 1302, Occupations Code, to advise the Texas Commission of Licensing and Regulation in adopting rules, setting fees, and administering and enforcing Chapter 1302.

The nine member board consists of five licensed contractors experienced in design, installation, construction, maintenance, and alterations for air conditioning and refrigeration equipment and two municipal officials. The 83rd Legislature, through HB 1503, added a licensed contractor and a building contractor engaged in home construction and is a member of a statewide building trade association to this advisory board. Members serve six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. Additionally, the Executive Director and the chief administrator of Chapter 1302 serve as ex officio, non-voting members. The Board provides valuable input on rules, penalty matrices, fees, examinations, applicant qualifications, and various issues and changes involving the air conditioning and refrigeration industry. The Board Members' technical expertise is important in assisting the agency in the enforcement of Chapter 1302.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

ELECTRICAL SAFETY & LICENSING ADV BOARD

Statutory Authorization: Occupations Code, §1305.051-055
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2003
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$9,878	\$9,660	\$9,660	\$9,675
Other Operating	2,475	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$7,431	\$17,303	\$14,610	\$14,610	\$14,625
Method of Financing					
General Revenue Fund	\$7,431	\$17,303	\$14,610	\$14,610	\$14,625
Total, Method of Financing	\$7,431	\$17,303	\$14,610	\$14,610	\$14,625
Meetings Per Fiscal Year	1	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Electrical Safety and Licensing Advisory Board is established by Chapter 1305, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Electrical Safety Program. The Board's technical input on examinations, applicant qualifications, and the industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules and standards.

The nine member board consists of three master electricians, three journeyman electricians, one master sign electrician, and two public members. Two of these members are affiliated with a statewide association of electrical contractors not affiliated with a labor organization; three members are affiliated with a labor organization; one member who is not affiliated with a statewide association of electrical contractors or with a labor organization; one member who is affiliated with a historically underutilized business; and one public member who is a building contractor principally engaged in home construction and is a member of a statewide building trade association. HB 1503 of the 83rd Legislature amended a public member designation to require a contractor engaged in home construction be added. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

ADVISORY BOARD ON BARBERING

Statutory Authorization: Occupations Code, §§1601.051
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2005
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$9,878	\$9,660	\$9,660	\$9,660
Other Operating	1,375	4,125	2,750	2,750	2,750
Total, Committee Expenditures	\$6,331	\$14,003	\$12,410	\$12,410	\$12,410
Method of Financing					
General Revenue Fund	\$6,331	\$14,003	\$12,410	\$12,410	\$12,410
Total, Method of Financing	\$6,331	\$14,003	\$12,410	\$12,410	\$12,410
Meetings Per Fiscal Year	1	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Advisory Board on Barbering is established by Chapter 1601, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Barbering Program. The Board provides technical input on examinations and applicant qualifications. The industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules, and health and safety standards.

The five member board consists of two members who are engaged in the practice of barbering as a Class A barber and do not hold a barbershop permit, two members who are barbershop owners and hold a barbershop permit, and one member who holds a permit to conduct or operate a barber school. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

ADVISORY BOARD ON COSMETOLOGY

Statutory Authorization: Occupations Code, §1602.051
 Number of Members: 10
 Committee Status: Ongoing
 Date Created: 09/01/2005
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$9,878	\$9,660	\$9,660	\$9,660
Other Operating	2,750	8,250	5,500	5,500	5,500
Total, Committee Expenditures	\$7,706	\$18,128	\$15,160	\$15,160	\$15,160
Method of Financing					
General Revenue Fund	\$7,706	\$18,128	\$15,160	\$15,160	\$15,160
Total, Method of Financing	\$7,706	\$18,128	\$15,160	\$15,160	\$15,160
Meetings Per Fiscal Year	1	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Advisory Board on Cosmetology is established by Chapter 1602, Occupations Code, to advise the Texas Commission of Licensing and Regulation on rules, enforcement, administration, and fees in the Cosmetology Program. The Board provides technical input on examinations and applicant qualifications. The industry's viewpoint is invaluable to this process. Without the Board's expertise and recommendations the Department would require additional staff to research, develop, and evaluate examinations, rules and health and safety standards.

The nine member board consists of one member who holds a license for a beauty shop that is part of a chain of beauty shops, one member who holds a license for a beauty shop that is not part of a chain of beauty shops; one member who holds a private beauty culture school license; two members who each hold an operator license; one member who represents a licensed public secondary or post secondary beauty culture school; one member who represents a licensed public secondary beauty culture school; and two public members. The associate commissioner for occupational education and technology of the Texas Education Agency or the associate commissioner's designee shall serve as an ex officio member of the board without voting privileges. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

TOWING AND STORAGE ADVISORY BOARD

Statutory Authorization: Occupations Code, Title 14, § 2308
 Number of Members: 10
 Committee Status: Ongoing
 Date Created: 09/01/2007
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$13,071	\$9,660	\$9,660	\$9,660
Other Operating	8,250	11,000	5,500	5,500	5,500
Total, Committee Expenditures	\$22,518	\$24,071	\$15,160	\$15,160	\$15,160
Method of Financing					
General Revenue Fund	\$22,518	\$24,071	\$15,160	\$15,160	\$15,160
Total, Method of Financing	\$22,518	\$24,071	\$15,160	\$15,160	\$15,160
Meetings Per Fiscal Year	3	4	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Towing and Storage Advisory Board is established by Chapter 2308, Occupations Code, to advise the Texas Commission of Licensing and Regulation on matters relating to vehicle storage and towing. The board provides advice on proposed rules and its input is vital to the successful regulation of this program in Texas. Without this Advisory Board, the agency would be forced to acquire an industry knowledge base through additional staffing.

