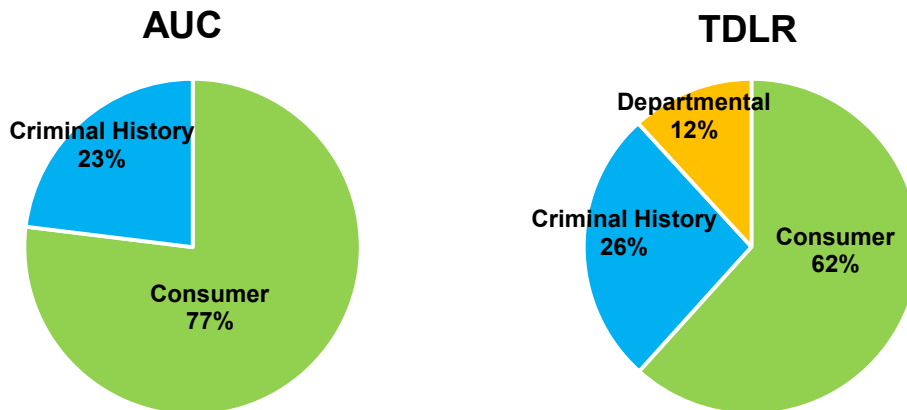# TEXAS DEPARTMENT OF LICENSING AND REGULATION

Enforcement Division

Auctioneers (AUC)

Key Statistics	AUC Fiscal Year 2021	TDLR Fiscal Year 2021
Number of Licensees	2,267	848,268
Complaints Received	108	32,655
Cases Opened	13	10,121
Cases Closed	13	9,335
Average Time to Close (days)	158.62	209.86
% of Cases Resolved Within 6 Months	61.54%	60.00%
% of Cases Resulting in Disciplinary Action	0.00%	13.41%
Open Cases Pending at End of Fiscal Year	15	6,627
Number of Cases Referred to the State Office of Administrative Hearings (SOAH)	0	134
Number of Contested Cases Heard at SOAH	0	67
Formal Case Outcomes	**AUC Fiscal Year 2021**	**TDLR Fiscal Year 2021**
Commission Orders	0	44
Default Orders	0	455
Agreed Orders	0	711
Average Penalty Assessed on Orders	$0.00	$2,124.56
Total Penalties Assessed	$0.00	$2,570,716.00
Licenses Revoked (Disciplinary)	0	103
Licenses Suspended (Disciplinary)	0	8
Licenses Denied/Suspended/Revoked (Criminal History)	0	240
Cases Heard in District Court	0	1
Informal Case Actions	**AUC Fiscal Year 2021**	**TDLR Fiscal Year 2021**
Consumer Restitution	$6,482.50	$192,825.53
Warning Letters	0	1,768
Other Informal Resolutions	13	6,208
Cease & Desist Orders	0	19

Source of Cases Opened Fiscal Year 2021

AUC

TDLR

AUC Alleged Violations at Opening

Fiscal Year 2021	
Alleged Violation at Opening	**Count**
Did not give another their money	5
Flagrant misrepresentation or false promises	3
Criminal History	3
Did not pay seller within 15 days	2
Did not announce or disclose auctioneer license	1
Failed to keep records for 2 years	1
Acted as Auctioneer or Associate Auctioneer without license	1
Did not announce or reserved right to bid	1
Did not give consumers Department information	1

AUC Violations Resulting in Disciplinary Action

Fiscal Year 2021	
Violation Resulting in Disciplinary Action	**Count**
There were no violations resulting in Disciplinary Action for Fiscal Year 2021 for the AUC program	N/A