The Board consists of nine members: two representing towing companies; two representing vehicle storage facilities; two law enforcement officers; one parking facility owner; one representative of the insurance industry; and one person who operates both a towing and vehicle storage facility. Members are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

USED AUTOMOTIVE PARTS RECYCLING ADVISORY BD

Statutory Authorization: Texas Occupations Code, § 2309.056
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-2 LICENSE BUSINESSES AND FACILITIES
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$300	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	0	2,750	2,750	2,750	2,750
Total, Committee Expenditures	\$300	\$9,435	\$12,410	\$12,410	\$12,410
Method of Financing					
General Revenue Fund	\$300	\$9,435	\$12,410	\$12,410	\$12,410
Total, Method of Financing	\$300	\$9,435	\$12,410	\$12,410	\$12,410
Meetings Per Fiscal Year	0	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Used Automotive Parts Recycling Advisory Board is established by Chapter 2309, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation concerning technical matters relevant to the administration and enforcement of the program. Without this Advisory Board, the agency will be forced to acquire an industry knowledge base through additional staffing.

The Board consists of five members who are used automotive parts recyclers, one of whom owns the business as a foreign entity. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

POLYGRAPH ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, Chapter 1703
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	1,375	2,750	2,750	2,750	2,750
Total, Committee Expenditures	\$6,331	\$9,435	\$12,410	\$12,410	\$12,410
Method of Financing					
General Revenue Fund	\$6,331	\$9,435	\$12,410	\$12,410	\$12,410
Total, Method of Financing	\$6,331	\$9,435	\$12,410	\$12,410	\$12,410
Meetings Per Fiscal Year	1	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Polygraph Advisory Committee is established by Chapter 1703, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation and the Department concerning educational requirements to become a polygraph examiner, the contents of licensing examinations, technical issues related to polygraph exams, and the administration of the program.

The five member committee consists of two polygraph examiner members who are qualified polygraph examiners for a governmental law enforcement agency; two polygraph examiner members who are qualified polygraph examiners in the commercial field; and one member who represents the public. Members are appointed by the presiding officer of the Texas Commission of Licensing and Regulation with the consent of the Commission. The Department relies on the committee for advice and assistance in development of rules and standards and for technical expertise in administering the Polygraph Examination program. Because the staffing resources that the Department can allocate to the program are very limited, the committee's advice and assistance is critical to the success of the program.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

TEXAS TAX PROFESSIONAL ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, Chapter 1151
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 09/01/2009
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$4,956	\$3,493	\$4,982	\$4,982	\$4,982
Other Operating	1,925	1,925	1,925	1,925	1,925
Total, Committee Expenditures	\$6,881	\$5,418	\$6,907	\$6,907	\$6,907
Method of Financing					
General Revenue Fund	\$6,881	\$5,418	\$6,907	\$6,907	\$6,907
Total, Method of Financing	\$6,881	\$5,418	\$6,907	\$6,907	\$6,907
Meetings Per Fiscal Year	1	1	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Texas Tax Professional Advisory Committee was established by Chapter 1151, Occupations Code, to make recommendations to the Texas Commission of Licensing and Regulation concerning the education of registrants, technical standards of appraisal, processes of tax appraisal, tax assessing collecting, tax collecting, the tax code, and issues of public finance.

The seven member Committee consists of two members who are certified under this chapter as registered professional appraisers; two members who are certified under this chapter as registered Texas collectors or registered Texas assessors; and three members who represent the public. Members serve staggered six-year terms and are appointed by the Chairman of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

LICENSED BREEDERS ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §802.065
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2011
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$3,493	\$9,660	\$9,660	\$9,660
Other Operating	7,425	2,475	4,950	4,950	4,950
Total, Committee Expenditures	\$21,693	\$5,968	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$21,693	\$5,968	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$21,693	\$5,968	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	3	1	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Licensed Breeders Advisory Committee is established by Chapter 802, Occupations Code, to advise the Texas Commission of Licensing and Regulation and make recommendations on matters related to the administration and enforcement of this chapter, including licensing fees and standards. Without this Advisory Board, the agency would be forced to acquire an industry knowledge base through additional staffing.

The nine member committee consists of two members who are licensed breeders; two members who are veterinarians; two members who represent animal welfare organizations each of which has an office based in this state; two members who represent the public; and one member who is an animal control officer as defined in Section 829.001, Health and Safety Code. Members serve staggered four-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission. The Committee's viewpoint and input regarding matters related to breeding is invaluable to the Department and would require additional staff if the Committee was abolished.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

ADVISORY BOARD OF ATHLETIC TRAINERS

Statutory Authorization: Occupations Code, §§451.051-056
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$9,878	\$9,660	\$9,660	\$9,660
Other Operating	4,125	4,125	2,750	2,750	2,750
Total, Committee Expenditures	\$18,393	\$14,003	\$12,410	\$12,410	\$12,410
Method of Financing					
General Revenue Fund	\$18,393	\$14,003	\$12,410	\$12,410	\$12,410
Total, Method of Financing	\$18,393	\$14,003	\$12,410	\$12,410	\$12,410
Meetings Per Fiscal Year	3	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Advisory Board of Athletic Trainers is established by Chapter 451, Occupations Code, to advise the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The five member board consists of three members who are athletic trainers; and two members who represent the public. Members of the board must be citizens of the United States and residents of this state for the five years preceding appointment. Members serve staggered six-year terms with the terms of one or two members expiring on January 31 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

DIETITIANS ADVISORY BOARD

Statutory Authorization: OCC Code, §§701.051,.0511,.054,.057-058
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$9,612	\$9,878	\$9,660	\$9,660	\$9,660
Other Operating	4,950	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$14,562	\$17,303	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$14,562	\$17,303	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$14,562	\$17,303	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	2	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Dietitians Advisory Board is established by Chapter 701, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules, procedures and standards of care is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of six licensed dietitian members, each of whom has been licensed under Chapter 701 for not less than three years before the member's date of appointment; and three members who represent the public. The dietitian members represent the following areas of expertise: clinical, educational, management, consultation, and community. Members of the advisory board serve staggered six-year terms. The terms of three members begin on September 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

DRIVER TRAINING AND TRAFFIC SAFETY ADVISORY COMMITTEE

Statutory Authorization: Education Code, §1001.058
 Number of Members: 11
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$13,071	\$9,660	\$9,660	\$9,660
Other Operating	9,075	12,100	6,050	6,050	6,050
Total, Committee Expenditures	\$23,343	\$25,171	\$15,710	\$15,710	\$15,710
Method of Financing					
General Revenue Fund	\$23,343	\$25,171	\$15,710	\$15,710	\$15,710
Total, Method of Financing	\$23,343	\$25,171	\$15,710	\$15,710	\$15,710
Meetings Per Fiscal Year	3	4	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Driver Training and Traffic Safety Advisory Committee is established by Chapter 1001, Education Code, to advise the Texas Commission of Licensing and Regulation and the department on rules and educational and technical matters relevant to the administration of this chapter. The Committee's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The eleven members committee consists of: one member representing a driver education school that offers a traditional classroom course and in-car training; one member representing a driver education school that offers a traditional classroom course, alternative methods of instruction, or in-car training; one member representing a driving safety school offering a traditional classroom course or providing an alternative method of instruction; one member representing a driving safety course provider approved for a traditional classroom course and for an alternative method of instruction; one member representing a driving safety course provider approved for a traditional classroom course or for an alternative method of instruction; one licensed instructor; one representative of the Department of Public Safety; one member representing a drug and alcohol driving awareness program course provider; one member representing a parent-taught course provider; and two members representing the public. Members serves staggered six-year terms.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

DYSLEXIA THERAPISTS AND PRACTITIONERS ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §§403.051, .0511, .052
 Number of Members: 5
 Committee Status: Ongoing
 Date Created: 04/15/2016
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$9,612	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	2,750	2,750	2,750	2,750	2,750
Total, Committee Expenditures	\$12,362	\$9,435	\$12,410	\$12,410	\$12,410
Method of Financing					
General Revenue Fund	\$12,362	\$9,435	\$12,410	\$12,410	\$12,410
Total, Method of Financing	\$12,362	\$9,435	\$12,410	\$12,410	\$12,410
Meetings Per Fiscal Year	2	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Dyslexia Therapists and Practitioners Advisory Committee is established by Chapter 403, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter. The committee shall advise the department regarding rules relating to the licensure and regulation of dyslexia therapists and dyslexia practitioners, including continuing education requirements and the approved examination for licensure. The Committee's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The five members committee consists of two dyslexia therapists licensed under the Act, one dyslexia practitioner licensed under the Act and two consumer or public members, one of whom must be a person with dyslexia or the parent of a person with dyslexia. Members serve staggered six year terms which expire on December 31st of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

HEARING INSTRUMENT FITTERS AND DISPENSERS ADVISORY BOARD

Statutory Authorization: OCC Code, §§402.051, .0511,.055,.057-058
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$9,612	\$9,878	\$9,660	\$9,660	\$9,660
Other Operating	4,950	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$14,562	\$17,303	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$14,562	\$17,303	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$14,562	\$17,303	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	2	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Hearing Instrument Fitters and Dispensers Advisory Board is established by Chapter 402, Occupations Code, to advise and make recommendations to the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine members board consists of six members licensed under this chapter who have been residents of this state actually engaged in fitting and dispensing hearing instruments for at least five years preceding appointment, not more than one of whom may be licensed under the chapter establishing Speech-Language Pathologists and Audiologists; one member who is actively practicing as a physician licensed by the Texas Medical Board, has been a resident of this state for at least two years preceding appointment and is a United States citizen, and specializes in the practice of otolaryngology; and two members of the public.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

MIDWIVES ADVISORY BOARD

Statutory Authorization: OCC Code, §§203.052,.0521,.055-056,.059
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$16,263	\$9,660	\$9,660	\$9,660
Other Operating	7,425	12,375	4,950	4,950	4,950
Total, Committee Expenditures	\$21,693	\$28,638	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$21,693	\$28,638	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$21,693	\$28,638	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	3	5	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Midwives Advisory Board is established by Chapter 203, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter, including scope of practice and health related standards of care. The Board's review and advice on rules, procedures, and standards of care is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of five licensed midwife members each of whom has at least three years' experience in the practice of midwifery; one physician member who is certified by a national professional organization of physicians that certifies obstetricians and gynecologists; one physician member who is certified by a national professional organization of physicians that certifies family practitioners or pediatricians; and two members who represent the public and who are not practicing or trained in a health care profession, one of whom is a parent with at least one child born with the assistance of a midwife. Members serve staggered six years terms with the terms of three members expiring on January 31 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

ORTHOTISTS AND PROSTHETISTS ADVISORY BOARD

Statutory Authorization: OCC Code, §§605.052,.0521,.055-056,.059
 Number of Members: 7
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$9,612	\$6,685	\$4,982	\$4,982	\$4,982
Other Operating	3,850	3,850	1,925	1,925	1,925
Total, Committee Expenditures	\$13,462	\$10,535	\$6,907	\$6,907	\$6,907
Method of Financing					
General Revenue Fund	\$13,462	\$10,535	\$6,907	\$6,907	\$6,907
Total, Method of Financing	\$13,462	\$10,535	\$6,907	\$6,907	\$6,907
Meetings Per Fiscal Year	2	2	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Orthotists and Prosthetists Advisory Board is established by Chapter 605, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The seven member board consists of two licensed orthotist members who each have practiced orthotics for the five years preceding the date of appointment, two licensed prosthetist members who each have practiced prosthetics for the five years preceding the date of appointment, one licensed prosthetist orthotist member who has practiced orthotics and prosthetics for the five years preceding the date of appointment, one member who is a representative of the public who uses an orthosis, and one member who is a representative of the public who uses a prosthesis. Members serve staggered six-year terms. The terms of two or three members expire on February 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

SPEECH-LANGUAGE PATHOLOGISTS AND AUDIOLOGISTS ADVISORY BOARD

Statutory Authorization: OCC Code, §§401.102,.10205,.105,.107-108
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 09/01/2015
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$9,878	\$9,660	\$9,660	\$9,660
Other Operating	7,425	7,425	4,950	4,950	4,950
Total, Committee Expenditures	\$21,693	\$17,303	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$21,693	\$17,303	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$21,693	\$17,303	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	3	3	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Speech-Language Pathologists and Audiologists Advisory Board is established by Chapter 401, Occupations Code, to provide advice and recommendations to the Department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of three audiologist members, three speech-language pathologist members; and three members who represent the public. Board members must have been a resident of this state for the two years preceding the date of appointment, be from the various geographic regions of the state, and be from varying employment settings. Audiologists and pathologists members must have been engaged in teaching, research, or providing services in speech-language pathology or audiology for at least five years; and be licensed under this chapter. One of the public advisory board members must be a physician licensed in this state and certified in otolaryngology or pediatrics. Members serve staggered six-year terms, with the terms of three members expire September 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

CODE ENFORCEMENT OFFICERS ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §§1952.055
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 10/20/2017
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$0	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	0	4,950	4,950	4,950	4,950
Total, Committee Expenditures	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	0	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Code Enforcement Officers Advisory Committee is established by Chapter 1952 of the Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of Chapter 1952.

The advisory committee consists of nine members appointed by the presiding officer of the commission with the approval of the commission consists of five registered code enforcement officers; one structural engineer or licensed architect; two consumers, one of which must be a certified building official; and one person involved in the education and training of code enforcement officers. Members serve staggered six-year terms and are appointed by the presiding officer of the Texas Commission of Licensing and Regulation, with the approval of the Commission.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

BEHAVIOR ANALYSTS ADVISORY BOARD

Statutory Authorization: Occupations Code, §§506.101-107
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 10/20/2017
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$0	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	0	4,950	4,950	4,950	4,950
Total, Committee Expenditures	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	0	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Behavior Analyst Advisory Board is established by Chapter 506, Occupations Code, to provide advice and recommendations to the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules, procedures and standards of care is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of four licensed behavior analysts, at least one of whom must be certified as a Board Certified Behavior Analyst--Doctoral or hold an equivalent certification issued by the certifying entity, one licensed assistant behavior analyst, one physician who has experience providing mental health or behavioral health services, and three members who represent the public and who are either former recipients of applied behavior analysis services or the parent or guardian of a current or former recipient of applied behavior analysis services. Members of the advisory board serve staggered six-year terms, with the terms of three members expiring February 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

COMBATIVE SPORTS ADVISORY BOARD

Statutory Authorization: Occupations Code, §2052.055
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 01/01/2004
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$14,268	\$6,685	\$4,982	\$4,982	\$4,982
Other Operating	5,775	3,850	1,925	1,925	1,925
Total, Committee Expenditures	\$20,043	\$10,535	\$6,907	\$6,907	\$6,907
Method of Financing					
General Revenue Fund	\$20,043	\$10,535	\$6,907	\$6,907	\$6,907
Total, Method of Financing	\$20,043	\$10,535	\$6,907	\$6,907	\$6,907
Meetings Per Fiscal Year	3	2	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Combative Sports Advisory Board is established by Chapter 2052, Occupations Code, to advise the Texas Commission of Licensing and Regulation on health and safety matters related to combative sports, including physical tests for contestants. The Combative Sports Advisory Board provides the department with professional medical advice on combative sport contestants' health issues and offers expertise and recommendations on proposed rules and combative sports safety procedures. Without the medically trained professionals staff would have to hire experts in trauma, head injury, neurology and other medical specialties.

The nine member board consists of four physicians, one representative of a boxing promoter, one representative of a mixed martial arts promoter, one combative sports referee or judge licensed at least three years, one former combative sports contestant, and one public member. Members holding a position that requires a license must be licensed by the State of Texas and be in and remain in good standing for the balance of the term. Advisory board members serve terms of six years, with the terms of two or three members, expiring on February 1 of each odd-numbered year.

Although the agency was given authority to pay travel reimbursement for advisory board members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

MASSAGE THERAPY ADVISORY BOARD

Statutory Authorization: Occupations Code, §§455.101-105
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 10/20/2017
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY
 1-1-3 EXAMINATIONS/CONTINUING EDUCATION
 2-1-1 CONDUCT INSPECTIONS

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$0	\$9,878	\$4,982	\$4,982	\$4,982
Other Operating	0	7,425	2,475	2,475	2,475
Total, Committee Expenditures	\$0	\$17,303	\$7,457	\$7,457	\$7,457
Method of Financing					
General Revenue Fund	\$0	\$17,303	\$7,457	\$7,457	\$7,457
Total, Method of Financing	\$0	\$17,303	\$7,457	\$7,457	\$7,457
Meetings Per Fiscal Year	0	3	1	1	1

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Massage Therapy Advisory Board shall provide advice and recommendations to the department on technical matters relevant to the administration of Chapter 455. The board provides advice and recommendations to the department on technical matters relevant to the administration of this chapter. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The Massage Therapy Advisory Board consists of nine members appointed by the presiding officer of the commission with the approval of the commission as follows: two members who are licensed massage therapists; two members who represent licensed massage schools; two members who represent licensed massage establishments; one member who is a peace officer with expertise in the enforcement of Chapter 20A, Penal Code, and Subchapter A, Chapter 43, Penal Code; and two members of the public. The members serve staggered six-year terms.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

PODIATRIC MEDICAL EXAMINERS ADVISORY BOARD

Statutory Authorization: Occupations Code, §§202.051-062
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 11/30/2017
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$9,612	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	4,950	4,950	4,950	4,950	4,950
Total, Committee Expenditures	\$14,562	\$11,635	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$14,562	\$11,635	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$14,562	\$11,635	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	2	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Podiatric Medical Examiners Advisory Board is established by Chapter 202, Occupations Code, to advise the Texas Commission of Licensing and Regulation in adopting rules and administering and enforcing Chapter 202. The Board's review and advice on rules and procedures is critical to the success of the program, because of the limited staffing resources the Department can allocate to the program.

The nine member board consists of six members who are licensed in this state to practice podiatry and have been actively engaged in the practice of podiatry for the five years preceding appointment; and three members who represent the public. Members serve staggered six-year terms and are appointed by the Governor. The Board Members' technical expertise is important in assisting the agency in the enforcement of Chapter 202.

Although the agency was given authority to pay travel reimbursement for advisory board committee members, the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.F.a. Advisory Committee Supporting Schedule ~ Part A
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
 Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

REGISTERED SANITARIAN ADVISORY COMMITTEE

Statutory Authorization: Occupations Code, §§1953.0512
 Number of Members: 9
 Committee Status: Ongoing
 Date Created: 10/20/2017
 Date to Be Abolished: 09/01/2024
 Strategy (Strategies): 1-1-1 LICENSE, REGISTER AND CERTIFY

Advisory Committee Costs	Expended Exp 2017	Estimated Est 2018	Budgeted Bud 2019	Requested BL 2020	Requested BL 2021
Other Expenditures in Support of Committee Activities					
Personnel	\$0	\$6,685	\$9,660	\$9,660	\$9,660
Other Operating	0	4,950	4,950	4,950	4,950
Total, Committee Expenditures	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Method of Financing					
General Revenue Fund	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Total, Method of Financing	\$0	\$11,635	\$14,610	\$14,610	\$14,610
Meetings Per Fiscal Year	0	2	2	2	2

6.F.a. Advisory Committee Supporting Schedule ~ Part A

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:13AM

Agency Code: **452** Agency: **Department of Licensing and Regulation**

Description and Justification for Continuation/Consequences of Abolishing

The Registered Sanitarian Advisory Committee is established by Chapter 1953, Occupations Code, and provides advice and recommendations to the department on technical matters relevant to the administration of the Act and Chapter 1953.

The Registered Sanitarian Advisory Committee shall be composed of nine members appointed by the presiding officer of the commission, with the approval of the commission. The composition of the committee shall include: five registered sanitarians; one professional engineer, or one on-site sewage facility (OSSF) professional who is not and has never been registered as a sanitarian in Texas; two consumers, one of which must be a member of an industry or occupation which is regulated either by a city or county environmental health unit or department or equivalent, or by the Department of State Health Services; and one person involved in education in the field of public, consumer, or environmental health sciences. Committee members serve staggered six year terms.

Although the agency was given authority to pay travel reimbursement for advisory board committee members the reduction in budgets initiated in 2010 have prevented the agency from paying these expenses. At this time there is no appropriation to cover travel expenses.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

1 Program Layoffs 2.5%

Category: Programs - Service Reductions (Contracted)

Item Comment: The first proposed 2.5% reduction would eliminate six FTEs. Consumer protection and safety would decrease because required inspections of barber and cosmetology shops, salons, and schools, massage establishments and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities would not be completed. Fewer inspections would result in fewer violations being prosecuted, thereby compounding decreased consumer safety. Because of the difficulty in getting pre-license inspection, fewer new schools would be open to teach students, delaying those future licensees from being able to work. The agency predicts a drop in its key performance measure target for this strategy.

Resolve Complaints

The first proposed 2.5% reduction would eliminate two FTEs. A backlog of complaints appropriate for prosecution would be created and the public would be at an elevated risk. The agency predicts a drop in its key performance measure target for this strategy.

Programs – Service Reductions

The first proposed 2.5% reduction would eliminate one FTE. A backlog of plan reviews will increase, causing construction projects to be delayed.

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$250,000	\$250,000	\$500,000			
General Revenue Funds Total	\$0	\$0	\$0	\$250,000	\$250,000	\$500,000			

Strategy: 2-1-2 Perform Building Plan Reviews

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$46,000	\$46,000	\$92,000			
General Revenue Funds Total	\$0	\$0	\$0	\$46,000	\$46,000	\$92,000			

Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$76,000	\$76,000	\$152,000			
General Revenue Funds Total	\$0	\$0	\$0	\$76,000	\$76,000	\$152,000			
Item Total	\$0	\$0	\$0	\$372,000	\$372,000	\$744,000			

FTE Reductions (From FY 2020 and FY 2021 Base Request) **9.0** **9.0**

2 Program Operating 2.5%

Category: Programs - Service Reductions (Contracted)

Item Comment: Businesses and schools needing pre-license inspections in order to operate would be harmed and the time for them to receive a license and operate would increase. Consumer safety would be lowered because of a decrease in inspections of barber and cosmetology shops, salons and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities. The time to investigate complaints would increase, resulting in fewer investigations, leaving the public at increased risk. A backlog of plan reviews would increase, causing construction projects and elevator installations and upgrades to be delayed. The agency predicts drops in its key performance measure targets and in revenue.

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$90,400	\$90,400	\$180,800			
General Revenue Funds Total	\$0	\$0	\$0	\$90,400	\$90,400	\$180,800			
Strategy: 2-1-2 Perform Building Plan Reviews									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$18,500	\$18,500	\$37,000			
General Revenue Funds Total	\$0	\$0	\$0	\$18,500	\$18,500	\$37,000			
Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$30,000	\$30,000	\$60,000			
General Revenue Funds Total	\$0	\$0	\$0	\$30,000	\$30,000	\$60,000			
Item Total	\$0	\$0	\$0	\$138,900	\$138,900	\$277,800			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

3 Administrative Layoff 2.5%

Category: Programs - Service Reductions (Contracted)

Item Comment: The first proposed 2.5% reduction would eliminate three FTEs. This will adversely affect the agency's ability to reform critical data analytics necessary to improve service delivery processes.

Strategy: 3-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$206,783	\$206,783	\$413,566			
General Revenue Funds Total	\$0	\$0	\$0	\$206,783	\$206,783	\$413,566			
Item Total	\$0	\$0	\$0	\$206,783	\$206,783	\$413,566			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

3.0 3.0

4 Administrative Operating 2.5%

Category: Programs - Service Reductions (Contracted)

Item Comment: Necessary improvement to service delivery processes would not take place because the agency's ability to reform critical data analytics would be impaired. The challenges brought on by the transfer of disparate programs over time, and their corresponding processes and licensing systems, require constant analysis of the inherited data and processes to improve the levels of service deliveries to those expected of this agency.

Strategy: 3-1-1 Central Administration

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
1 General Revenue Fund	\$0	\$0	\$0	\$56,800	\$56,800	\$113,600			
General Revenue Funds Total	\$0	\$0	\$0	\$56,800	\$56,800	\$113,600			
Item Total	\$0	\$0	\$0	\$56,800	\$56,800	\$113,600			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

5 Program Layoffs 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: Programs – Service Reductions

The second proposed 2.5% reduction would eliminate two FTEs. Providers of continuing education courses will see delays in their courses being approved, reducing the number of courses available to licensees who need those courses to renew their licenses.

Programs – Service Reductions

The second proposed 2.5% reduction would eliminate two FTEs. Consumer protection and safety would decrease because required inspections of barber and cosmetology shops, salons, and schools, massage establishments and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities would not be completed. Fewer inspections would result in fewer violations being prosecuted, thereby compounding decreased consumer safety. Because of the difficulty in getting pre-license inspection, fewer new schools would be open to teach students, delaying those future licensees from being able to work. The agency predicts a drop in its key performance measure target for this strategy.

Resolve Complaints

The second proposed 2.5% reduction would eliminate one FTE. A backlog of complaints appropriate for prosecution would be created and the public would be at an elevated risk. The agency predicts a drop in its key performance measure target for this strategy.

Programs – Service Reductions

The second proposed 2.5% reduction would eliminate one FTE. Reduced subject matter expertise would occur in several programs, reducing the ability to provide information to licensees, business owners, consumers and municipal officials.

Strategy: 1-1-3 Administer Exams to Applicants

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$107,328	\$107,328	\$214,656			
General Revenue Funds Total	\$0	\$0	\$0	\$107,328	\$107,328	\$214,656			
Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$138,144	\$138,144	\$276,288			
General Revenue Funds Total	\$0	\$0	\$0	\$138,144	\$138,144	\$276,288			
Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$67,000	\$67,000	\$134,000			
General Revenue Funds Total	\$0	\$0	\$0	\$67,000	\$67,000	\$134,000			
Item Total	\$0	\$0	\$0	\$312,472	\$312,472	\$624,944			
FTE Reductions (From FY 2020 and FY 2021 Base Request)					6.0	6.0			

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

6 Program Operating 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: Providers of continuing education courses would see delays in their courses being approved, reducing the number of courses available to licensees who need the courses to renew their licenses. New schools would face delays in opening and new driver safety and parent-taught education courses would be delayed in starting because of a holdup in the number of curricula which can be timely approved. Businesses and schools needing pre-license inspections in order to operate would be harmed and the time for them to receive a license and open for business would increase. Consumer safety would be lowered because of a decrease in inspections of barber and cosmetology shops, salons and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities. A backlog of complaints appropriate for prosecution would be created and the public would be at elevated risk. The agency predicts drops in its key performance measure targets and in revenue.

Strategy: 1-1-3 Administer Exams to Applicants

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$37,000	\$37,000	\$74,000
General Revenue Funds Total	\$0	\$0	\$0	\$37,000	\$37,000	\$74,000

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$78,500	\$78,500	\$157,000
General Revenue Funds Total	\$0	\$0	\$0	\$78,500	\$78,500	\$157,000

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$18,500	\$18,500	\$37,000			
General Revenue Funds Total	\$0	\$0	\$0	\$18,500	\$18,500	\$37,000			
Item Total	\$0	\$0	\$0	\$134,000	\$134,000	\$268,000			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

7 Administrative Layoff 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: Information Technology

The second proposed 2.5% reduction would eliminate one FTE. The ability to quickly make necessary security revisions as frequently as required would suffer, and the reduction would also hamper the agency's capability to respond to any cybersecurity issues that may arise.

Central Administration

The second proposed 2.5% reduction would eliminate three FTEs. The time required to process the fees, penalties, and invoices for payment received each day would increase. This would adversely impact the ability to make timely deposits of revenue and would extend the time required to review and process licenses and renewals, as well as the time it takes for the agency to pay its bills. This will adversely affect the agency's ability to reform critical data analytics necessary to improve service delivery processes. The ability to quickly respond to legal inquiries would be impacted, including collecting open records, rule proposals tracking, and final order payment processing.

Strategy: 3-1-1 Central Administration

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$120,792	\$120,792	\$241,584			
General Revenue Funds Total	\$0	\$0	\$0	\$120,792	\$120,792	\$241,584			
Strategy: 3-1-2 Information Resources									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$69,000	\$69,000	\$138,000			
General Revenue Funds Total	\$0	\$0	\$0	\$69,000	\$69,000	\$138,000			
Strategy: 3-1-3 Other Support Services									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$52,919	\$52,919	\$105,838			
General Revenue Funds Total	\$0	\$0	\$0	\$52,919	\$52,919	\$105,838			
Item Total	\$0	\$0	\$0	\$242,711	\$242,711	\$485,422			
FTE Reductions (From FY 2020 and FY 2021 Base Request)					4.0	4.0			

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

8 Administrative Operating 5%

Category: Programs - Service Reductions (Contracted)

Item Comment: The ability to quickly make necessary security revisions as frequently as required would suffer, and the reduction would also hamper the agency's capability to respond to any cybersecurity issues that may arise. The time required to process the fees, penalties, and invoices for payment received each day would increase. This would adversely impact the ability to make timely deposits of revenue and would extend the time required to review and process licenses and renewals, as well as the time it takes for the agency to pay its bills. This will adversely affect the agency's ability to reform critical data analytics necessary to improve service delivery processes. The ability to quickly respond to legal inquiries would be impacted, including collecting open records, rule proposals tracking, and final order payment processing. The agency predicts an increase in cyber vulnerability and decreases in its key performance measure targets and in revenue.

Strategy: 3-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$32,500	\$32,500	\$65,000
General Revenue Funds Total	\$0	\$0	\$0	\$32,500	\$32,500	\$65,000

Strategy: 3-1-2 Information Resources

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$15,000	\$15,000	\$30,000
General Revenue Funds Total	\$0	\$0	\$0	\$15,000	\$15,000	\$30,000

Strategy: 3-1-3 Other Support Services

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$18,500	\$18,500	\$37,000			
General Revenue Funds Total	\$0	\$0	\$0	\$18,500	\$18,500	\$37,000			
Item Total	\$0	\$0	\$0	\$66,000	\$66,000	\$132,000			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

9 Program Layoffs 7.5%

Category: Programs - Service Reductions (Contracted)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

Item Comment: Programs – Service Reductions

The third 2.5% reduction would eliminate one FTE. Consumer protection and safety would decrease because required inspections of barber and cosmetology shops, salons, and schools, massage establishments and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities would not be completed. Fewer inspections would result in fewer violations being prosecuted, thereby compounding decreased consumer safety. Because of the difficulty in getting pre-license inspection, fewer new schools would be open to teach students, delaying those future licensees from being able to work. The agency predicts a drop in its key performance measure target for this strategy.

Resolve Complaints

The third proposed 2.5% reduction would eliminate two FTEs. A backlog of complaints appropriate for prosecution would be created and the public would be at an elevated risk. The agency predicts a drop in its key performance measure target for this strategy.

Programs – Service Reductions

The third proposed 2.5% reduction would eliminate two FTEs. Reduced subject matter expertise would occur in several programs, reducing the ability to provide information to licensees, business owners, consumers and municipal officials.

Program – Service Reductions

The third proposed 2.5% reduction would eliminate one FTE. Providers of continuing education courses will see delays in their courses being approved, reducing the number of courses available to licensees who need those courses to renew their licenses.

Program – Service Reductions

The third proposed 2.5% reduction would eliminate one FTE. Applicants for licenses would be most harmed. License issuance times would increase, delaying the ability of individuals to get to work and businesses to begin operating. The agency predicts a drop in its key performance measure target for this strategy.

Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$48,000	\$48,000	\$96,000			
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6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: Department of Licensing and Regulation

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
General Revenue Funds Total	\$0	\$0	\$0	\$48,000	\$48,000	\$96,000			
Strategy: 1-1-3 Administer Exams to Applicants									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$65,000	\$65,000	\$130,000			
General Revenue Funds Total	\$0	\$0	\$0	\$65,000	\$65,000	\$130,000			
Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$176,282	\$176,282	\$352,564			
General Revenue Funds Total	\$0	\$0	\$0	\$176,282	\$176,282	\$352,564			
Strategy: 2-1-4 Investigate Complaints									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$98,000	\$98,000	\$196,000			
General Revenue Funds Total	\$0	\$0	\$0	\$98,000	\$98,000	\$196,000			

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
Item Total	\$0	\$0	\$0	\$387,282	\$387,282	\$774,564			
FTE Reductions (From FY 2020 and FY 2021 Base Request)					7.0	7.0			

10 Program Operating 7.5%

Category: Programs - Service Reductions (Contracted)

Item Comment: Businesses and schools needing pre-license inspections in order to operate would be harmed and the time for them to receive a license and operate would increase. Consumer safety would be lowered because of a decrease in inspections of barber and cosmetology shops, salons and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities. A backlog of complaints appropriate for prosecution would be created and the public would be at elevated risk. Reduced subject matter expertise would occur in several programs, reducing the ability to provide information to licensees, business owners, consumers and municipal officials. Providers of continuing education courses would see delays in their courses being approved, reducing the number of courses available to licensees who need the courses to renew their licenses. New schools would face delays in opening and new driver safety and parent-taught education courses would be delayed in starting because of a holdup in the number of curricula which can be timely approved. License issuance times would increase, delaying the ability of individuals to get to work and businesses to begin operating. The agency predicts drops in its key performance measure targets and in revenue.

Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$18,000	\$18,000	\$36,000			
General Revenue Funds Total	\$0	\$0	\$0	\$18,000	\$18,000	\$36,000			

Strategy: 1-1-3 Administer Exams to Applicants

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$21,500	\$21,500	\$43,000			
General Revenue Funds Total	\$0	\$0	\$0	\$21,500	\$21,500	\$43,000			
Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$70,400	\$70,400	\$140,800			
General Revenue Funds Total	\$0	\$0	\$0	\$70,400	\$70,400	\$140,800			
Strategy: 2-1-4 Investigate Complaints									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$47,000	\$47,000	\$94,000			
General Revenue Funds Total	\$0	\$0	\$0	\$47,000	\$47,000	\$94,000			
Item Total	\$0	\$0	\$0	\$156,900	\$156,900	\$313,800			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

11 Administrative Layoff 7.5%

Category: Programs - Service Reductions (Contracted)

Item Comment: The third proposed 2.5% reduction would eliminate two FTEs. The ability to quickly respond to legal inquiries would be impacted, including reviewing open records requests and rule creation. Productivity throughout the agency will decrease as the time required to complete administrative tasks will increase.

Strategy: 3-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$173,000	\$173,000	\$346,000			
General Revenue Funds Total	\$0	\$0	\$0	\$173,000	\$173,000	\$346,000			
Item Total	\$0	\$0	\$0	\$173,000	\$173,000	\$346,000			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

2.0 2.0

12 Administrative Operating 7.5%

Category: Programs - Service Reductions (Contracted)

Item Comment: The ability to quickly respond to legal inquiries would be impacted, including collecting open records, rule proposals tracking, and final order payment processing. Productivity throughout the agency will decrease as the time required to complete administrative tasks will increase, and the agency could miss open records deadlines.

Strategy: 3-1-1 Central Administration

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$36,000	\$36,000	\$72,000			
General Revenue Funds Total	\$0	\$0	\$0	\$36,000	\$36,000	\$72,000			
Item Total	\$0	\$0	\$0	\$36,000	\$36,000	\$72,000			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

13 Program Layoffs 10%

Category: Programs - Service Reductions (Contracted)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

Item Comment: Programs – Service Reductions

The fourth proposed 2.5% reduction would eliminate two FTEs. Consumer protection and safety would decrease because required inspections of barber and cosmetology shops, salons, and schools, massage establishments and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities would not be completed. Fewer inspections would result in fewer violations being prosecuted, thereby compounding decreased consumer safety. Because of the difficulty in getting pre-license inspection, fewer new schools would be open to teach students, delaying those future licensees from being able to work. The agency predicts a drop in its key performance measure target for this strategy.

Resolve Complaints

The fourth proposed 2.5% reduction would eliminate two FTEs. A backlog of complaints appropriate for prosecution would be created and the public would be at an elevated risk. The agency predicts a drop in its key performance measure target for this strategy.

Program – Service Reductions

The fourth proposed 2.5% reduction would eliminate one FTE. Applicants for licenses would be most harmed. License issuance times would increase, delaying the ability of individuals to get to work and businesses to begin operating. The agency predicts a drop in its key performance measure target for this strategy.

Program – Service Reductions

The fourth proposed 2.5% reduction would eliminate one FTE. Reduced subject matter expertise would occur in several programs, reducing the ability to provide information to licensees, business owners, consumers and municipal officials.

Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$52,000	\$52,000	\$104,000			
General Revenue Funds Total	\$0	\$0	\$0	\$52,000	\$52,000	\$104,000			

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$169,184	\$169,184	\$338,368			
General Revenue Funds Total	\$0	\$0	\$0	\$169,184	\$169,184	\$338,368			
Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$51,000	\$51,000	\$102,000			
General Revenue Funds Total	\$0	\$0	\$0	\$51,000	\$51,000	\$102,000			
Strategy: 2-1-4 Investigate Complaints									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$53,000	\$53,000	\$106,000			
General Revenue Funds Total	\$0	\$0	\$0	\$53,000	\$53,000	\$106,000			
Item Total	\$0	\$0	\$0	\$325,184	\$325,184	\$650,368			
FTE Reductions (From FY 2020 and FY 2021 Base Request)					6.0	6.0			

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

14 Program Operating 10%

Category: Programs - Service Reductions (Contracted)

Item Comment: Businesses and schools needing pre-license inspections in order to operate would be harmed and the time for them to receive a license and operate would increase. Consumer safety would be lowered because of a decrease in inspections of barber and cosmetology shops, salons and schools, towing companies and vehicle storage facilities, driver education and midwifery schools, and orthotics and prosthetics facilities. A backlog of complaints appropriate for prosecution would be created and the public would be at elevated risk. License issuance times would increase, delaying the ability of individuals to get to work and businesses to begin operating. Reduced subject matter expertise would occur in several programs, reducing the ability to provide information to licensees, business owners, consumers and municipal officials. The agency predicts drops in its key performance measure targets and in revenue.

Strategy: 1-1-1 Issue Licenses, Registrations, & Certificates to Qualified Individuals

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$20,000	\$20,000	\$40,000			
General Revenue Funds Total	\$0	\$0	\$0	\$20,000	\$20,000	\$40,000			

Strategy: 2-1-1 Enforce Laws by Conducting Routine, Complex, and Special Inspections

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$67,900	\$67,900	\$135,800			
General Revenue Funds Total	\$0	\$0	\$0	\$67,900	\$67,900	\$135,800			

Strategy: 2-1-3 Enforce Compliance by Settlement, Prosecution, Penalty and Sanction

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$24,000	\$24,000	\$48,000			
General Revenue Funds Total	\$0	\$0	\$0	\$24,000	\$24,000	\$48,000			

Strategy: 2-1-4 Investigate Complaints

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$24,000	\$24,000	\$48,000			
General Revenue Funds Total	\$0	\$0	\$0	\$24,000	\$24,000	\$48,000			
Item Total	\$0	\$0	\$0	\$135,900	\$135,900	\$271,800			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

15 Administrative Layoff 10%

Category: Programs - Service Reductions (Contracted)

Item Comment: Information Technology

The fourth proposed 2.5% reduction would eliminate one FTE. The ability to quickly make necessary security revisions as frequently as required would suffer, and the reduction would also hamper the agency's capability to respond to any cybersecurity issues that may arise.

Central Administration

The fourth proposed 2.5% reduction would eliminate two FTEs. The ability to quickly respond to legal inquiries would be impacted, including reviewing open records requests and rule creation. A staffing drop due to proposed reductions would reduce the need for current human resource staffing.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

Strategy: 3-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$148,000	\$148,000	\$296,000			
General Revenue Funds Total	\$0	\$0	\$0	\$148,000	\$148,000	\$296,000			

Strategy: 3-1-2 Information Resources

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$82,000	\$82,000	\$164,000			
General Revenue Funds Total	\$0	\$0	\$0	\$82,000	\$82,000	\$164,000			
Item Total	\$0	\$0	\$0	\$230,000	\$230,000	\$460,000			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

3.0 3.0

16 Administrative Operating 10%

Category: Programs - Service Reductions (Contracted)

Item Comment: The ability to quickly make necessary security revisions as frequently as required would suffer, and the reduction would also hamper the agency's capability to respond to any cybersecurity issues that may arise. The ability to quickly respond to legal inquiries would be impacted, including collecting open records, rule proposals tracking, and final order payment processing. A staffing drop due to proposed reductions would reduce the need for current human resource staffing. Productivity throughout the agency will decrease as the time required to complete administrative tasks will increase. The agency predicts an increase in cyber vulnerability and it could also miss open records deadlines.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018
Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

Strategy: 3-1-1 Central Administration

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$40,000	\$40,001	\$80,001			
General Revenue Funds Total	\$0	\$0	\$0	\$40,000	\$40,001	\$80,001			

Strategy: 3-1-2 Information Resources

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$20,000	\$20,000	\$40,000			
General Revenue Funds Total	\$0	\$0	\$0	\$20,000	\$20,000	\$40,000			
Item Total	\$0	\$0	\$0	\$60,000	\$60,001	\$120,001			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

AGENCY TOTALS

General Revenue Total				\$3,033,932	\$3,033,933	\$6,067,865			\$6,052,481
GR Dedicated Total									\$15,384
Agency Grand Total	\$0	\$0	\$0	\$3,033,932	\$3,033,933	\$6,067,865			\$6,067,865
Difference, Options Total Less Target									

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 8/1/2018

Time: 10:51:17AM

Agency code: 452 Agency name: **Department of Licensing and Regulation**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
Agency FTE Reductions (From FY 2020 and FY 2021 Base Request)				40.0	40.0				
Article Total				\$3,033,932	\$3,033,933	\$6,067,865			
Statewide Total				\$3,033,932	\$3,033,933	\$6,067,865			